

6	9	13	7
12	10	5	
3	1	4	14
15	8	11	2

Mathematics for Computer Science  
MIT 6.042J/18.062J

# Partial Orders

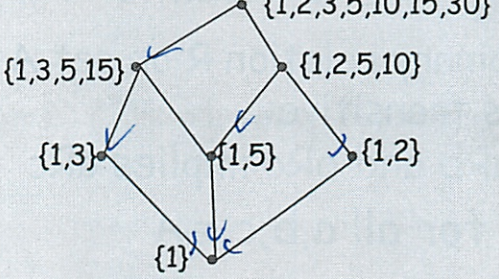
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lec7M.1

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## proper subset relation



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## proper subset relation

$A \subset B$  means  
B has everything  
that A has  
and more:  $B \not\subset A$

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## properties of $\subset$

$A \subset B$  implies  $B \not\subset A$   
asymmetry  
since has more

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## $\subset$ is asymmetric

binary relation R on set A  
is asymmetric:

$aRb$  implies NOT( $bRa$ )  
for all  $a, b \in A$

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## properties of $\subset$

$[A \subset B \text{ and } B \subset C]$   
implies  $A \subset C$   
transitivity

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$\subset$  is transitive


binary relation  $R$  on set  $A$  is transitive:  
 $aRb$  and  $bRc$  implies  $aRc$   
 for all  $a, b, c \in A$

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strict partial orders

transitive &  
asymmetric


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strict partial orders

examples:

- "less than,"  $<$ , on the real numbers
- "ranked higher than" on professional tennis players
- "indirect prereq for" on MIT subjects

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weak partial orders

same as a strict partial order  $R$ , except that  $aRa$  always holds

examples:


- $\subseteq$  is weak p.o. on sets
- $\leq$  is weak p.o. on  $\mathbb{R}$

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Reflexivity


relation  $R$  on set  $A$  is reflexive iff  $aRa$  for all  $a \in A$

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antisymmetry

binary relation  $R$  on set  $A$  is antisymmetric iff it is asymmetric except for  $aRa$  case.

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**weak partial orders**

transitive  
antisymmetric  
& reflexive

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**Graphical Properties of Relations**

Reflexive

Asymmetric

Transitive

Symmetric

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**Graphical Properties of Relations**

Total  
(looks like a path/chain)

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**Team Problems**

# Problems

## 1-4

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(7 min late)

Weak Partial Order

- same as strict partial order  $\mathcal{R}$ , except that  $aRa$  always holds

- add a self loop on every

$C$  could be equal - weak PO on sets

$\leq$  is weak P.O. on  $\mathcal{R}$

Reflexive if  $aRa$  holds for all  $A$

Antisymmetry - asymmetric plus  $aRa$

WPO - transitive, Reflexive, antisymmetric

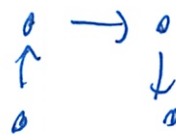
Reflexive



Transitive



Asymmetric



no self loops

(oh the Pset problems!)

Symmetric



Total/Full

- looks like a path





②

If strict partial order  $\rightarrow$  must be DAG

But every DAG is not a strict partial order

But positive partial order is

(Class problem)

Be able to understand what they mean + tell one from other

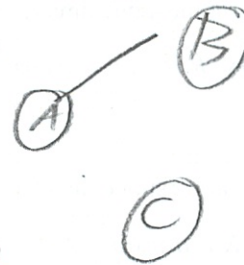


## In-Class Problems Week 7, Mon.

### Problem 1.

For each of the binary relations below, state whether it is a strict partial order, a weak partial order, or neither. If it is not a partial order, indicate which of the axioms for partial order it violates.

- (a) The superset relation,  $\supseteq$  on the power set  $\mathcal{P}\{1, 2, 3, 4, 5\}$ .
- (b) The relation between any two nonnegative integers,  $a, b$  that  $a \equiv b \pmod{8}$ .
- (c) The relation between propositional formulas,  $G, H$ , that  $G$  IMPLIES  $H$  is valid.
- (d) The relation 'beats' on Rock, Paper and Scissor (for those who don't know the game Rock, Paper, Scissors, Rock beats Scissors, Scissors beats Paper and Paper beats Rock).
- (e) The empty relation on the set of real numbers.
- (f) The identity relation on the set of integers.



**Problem 2.** (a) Why is every strict partial order a DAG?

- (b) Give an example of a DAG that is not a strict partial order.
- (c) Why is the positive path relation of a DAG a strict partial order?

**Problem 3.** (a) Verify that the divisibility relation on the set of nonnegative integers is a weak partial order.

- (b) What about the divisibility relation on the set of integers?

### Problem 4.

Consider the nonnegative numbers partially ordered by divisibility.

- (a) Show that this partial order has a unique minimal element.
- (b) Show that this partial order has a unique maximal element.
- (c) Describe an infinite chain in this partial order.
- (d) Describe an infinite antichain in this partial order.
- (e) What are the minimal elements of divisibility on the integers greater than 1? What are the maximal elements?



## Properties of a Relation $R : A \rightarrow A$ / Digraph $G$ with $V(G) = A$

**Reflexivity**  $R$  is *reflexive* when

$$\forall x \in A. x R x.$$

Every node in  $G$  has a self-loop.

**Irreflexivity**  $R$  is *irreflexive* when

$$\text{NOT } \exists x \in A. x R x.$$

There are no self-loops in  $G$ .

**Symmetry**  $R$  is *symmetric* when

$$\forall x, y \in A. x R y \text{ IMPLIES } y R x.$$

If there is an edge from  $x$  to  $y$  in  $G$ , then there is an edge back from  $y$  to  $x$  in  $G$  as well.

**Asymmetry**  $R$  is *asymmetric* when

$$\forall x, y \in A. x R y \text{ IMPLIES NOT}(y R x).$$

There is at most one directed edge between any two nodes in  $G$ ; there are no self-loops.

**Antisymmetry**  $R$  is *antisymmetric* when

$$\forall x \neq y \in A. x R y \text{ IMPLIES NOT}(y R x).$$

There is at most one directed edge between any two nodes; there may be self-loops.

**Transitivity**  $R$  is *transitive* if

$$\forall x, y, z \in A. (x R y \text{ AND } y R z) \text{ IMPLIES } x R z.$$

If there is a positive length path from  $u$  to  $v$ , then there is an edge from  $u$  to  $v$ .

**Total**  $R$  is *total* when

$$\forall x \neq y \in A. (x R y \text{ OR } y R x)$$

Given any two vertices in  $G$ , there is an edge in one direction or the other between them.

**Strict Partial Order**  $R$  is a *strict partial order* iff it is transitive and asymmetric iff it is transitive and irreflexive.

**Weak Partial Order**  $R$  is a *weak partial order* iff it is transitive and anti-symmetric and reflexive.

1. a) weak

b) none symmetric

c) TA kinda weird - skip  
- neither - not antisymmetric

d) violates transitivity  
- unless would not be fun game  
- one would always win

e) empty - no arrows  
- missing one axiom

- irreflexive

- is transitive

- asymmetric

- strict

f) weak

- same as above

- but self loops

Then H won't imply G

- implication goes both ways

So that's why not antisymmetric

breaks:  $(G \rightarrow H) \rightarrow \text{not}(H \rightarrow G)$

Prof. means  $G \leftrightarrow H$

So that is  $H \rightarrow G$

(which I got wrong on previous quiz  
- they are not the same)

for it to be antisym  $H = G$  - we know that's not true

- pre condition never satisfied

"

"

"

~~See other sheet~~  
See other sheet

Only self loops

b) TA: Just cause symmetric - does not mean weak - need to give counterexample

2. If you had cycle and is transitive could loop to self - violating transitivity and ~~anti~~ asymmetry



②

Directed since binary relation  
irreflexive  $\rightarrow$  transitive - can't have cycle

lc cont  $A \text{ and } (B \text{ or } C) \rightarrow (A \text{ and } B) \text{ or } (A \text{ and } C)$   
 $(A \text{ and } B) \text{ or } (B \text{ and } C) \rightarrow A \text{ and } (B \text{ or } C)$

But  $A \text{ and } (B \text{ or } C) \neq (A \text{ and } B) \text{ or } (B \text{ and } C)$   
So not antisymmetric

2a board } Transitive requires edge from one point  
to every other vertex reachable from that pt.

If there is a cycle in a strict partial order,  
then there is a vertex that is reachable from itself

Transitivity would require an edge from the vertex  
to itself which would violate irreflexivity.

There are no cycles in SPO.

So SPO must be DAG

2b



is  $aRb$  and  $bRc$  but not  $aRc$

3

1) A DAG is by def. irreflexive + asym.

The pos path relation adds a ~~vertex~~ edge from each vertex to every downstream vertex, which guarantees transitivity.

∴ A pos path relation is transitive, asymmetric + irreflexive so its SPO.

TA said something

~~TA use Relation instead of edge~~



Positive path relation = non zero length path

2c) The positive path relation is defined as  $uRv$  for vertices  $u, v$  which has a positive length path from  $u$  to  $v$  in the DAG

This is irreflexive + antisymmetric b/c the DAG has no cycles, so  $uRv \rightarrow$  not  $vRu$  for all vertices  $u, v$ . It is transitive b/c if  $uRv$  and  $vRw$  then  $uRw$  b/c there would have to be a path from  $u$  to  $w$  in DAG.



9

3. transitive  $a|b$  and  $b|c \rightarrow a|c$

$$c = bx \quad b = cy$$

$$\text{so } a = cyx \rightarrow a|c$$

antisymmetric  $a \neq b \quad a|b \rightarrow b \nmid a$

$$a = bx \rightarrow b = \frac{1}{x} a$$

$\frac{1}{x}$  is not an int b/c  $x$  must be  
'int'  $\geq 1$  so  $b \nmid a$

reflexive  $a|a$

by def of divides

b) is not a WPO or SPO

violates antisymmetry

$$1|1 \quad \text{and} \quad 1|1$$

---

$a|b$  means  $\exists c \quad ac = b$

so  $0|0$

4a)  $1 \nmid n \in \mathbb{N} \quad 1|n$

Divisibility is a WPO (see #3) so by antisymmetry

$$\forall n \neq 1 \text{ not } (n|1)$$

by reflexivity  $\forall n (n|n)$

$\therefore$  every int has at least 1 and n as divisors

1 only has 1 as a divisor

b)  $0, \forall n \in \mathbb{N} \quad n|0$

~~if there was a nonzero max element you could multiply it and get~~

c)  $1 \rightarrow 2 \rightarrow 2^2 \rightarrow 2^3 \dots$

d) primes, no prime divides any other prime

e) primes are minimal for  $n > 1$

no maximal  $e|$  greater than 1

$$\forall n \neq 0 \text{ not } (n+1|1)$$



## Solutions to In-Class Problems Week 7, Mon.

### Problem 1.

For each of the binary relations below, state whether it is a strict partial order, a weak partial order, or neither. If it is not a partial order, indicate which of the axioms for partial order it violates.

(a) The superset relation,  $\supseteq$  on the power set  $\mathcal{P}\{1, 2, 3, 4, 5\}$ .

**Solution.** This is a weak partial order, but not a total one. For example, the sets of size 3 form an antichain. ■

(b) The relation between any two nonnegative integers,  $a, b$  that  $a \equiv b \pmod{8}$ .

**Solution.** Violates antisymmetry:  $8 R 16$  and  $16 R 8$  but  $8 \neq 16$ . It is transitive, though. ■

(c) The relation between propositional formulas,  $G, H$ , that  $G$  IMPLIES  $H$  is valid.

**Solution.** Violates antisymmetry:  $P$  and  $\text{NOT}(\text{NOT}(P))$  imply each other but are different expressions. It is transitive, though. ■

(d) The relation 'beats' on Rock, Paper and Scissor (for those who don't know the game Rock, Paper, Scissors, Rock beats Scissors, Scissors beats Paper and Paper beats Rock).

**Solution.** Violates transitivity: obviously. Also violates antisymmetry. ■

(e) The empty relation on the set of real numbers.

**Solution.** It's vacuously asymmetric and transitive, so it's a strict partial order. It's irreflexive. It's not total. Every element is vacuously both minimal and maximal. ■

(f) The identity relation on the set of integers.

**Solution.** It's obviously reflexive, antisymmetric and transitive, so it's a weak partial order. It's not total. Every element is vacuously both minimal and maximal. ■

**Problem 2. (a)** Why is every strict partial order a DAG?

**Solution.** If a the strict partial was not a DAG, then it has a vertex  $v$  that is on positive length cycle. So there is a positive length path from  $v$  to  $v$ , which implies that  $v$  is related to itself in the partial order. This contradicts asymmetry. ■

(b) Give an example of a DAG that is not a strict partial order.

**Solution.**  $\langle 1 \rightarrow 2 \rangle, \langle 2 \rightarrow 3 \rangle$  but not  $\langle 1 \rightarrow 3 \rangle$ . ■

(c) Why is the positive path relation of a DAG a strict partial order?

**Solution.** In a DAG, there is no positive length path from a vertex to itself, so its positive path relation is irreflexive. If there is a positive length path from  $u$  to  $v$  and another from  $v$  to  $w$ , then the merge of the paths goes from  $u$  to  $w$ , so the positive path relation is transitive. These two properties make it a strict partial order. ■

**Problem 3. (a)** Verify that the divisibility relation on the set of nonnegative integers is a weak partial order.

**Solution.** Divisibility is reflexive since  $n \mid n$ .

It is transitive by Lemma 8.1.3.1.

It is anti-symmetric since if  $n \mid m$ , then  $n \leq m$  for all positive integers  $m$  and nonnegative  $n$ . So if  $n \mid m$  and  $m \mid n$ , then  $m \leq n$  and  $n \leq m$ , that is,  $n = m$ . Also, if  $n \mid 0$  then  $n = 0$ , which confirms anti-symmetry when  $m = -0$ . ■

(b) What about the divisibility relation on the set of integers?

**Solution.** Divisibility is not antisymmetric on the integers, since  $n \mid -n$ . ■

#### Problem 4.

Consider the nonnegative numbers partially ordered by divisibility.

(a) Show that this partial order has a unique minimal element.

**Solution.** 1 is minimal as there is no other natural number that divides 1. It is unique because all other numbers are divisible by 1 and therefore are not minimal. ■

(b) Show that this partial order has a unique maximal element.

**Solution.** 0 is maximal: all nonnegative integers divide zero. It is the only maximal element, because for every positive natural number,  $n$ , we have that  $n$  is strictly “smaller” than  $2n$  under divisibility. ■

(c) Describe an infinite chain in this partial order.

**Solution.**  $1 \ 2 \ 4 \ 8 \ 16 \ \dots$  is a chain with infinite length. ■

(d) Describe an infinite antichain in this partial order.

**Solution.** The set of prime numbers is infinite. Since no prime divides another, any two primes are incomparable. So the set of prime numbers is an antichain. ■

(e) What are the minimal elements of divisibility on the integers greater than 1? What are the maximal elements?

**Solution.** The primes are the minimal elements. There are no maximal elements. ■



**Properties of a Relation  $R : A \rightarrow A$  / Digraph  $G$  with  $V(G) = A$** **Reflexivity**  $R$  is *reflexive* when

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There are no self-loops in  $G$ .**Symmetry**  $R$  is *symmetric* when

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Given any two vertices in  $G$ , there is an edge in one direction or the other between them.**Strict Partial Order**  $R$  is a *strict partial order* iff it is transitive and asymmetric iff it is transitive and irreflexive.**Weak Partial Order**  $R$  is a *weak partial order* iff it is transitive and anti-symmetric and reflexive.

Cheat Sheet 3

$a|b = [ak = b \text{ for some } k]$   
 or  $b/a = \text{integer}$   
 $n = qd + r$  and  $0 \leq r < d$   
 $q = \text{int}(n/d) \Rightarrow \frac{n}{d}$   
 $r = \text{rem}(n/d) \rightarrow \frac{n}{d}$

$sp = 1 - tk$   
 $p|(1-tk) \rightarrow \text{so } tk \equiv 1 \pmod{p}$   
 $r = x - qy$  aka  $\text{gcd}(5, 3)$   
 any thing  $\rightarrow r = ax + by$   $\uparrow \uparrow$   
 multiple of gcd  $k \quad p$   
 take b result

Encoding Check  $\text{gcd}(m, n) = 1$   
 $m^* = \text{rem}(m^e, n)$  using other parties key  
 Decoding Use your private key  
 $m = \text{rem}((m^*)^d, n)$

Euclid Algorithm

$\text{gcd}(a, b) = \text{gcd}(b, \text{rem}(a, b))$

Pulverizer

$\text{gcd}(a, b) = sa + tb \quad E, s, t$

$\text{gcd}(259, 70)$	$\text{rem}(x, y)$	$x - q \cdot y$
259	70	49
70	49	21
		$259 - 3 \cdot 70$
		$70 - 1 \cdot 49$
		$70 - 1(259 - 3 \cdot 70)$
		$= -1 \cdot 259 + 4 \cdot 70$
49	21	7
		$49 - 2 \cdot 21$
		$= (259 - 3 \cdot 70) - 2$
		$(-1 \cdot 259 + 4 \cdot 70)$
		$= 3 \cdot 259 - 11 \cdot 70$
		$\uparrow \quad \uparrow$
		$s \quad t$

Fermat's Little Theorem

$k^{p-1} \equiv 1 \pmod{p}$   
 $k^{p-2} \cdot k \equiv 1 \pmod{p}$   
 So find  $\text{rem}(3^3, 5)$   
 $k=3 \quad p=5$

Euler's Theorem generalization

$\phi(p) = p - 1$   
 $k^{\phi(n)} \equiv 1 \pmod{n}$   
 $k^{\phi(p)-1} \equiv \text{mul. inverse } k \pmod{n}$   
 So  $3^{\phi(5)-1}$

Euler's Theorem

$\phi(pq) = (p-1)(q-1)$   
 $\phi(p^k) = p^k - p^{k-1}$   
 $\phi(ab) = \phi(a)\phi(b)$   
 $\phi(300) = \phi(2^2 \cdot 3 \cdot 5^2)$   
 $= \phi(2^2) \cdot \phi(3) \cdot \phi(5^2)$   
 $= (2^2 - 2^1)(3^1 - 3^0)(5^2 - 5^1)$   
 $= 80$   
 $= \# \text{ of rel prime}$

Diagram = directed graph (have arrow)  
 dots = nodes/vertices  
 lines = directed edge / arrow  
 $e = \langle u \rightarrow v \rangle$

indegree = # arrows in  
 $\{e \in E(G) \mid \text{head}(e) = v\}$   
 out degree = # arrows out  
 $\{e \in E(G) \mid \text{tail}(e) = v\}$

two sets = vertices and edges  
 $|v|$  = length  
 $f \hat{v} r$  = merging walk at v  
 walk = repeat vertices  
 path = no repeats  
 - shortest walk b/w a pair of vertices is path  
 $\text{dist}(u, v)$  = shortest path

Adj. Matrix  
 $(A_g)_{ij} = \begin{cases} 1 & \text{if } \langle v_i \rightarrow v_j \rangle \in E(G) \\ 0 & \text{otherwise} \end{cases}$   
 $u \hat{G}^* v$  = path relation  
 $u \hat{G}^+ v$  = pos. path relation

Fund. Theorem of Algebra Every pos integer is a product of uniquely weakly decreasing seq. of primes

If  $plab$  then  $pla$  or  $plb$   
 $p = \text{prime}$

$a \equiv b \pmod{n}$  iff  $n | (a-b)$   
 iff  $\text{rem}(a, n) = \text{rem}(b, n)$

Multiplicative Inverse of 7 mod 5  
 $7 \cdot \_ \equiv 1 \pmod{5}$   
 - guess + check  
 - pulverizer

Rel Prime for  $a, b$  if  $\text{gcd}(a, b) = 1$   
 For primes all except 1

RSA

1. Generate 2 primes  $p, q$
2.  $n = pq$
3. Select  $e$  from  $\text{gcd}(e, (p-1)(q-1)) = 1$   
 - solve for  $e$ , guess + check  
 - smallest prime that does divide public  $(e, n)$
4. Compute  $d = \text{inverse } e \pmod{(p-1)(q-1)}$   
 secret  $(d, n)$

Reflexivity every node in  $G$  has self-loop  
 $\forall x \in A, xRx$

Irreflexivity no self-loops in  $G$   
 $\text{NOT } \exists x \in A, xRx$

Symmetry  $\forall x, y \in A, xRy \rightarrow yRx$   
 if edge  $x \rightarrow y$  also  $y \rightarrow x$

Asymmetry at most one edge every where  
 $\forall x, y \in A, xRy \rightarrow \text{NOT}(yRx)$  no self loops

Antisymmetry at most one edge, can be self loops  
 $\forall x \neq y \in A, xRy \rightarrow \text{NOT}(yRx)$

Transitive if pos path  $u \rightarrow v$  then  $v \rightarrow u$   
 $\forall x, y, z \in A (xRy \text{ and } yRz) \rightarrow xRz$



Total Given any 2 vertices in  $G$  there is an edge in 1 dir or other w/o them  
 $\forall x \neq y \in A. (xRy \text{ or } yRx)$

SPO transitive and asymmetric  
 iff transitive and irreflexive  
 positive path relation of DAG

WPO transitive and anti-sym and reflexive  
 $aRb$  iff  $(a \leq b \text{ or } a = b)$   
 strict

closed walk - digraph starts ends same  
cycle - closed walk, but other pts distinct

DAG - directed graph w/ no pos len cycles

$\triangleleft$  partial order

$\sqsubseteq$  total order

antichain - incomparable

critical path = length of longest chain

depth = size of critical path

If longest chain is size of  $\mathcal{A}$ , can partition into  $\mathcal{A}$  antichains

For all  $\mathcal{A} \geq 0$  every partially ordered set must have chain of size greater than  $\mathcal{A}$  or antichain of size  $\mathcal{A}$

gcd - largest common seq of two factorization, take all primes that appear in both factorization raised to the min power of each respective prime

lcm - max instead

Chinese Remainder Theorem

for all  $m, n \exists x$  such that

$$x \equiv m \pmod{a}$$

$$x \equiv n \pmod{b}$$

$$x' \equiv x \pmod{ab}$$

proof  $ea = b^{-1}b$   $eb = a^{-1}a$   $x = me_a + ne_b$

TBA

Another way to exponential de:

split up

$$13^{21} = 13^{16} \cdot 13^4 \cdot 13$$

$$\hookrightarrow 2^2 \pmod{23} = ?$$

Verifying Personal Invariant - once it enters it stays - show value does not change

Find rem  $26^{1818181} \pmod{1818181} = (180 \cdot 10101) + 1$

$$\phi(297) = \phi(3^3 \cdot 11) = \phi(3^3) \cdot \phi(11) = (3^3 - 3^2)(11 - 1) = 180$$

$$26^{1818181} = 26^1 \cdot 26^{(180 \cdot 10101)} \\ = 26 \cdot 26^{180 \cdot 10101} \equiv 26 \cdot 1^{10101} \pmod{297} \\ = 26$$

## Midterm 3

3/15

I know the material the most of any unit

- studied before

- interested

- But can I do the proofs?

For path just copying def.

- ? Theorems too

Need to review problems

And spent a lot of time on last P-set

### Mini-Quiz Mar. 16

Your name: Plasmeier

Circle the name of your TA and write your table number:

Ali

Nick

Oscar

Oshani

Table number 12

- This quiz is **closed book**. Total time is 30 minutes.
- Write your solutions in the space provided. If you need more space, write on the back of the sheet containing the problem. Please keep your entire answer to a problem on that problem's page.
- GOOD LUCK!

---

DO NOT WRITE BELOW THIS LINE

---

Problem	Points	Grade	Grader
1	5	3	NJ
2	5	1	AK
3	5	4.5	AK
4	5	5	OS
Total	20	13.5	OS



5/3

**Problem 1 (5 points).** (a) Calculate the value of  $\phi(100)$ .

$$\begin{aligned} \phi(100) &= \phi(2^2 \cdot 5^2) \\ &= \phi(2^2) \cdot \phi(5^2) \\ &= (2^2 - 2^1)(5^2 - 5^1) \\ &= (4 - 2)(25 - 5) \\ &= 2 \cdot 20 \\ &= 40 \end{aligned}$$

$$\begin{array}{r} 1 \\ 24 \\ \underline{3} \\ 72 \end{array}$$

(b) Assume an integer  $k > 9$  is relatively prime to 100. Explain why the last two digits of  $k$  and  $k^{121}$  are the same.

Hint: Use your solution to part (a).

Since  $k^{\phi(100)} = 1 \pmod{100}$

So since  $k^{\phi(100)}$  is congruent to 1 mod 100, the last two digits (which is the remainder mod 100) will be the same

no, want last 2 digits of  $k^{121}$  and  $k$  same.

$$k^{121} \equiv k \pmod{100}$$

$k^{\phi(n)-1}$  is the mul. inverse of  $k \pmod{n}$

$k$  is rel. prime because  $\gcd(k, 100) = 1$

-2

$a \equiv b \pmod{n}$  iff  $n | (a-b)$  iff  $\text{rem}(a, n) = \text{rem}(b, n)$

$k^{40} \equiv 1 \pmod{100}$  — the remainder, subtract out

$121 - 1$  is a multiple of 40

So when take the power of - it will be the same mod 100

**Problem 2 (5 points).**

Prove that if  $a \equiv b \pmod{14}$  and  $a \equiv b \pmod{5}$ , then  $a \equiv b \pmod{70}$ .

~~Since  $a \equiv b \pmod{n}$  iff  $n \mid (a - b)$~~

~~$p \mid ab$  iff  $p \mid a$  or  $p \mid b$~~   
 $\uparrow$  prime  $\uparrow$  prime

$\frac{14}{5} = 70$

Assign  $x = 14$

$y = 5$

Notice  $xy = 70$

*You've got this backward.*

Now note that since  $70$  is a factor of both  $14$  and  $5$ , values that are congruent mod  $14$  and mod  $5$  will also be congruent mod  $70$ . *why?*

①



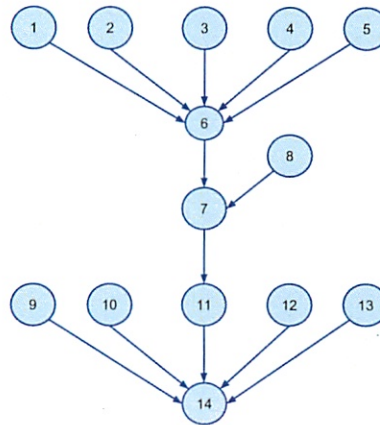


Figure 1 Task DAG

**Problem 3 (5 points).**

Answer the following questions about the dependency DAG shown in figure 1. Assume each node is a task that takes 1 second.

1. What is the largest chain in this DAG, if there is more than one, only show one.

3 → 6 → 7 → 11 → 14

2. What is the largest antichain? (again, pick one if you find there is more than one).

9 10 11 12 13 — all one big chain

3. How much time would be required to complete all the tasks with a single processor.

14

4. How much time would be required to complete all the tasks if there are unlimited processors available.

5

5. What is the smallest number of processors that would still allow to complete all the tasks in optimal time. Show a schedule proving it.

t=1	1	2	3	4	5
t=2		6	8		
3		7			
4	9	10	11	12	13
5			14		

5 processors.

We need to complete 1, 2, 3, 4, 5 before moving on to 6, so in order to get all 5 done in one time step, like we did in optimal solution, we need 5 processors.





## Solutions to Mini-Quiz Mar. 16

**Problem 1 (5 points).** (a) Calculate the value of  $\phi(100)$ .

**Solution.**

$$\phi(100) = \phi(25)\phi(4) = \phi(5^2)\phi(2^2) = (5^2 - 5)(2^2 - 2) = 40. \quad \blacksquare$$

(b) Assume an integer  $k > 9$  is relatively prime to 100. Explain why the last two digits of  $k$  and  $k^{121}$  are the same.

*Hint:* Use your solution to part (a).

**Solution.** Notice that all we have to prove is that  $k$  and  $k^{121}$  are congruent mod 100, implying they have the same last two digits.

$$k^{121} \equiv k^{40 \cdot 3 + 1} \equiv k(k^{40})^3 \pmod{100}.$$

By Euler's Theorem, since  $k$  and 100 are relatively prime,  $k^{\phi(100)} \equiv 1 \pmod{100}$ . By part (a), we have that  $\phi(100) = 40$ , implying  $k^{40} \equiv 1 \pmod{100}$ . Hence,  $k(k^{40})^3 \equiv k(1^3) \equiv k \pmod{100}$ .  $\blacksquare$

**Problem 2 (5 points).**

Prove that if  $a \equiv b \pmod{14}$  and  $a \equiv b \pmod{5}$ , then  $a \equiv b \pmod{70}$ .

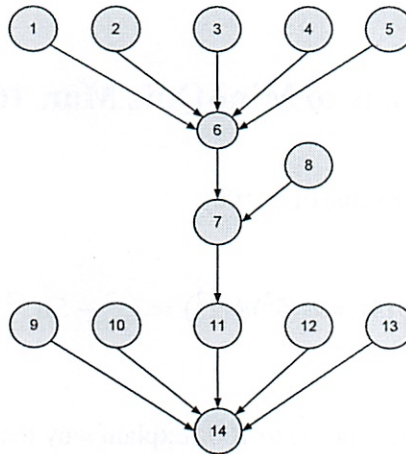
**Solution.** We know  $a \equiv b \pmod{14}$  means  $14|a - b$ . Likewise,  $a \equiv b \pmod{5}$  means  $5|a - b$ . Also 14 and 5 are relatively prime.

For any  $p, q$  and  $x$ , if  $p|x$  and  $q|x$  and  $p$  and  $q$  are relatively prime, we know from class that  $pq|x$ . So, applying that reasoning with  $x = a - b$ ,  $p = 14$  and  $q = 5$  yields  $70|a - b$ , which is what we were trying to prove.  $\blacksquare$

**Problem 3 (5 points).**

Answer the following questions about the dependency DAG shown in figure 1. Assume each node is a task that takes 1 second.

1. What is the largest chain in this DAG, if there is more than one, only show one.
2. What is the largest antichain? (again, pick one if you find there is more than one).
3. How much time would be required to complete all the tasks with a single processor.
4. How much time would be required to complete all the tasks if there are unlimited processors available.
5. What is the smallest number of processors that would still allow to complete all the tasks in optimal time. Show a schedule proving it.



**Figure 1** Task DAG

- Solution.**
1. One largest chain is  $\{1, 6, 7, 11, 14\}$
  2. One largest antichain is  $\{1, 2, 3, 4, 5, 8, 9, 10, 12, 13\}$
  3. There are 14 nodes, so a single processor would take 14 seconds.
  4. With unlimited processors, we can take 5 seconds. This is the length of the longest chain.
  5. With 5 processors, we can still finish everything in 5 seconds. A schedule showing this is  $\{1, 2, 3, 4, 5\}$ ,  $\{6, 8\}$ ,  $\{7\}$ ,  $\{9, 10, 11, 12, 13\}$ ,  $\{14\}$ . We cannot do this with less than 5 processors because in order to make progress on the longest chain at every time step, we need to process  $\{1, 2, 3, 4, 5\}$  in step 1. ■

**Problem 4 (5 points).**

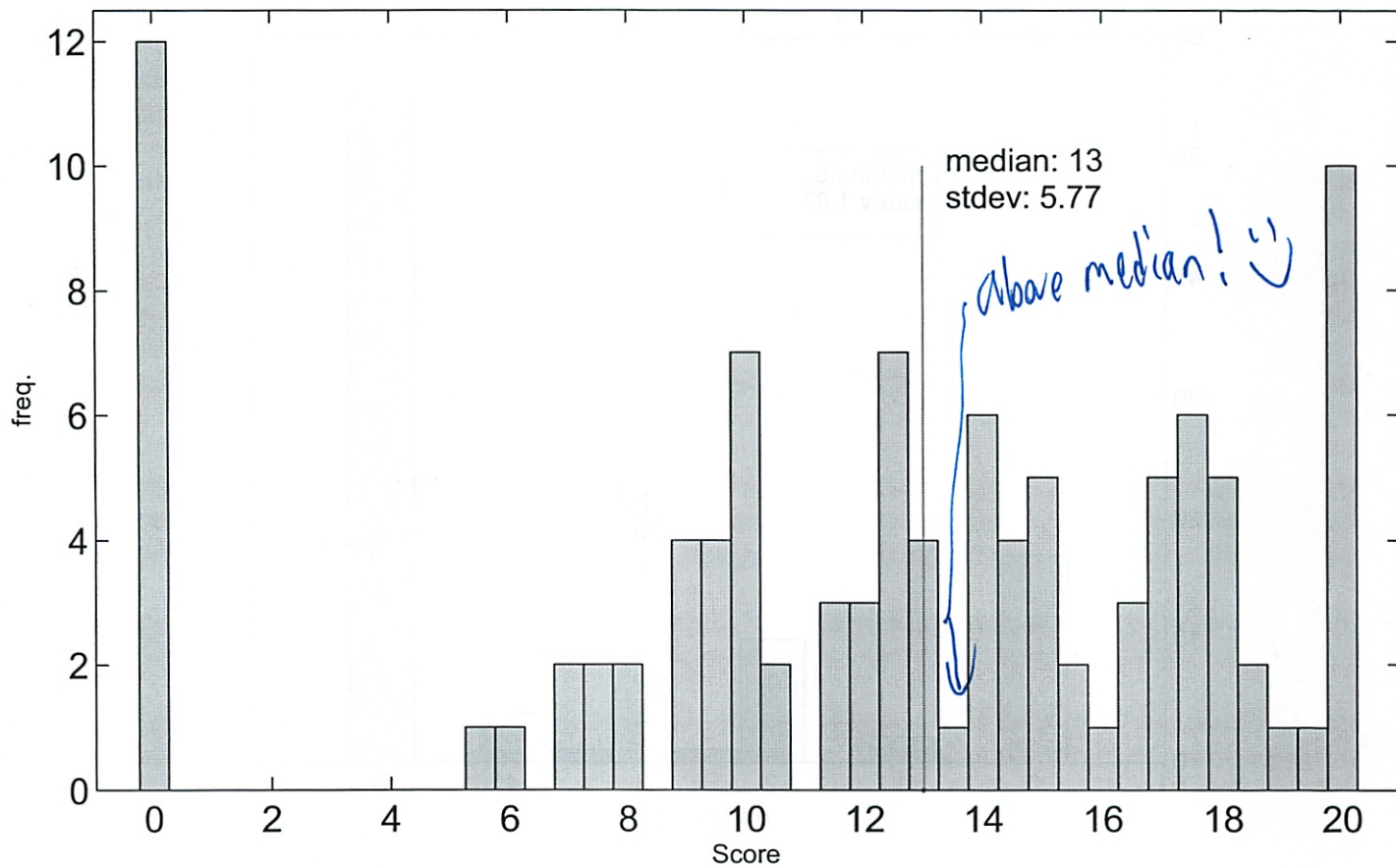
What is the smallest number of partially ordered tasks for which there can be more than one minimum time schedule, if there are unlimited number of processors? Explain your answer.

**Solution.** Three tasks.

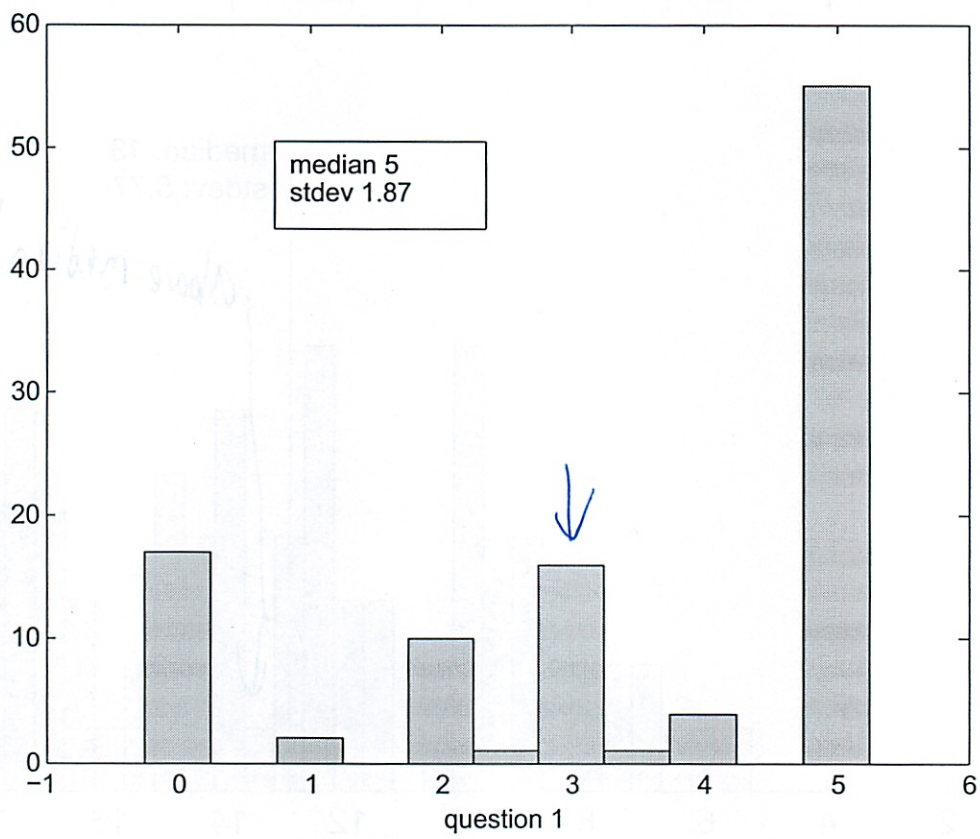
With one task, there is only one possible schedule. Two tasks that are incomparable can both be completed in one step, and this is the unique minimum step schedule. For two tasks that are comparable, there is only one possible schedule, which therefore is the unique minimum time schedule.

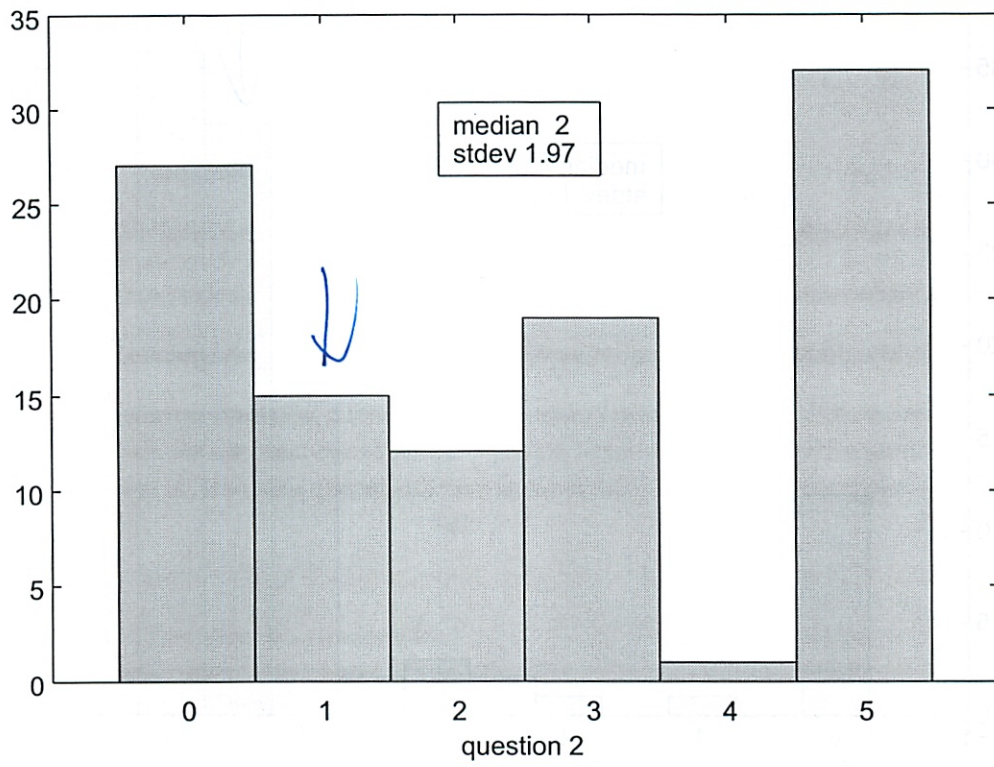
For an example with three tasks with two minimum time schedules, let two of the tasks be comparable and the third task incomparable to the other two. The two comparable tasks have a unique minimum time schedule that takes two steps. So any schedule for the three tasks that also takes only two steps will certainly be minimum time for the three. But the third task can be scheduled at the same time as either the first or the second of the comparable tasks, giving two minimum schedules for the three tasks. ■

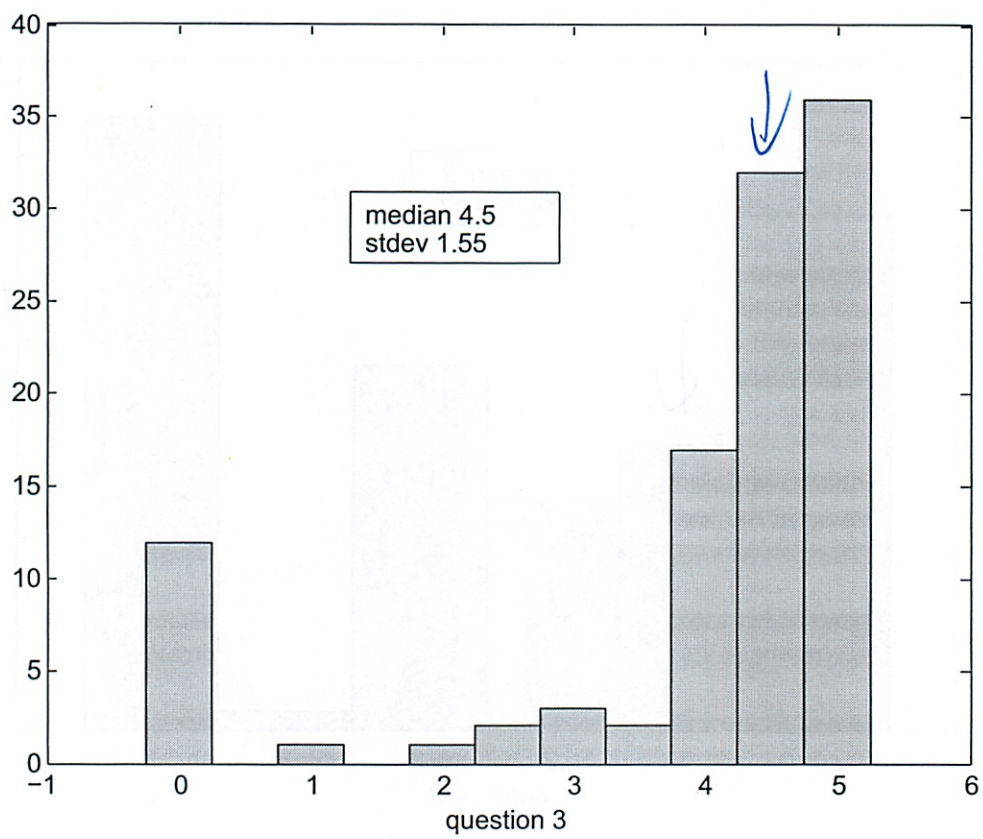
MQ3 grades



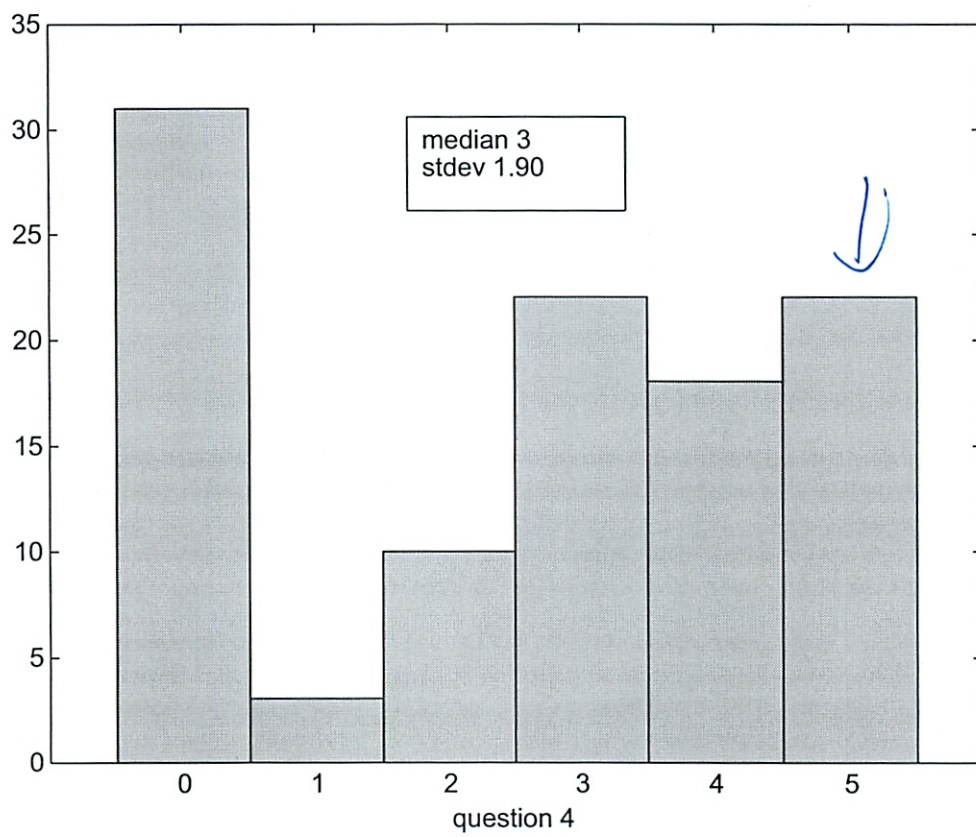












MIT  
Mathematics for Computer Science  
MIT 6.042J/18.062J

# Simple Graphs Degrees

Albert R Meyer March 16, 2011 lec 7W.1

MIT  
Types of Graphs

Simple Graph  
Directed Graph  
Multi-Graph

this week last week

Albert R Meyer March 16, 2011 lec 7W.2

MIT  
A simple graph:

Definition:  
A simple graph  $G$  consists of

- a nonempty set,  $V$ , of vertices, and
- a set,  $E$ , of edges such that each edge has two endpoints in  $V$

Albert R Meyer March 16, 2011 lec 7W.3

MIT  
A Simple Graph

vertices,  $V$   
undirected edges,  $E$

edge

$\bullet \text{---} \circ ::= \{ \bullet, \circ \}$

"adjacent"

Albert R Meyer March 16, 2011 lec 7W.4

MIT  
Vertex degree

degree of a vertex is  
# of incident edges

$\text{deg}(\circ) = 2$

Albert R Meyer March 16, 2011 lec 7W.9

MIT  
Vertex degree

degree of a vertex is  
# of incident edges

$\text{deg}(\circ) = 4$

Albert R Meyer March 16, 2011 lec 7W.10



### Impossible Graph

Is there a graph with vertex degrees 2,2,1?

NO!

Albert R Meyer March 16, 2011 lec 7W.11

### Handshaking Lemma

sum of degrees is twice # edges

$$2|E| = \sum_{v \in V} \text{deg}(v)$$

Proof: Each edge contributes 2 to the sum on the right

Albert R Meyer March 16, 2011 lec 7W.12

### Handshaking Lemma

sum of degrees is twice # edges

$$2|E| = \sum_{v \in V} \text{deg}(v)$$

2+2+1 = odd, so impossible

Albert R Meyer March 16, 2011 lec 7W.13

### Sex in America: Men more Promiscuous?

Study claims: Men average many more partners than women.

Graph theory shows this is nonsense

Albert R Meyer March 16, 2011 lec 7W.14

### Sex Partner Graph

Albert R Meyer March 16, 2011 lec 7W.15

### Counting pairs of partners

$$\sum_{m \in M} \text{deg}(m) = |E| = \sum_{f \in F} \text{deg}(f)$$

now divide by both sides by  $|M|$

$$\frac{\sum_{m \in M} \text{deg}(m)}{|M|} = \frac{|F|}{|M|} \frac{\sum_{f \in F} \text{deg}(f)}{|F|}$$

avg-deg(M) avg-deg(F)

Albert R Meyer March 16, 2011 lec 7W.16





### Average number of partners

$$\text{avg-deg}(M) = 1.035 \cdot \text{avg-deg}(F)$$

Averages differ solely by  
ratio of females to males.

No big difference  
Nothing to do with promiscuity



Albert R Meyer March 16, 2011

lec 7W.17



### Team Problems

# Problems

# 1 & 2



Albert R Meyer March 16, 2011

lec 7W.33

6.042 Simple Graphs  
Degrees

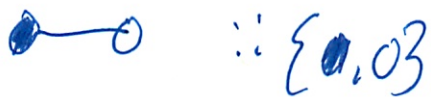
(Miniquiz<sup>3</sup>)

technical meaning: no arrows

can also have multigraphs - we don't cover  
non empty set of vertices + edges

each edge has 2 endpoints  
"Undirected"

no self loops by convention in simple graphs



if 2 vertices have an edge = adjacent

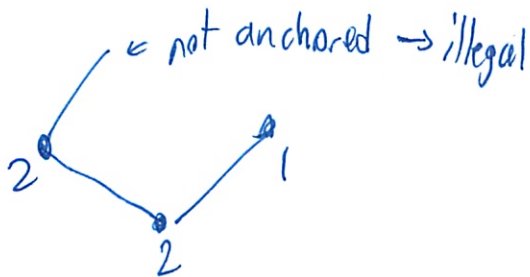
edge is incident to its endpoints

degree of vertex = # of incident edges  
- aka # arrows in/out

but no distinction b/w in/out

Is there a graph w/ vertex degrees 2, 2, 1?

No



②

Handshaking Lemma - sum of degrees is twice # edges

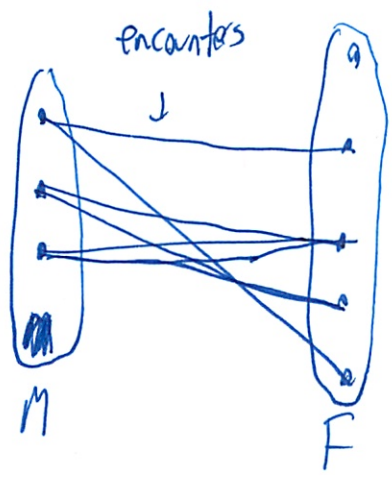
$$2|E| = \sum_{v \in V} \deg(v)$$

so sum of degrees must be even

- not every ~~even~~ even sum can be done

Sex Study; men have many more partners than women

- heterosexual, one on one only



Counting pairs of partners

$$\sum_{m \in M} \deg(m) = |E| = \sum_{f \in F} \deg(f)$$

∑ must be equal! (invariant)

divide both side by |M| to find # of partners

$$\underbrace{\sum_{m \in M} \deg(m)}_{\text{avg for men}} = \frac{|F|}{|M|} \cdot \underbrace{\sum_{f \in F} \deg(f)}_{\text{avg partners for men}}$$

↑ so circles



③

Only has to do w/ ratio of ~~men~~ men vs females

\* Averages only differ by ratio of females to males

## In-Class Problems Week 7, Wed.

### Problem 1.

A researcher analyzing data on heterosexual sexual behavior in a group of  $m$  males and  $f$  females found that within the group, the male average number of female partners was 10% larger than the female average number of male partners.

(a) Comment on the following claim. "Since we're assuming that each encounter involves one man and one woman, the average numbers should be the same, so the males must be exaggerating."

(b) For what constant  $c$  is  $m = c \cdot f$ ?

(c) The data shows that approximately 20% of the females were virgins, while only 5% of the males were. The researcher wonders how excluding virgins from the population would change the averages. If he knew graph theory, the researcher would realize that the nonvirgin male average number of partners will be  $x(f/m)$  times the nonvirgin female average number of partners. What is  $x$ ?

(d) For purposes of further research, it would be helpful to pair each female in the group with a unique male in the group. Explain why this is not possible.

**Problem 2.** (a) Prove that in every graph, there are an even number of vertices of odd degree.

*Hint:* The Handshaking Lemma [11.2.1](#).

(b) Conclude that at a party where some people shake hands, the number of people who shake hands an odd number of times is an even number.

(c) Call a sequence of two or more different people at the party a *handshake sequence* if, except for the last person, each person in the sequence has shaken hands with the next person in the sequence.

Suppose George was at the party and has shaken hands with an odd number of people. Explain why, starting with George, there must be a handshake sequence ending with a different person who has shaken an odd number of hands.

*Hint:* Just look at the people at the ends of handshake sequences that start with George.

1. a. This argument was made in class  
more females than males means

b.  $m = c \cdot f$

just  $c = \frac{m}{f} = 1.1$  - the ratio of males to females

males more  
promises  
slightly  
↑ a diff  
proportion  
not exactly male

c. Exclude virgins

Would it change average

$$\times \left( \frac{f}{m} \right)$$

↑ something about ratio of virgin-ness  
or not related at all - if trick  
- but how could that work?

$$\frac{18}{195} \approx .0923$$

want it to be close to 1

d. 1.1 m

so there are more women than men

so there would need to be some three-somes



Notation	
∴	therefore
∵	because

20. Explained in lecture as well.

Sum of the degrees of the vertices must be even. The sum of any two odd # is even

So every odd-degree vertex must be paired with another for the total to be even

∴ there must be an even # of odd degree vertices

b) Yeah b/c pairing!

Induction?

Write out a bit more

Handshakes can be considered edges in a graph where each person is a vertex. The degree of each vertex is the # of ~~hands~~ hands the corresponding person has shaken

So b part a, there must be an even # of people who have shaken hands w/ an odd #

~~Yeah~~ Yeah so reflect it back to graph theory

c) A handshake seq beginning at an odd-degree vertex can loop back to the starting vertex, but each loop req two edges on the start vertex.

(3)

~~The last edge can not return to the <sup>start</sup> vertex, false~~

Only for handshake seq. of same kind

Any even-order vertex reached on this seq. must have another edge leading to the vertex

These edges can be followed until an odd-degree vertex is reached (guaranteed by part a)

Correct - but not well written

## Solutions to In-Class Problems Week 7, Wed.

### Problem 1.

A researcher analyzing data on heterosexual sexual behavior in a group of  $m$  males and  $f$  females found that within the group, the male average number of female partners was 10% larger than the female average number of male partners.

(a) Comment on the following claim. "Since we're assuming that each encounter involves one man and one woman, the average numbers should be the same, so the males must be exaggerating."

**Solution.** The averages won't be the same. According to equation (11.1),

$$\text{Avg. \# male partners} = \frac{|F|}{|M|} \cdot \text{Avg. \# female partners} \quad (1)$$

So the averages simply reflect the relative sizes of the male and female populations. This means that the males could truthfully report a higher average if there were more females.

Of course if the males exaggerate, then their reported average could be as large as they choose to fantasize, whatever the size of the female population. ■

(b) For what constant  $c$  is  $m = c \cdot f$ ?

**Solution.** By equation (1), the men's average number of partners is  $f/m$  times the female's average, so  $f/m = 1.1$  which implies  $m = (1/1.1)f$  and  $c = 10/11$ . ■

(c) The data shows that approximately 20% of the females were virgins, while only 5% of the males were. The researcher wonders how excluding virgins from the population would change the averages. If he knew graph theory, the researcher would realize that the nonvirgin male average number of partners will be  $x(f/m)$  times the nonvirgin female average number of partners. What is  $x$ ?

**Solution.** The male average number of partners is  $f/m$  times the female average number of partners. (According to part (b),  $f/m = 1.1$ , but this number isn't needed here.) When virgins are excluded, the ratio of the male's average to the females' average will be

$$\frac{f - .2f}{m - .05m} = \frac{.8f}{.95m} = \frac{4/5}{19/20} \cdot \frac{f}{m},$$

so  $x = 80/95 = 16/19$ . ■

(d) For purposes of further research, it would be helpful to pair each female in the group with a unique male in the group. Explain why this is not possible.

**Solution.** There are more females than males, so there cannot be an injective function from the females to the males. ■



**Problem 2.** (a) Prove that in every graph, there are an even number of vertices of odd degree.

*Hint:* The Handshaking Lemma 11.2.1.

**Solution.** *Proof.* Partitioning the vertices into those of even degree and those of odd degree, we know

$$\sum_{v \in V} d(v) = \sum_{d(v) \text{ is even}} d(v) + \sum_{d(v) \text{ is odd}} d(v)$$

By the Handshaking Lemma, the value of the lefthand side of this equation equals twice the number of edges, and so is even. The first summand on the righthand side is even since it is a sum of even values. So the second summand on the righthand side must also be even. But since it is entirely a sum of odd values, it must contain an even number of terms. That is, there must be an even number of vertices with odd degree. ■

(b) Conclude that at a party where some people shake hands, the number of people who shake hands an odd number of times is an even number.

**Solution.** We can represent the people at the party by the vertices of a graph. If two people shake hands, then there is an edge between the corresponding vertices. So the degree of a vertex is the number of handshakes the corresponding person performed. The result in the first part of this problem now implies that there are an even number of odd-degree vertices, which translates into an even number of people who shook an odd number of hands. ■

(c) Call a sequence of two or more different people at the party a *handshake sequence* if, except for the last person, each person in the sequence has shaken hands with the next person in the sequence.

Suppose George was at the party and has shaken hands with an odd number of people. Explain why, starting with George, there must be a handshake sequence ending with a different person who has shaken an odd number of hands.

*Hint:* Just look at the people at the ends of handshake sequences that start with George.

**Solution.** The handshake graph between just the people at the ends of handshake sequences that start with George is a graph, so by part (b), it must have an even number of people who shake an odd number of hands. In particular, there must be at least one other person besides George, call him Harry, who has also shaken an odd number of hands. So the handshake sequence from George that ends with Harry is what we were looking for. ■

TP 7.1 Edges + Degrees

How many edges if degree of vertices are

4, 3, 3, 2, 2

$$\text{Sum} = 14$$

$$\text{Take half} = 7 \quad \checkmark$$

TP 7.2 Matching

## A. A Perfect Matching

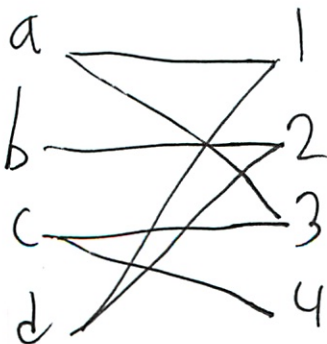
- it covers  $V(G)$
- regular matching = vertex only has 1 edge
- Cover = every vertex has <sup>at least</sup> 1 edge in the set
- Perfect =  $\hat{=}$  covers for  $S$  of ~~all~~ all vertices

~~All vertices conn~~

the minimum size edge cover

Still confused, emailed in

Find the perfect ~~edge~~ matching



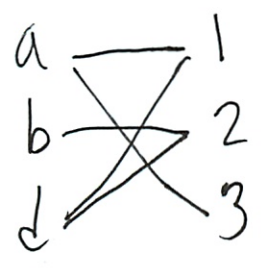
So find a Graph

- is a selection of edges so true?

wp: lots of rules about finding

② Try to find

y must go to c  
since only line  
then cross them out



next 3-a



b-2  
d-1

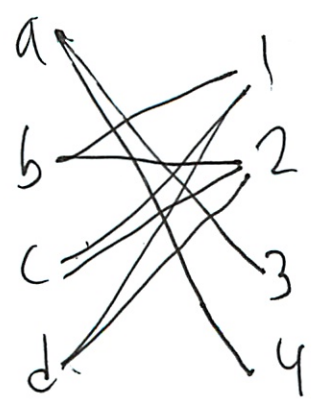


I found the algorithm!

Part 2

No Perfect Matching

Which property makes perfect matching impossible?





③

well let me try

oh a goes to 3,4

But 7 possible ans

#1 False

#2 ? Don't think

#3 True

#4 8 edges - must be  $\deg(l) \geq \deg(r)$

? Can't you flip - so must be  $\neq$  = ?

8 8

well = - not what meant

but a smaller subset

not regular

will say no

#5. tree

#6. Perhaps - when do w/ subsets say True

#7 True - wrong if flip

↳ can you even do this?

3 5 6 7 (x)

3 5 7 (x)

3 5 (✓)

4

# TP.7.3 Stable Marriage Invariants

What are invariants?  
(Always false is invariant)

#1  
K A  
P O  
T J  
↓ ↓

Well first part may not be true - we don't have the graph

~~But if graph says~~  
No I see. True

#2.  $T \rightarrow J$   
Yes, if above

#3 Not in beginning

#4 Never  
~~to~~

#5 No one can move down

1	2	3	4
A	B	A	A
B	A	B	B
C	C	C	C
D	D	D	D
A <sub>pref</sub>	B <sub>pref</sub>	C <sub>pref</sub>	D <sub>pref</sub>

#5

#6 True - he prefer her - but was not possible now  
So is that true?

#7. True - if above

#8. No set not true Oh did not read whole qu

1267 (X)

Tried a bunch ... Give up

1	<del>2</del>	4	6	7	8
✓	↑	↑	✓	✓	↑
	not				
	2				

~~12~~ Long reasoning ...



6

# TP 7.4 Graph Isomorphism

How many bij are there

- I was always bad w/ these!

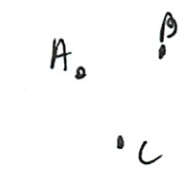
1? ~~∞~~

No it means how many diff sets of arrays

Labels do not matter

~~$\frac{N^2 - N}{2}$~~   $\frac{N^2 - N}{2}$   $\frac{N^2 - N}{2}$

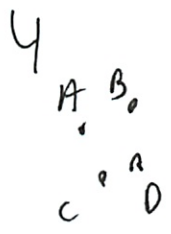
Say for 3



- A - B
- A - C
- B - C

	A	B	C
A		X	X
B			X
C			

$$\frac{9-3}{2} = 3$$



- A - B
- A - C
- A - D
- B - C
- B - D
- C - D

	A	B	C	D
A		X	X	X
B			X	X
C				X
D				

$$\frac{16-4}{2} = 6$$

So for 5

$$\frac{5^2 - 5}{2} = \frac{20}{2} = 10 \quad (\checkmark) \text{ cool}$$

## ⑦ Part 2 Non Iso Graphs

What sentences prove that not iso

- So must always ans comparing 2 graphs

#1. Three Matters + True

#2 Does not matter

#3 Matters + True

#4 Who cares labling

#5 " drawing

#6 Matters + ~~True~~

? did not look - got lazy  
fully

1 3 6 (X)

1 3 (✓)

---

## TP 7.5 BiPartite Graphs

Which are biparte

a ✓

b x

c x

(✓)

easy!

---

## TP 7.6 Connected Components

$G =$  graph w/ vertices <sup>are the</sup> integers

w/ edges b/w  $i-j$  iff  $|i-j| = 6$

(size or abs)


8

So  
7-1  
8-2  
9-3  
10-4

? connected components  
- not edges

Oh continue, I see

11-5  
12-6  
13-7 ← repeat  
14-8  
15-9

Oh  


14-8-2  
15-9-3

Won't loop


6 ✓

---

TP. 7.7 Graph Coloring

-future reading





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# Simple Graphs

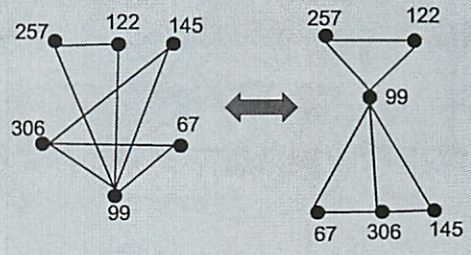
# Isomorphism


# Stable Marriage


 Albert R Meyer March 18, 2011 lec 7F.1

## The Graph Abstraction

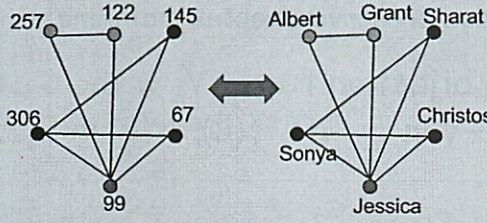
Same graph, different layouts





 Albert R Meyer March 18, 2011 lec 7F.2

## The Graph Abstraction


Same layout, different vertices




 Albert R Meyer March 18, 2011 lec 7F.3


## The Graph Abstraction

All that matters are the connections: graphs with the same connections are isomorphic

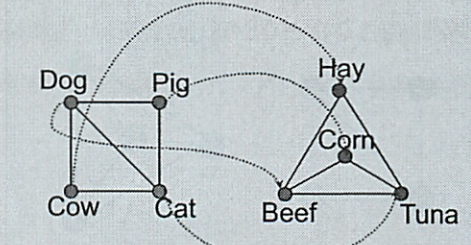

 Albert R Meyer March 18, 2011 lec 7F.3

## Isomorphism


two graphs are isomorphic when there is an edge-preserving bijection between their vertices.


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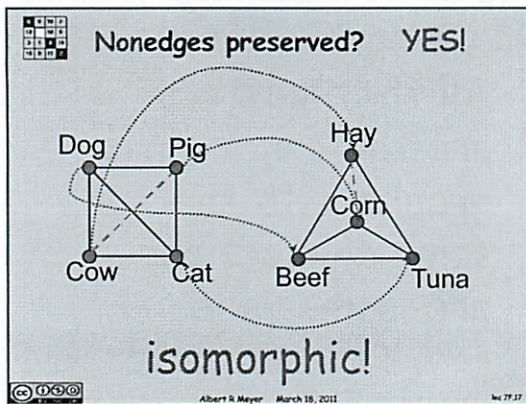
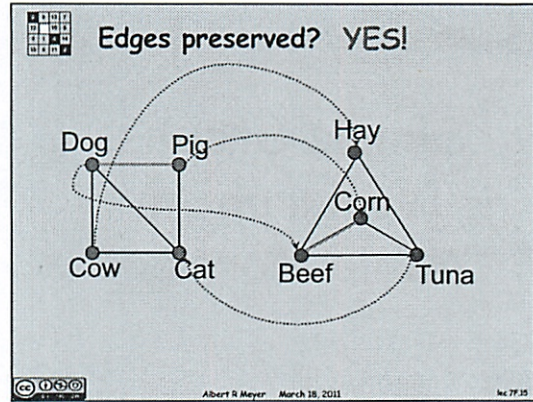
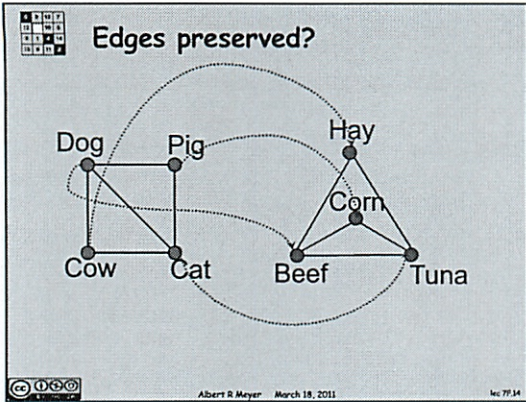
## Are these isomorphic?



$f(\text{Dog}) = \text{Beef}$      $f(\text{Cow}) = \text{Hay}$   
 $f(\text{Cat}) = \text{Tuna}$      $f(\text{Pig}) = \text{Corn}$


 Albert R Meyer March 18, 2011 lec 7F.3



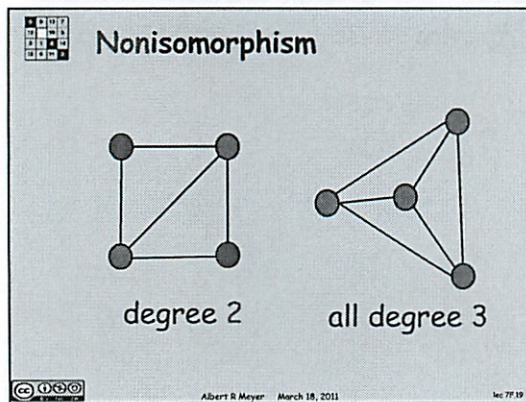


**Formal Def of Graph Isomorphism**

$G_1$  isomorphic to  $G_2$  means  
edge-preserving vertex matching:

$\exists$  bijection  $f: V_1 \rightarrow V_2$  with  
 $u-v$  in  $E_1$  iff  $f(u)-f(v)$  in  $E_2$

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**Proving nonisomorphism**

If some property preserved by isomorphism differs for two graphs, then they're not isomorphic:

- # of nodes,
- # of edges,
- degree distributions, ....

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 Finding an isomorphism?

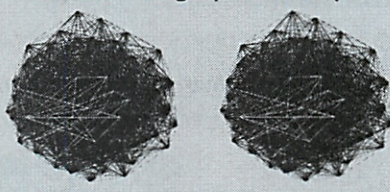
many possible mappings: large search  
 can use properties *preserved* by isomorphisms as a guide, for example:

- a deg 4 vertex adjacent to a deg 3 can only match with
- a deg 4 vertex also adjacent to a deg 3


but even so...


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 Are these two graphs isomorphic?





...nothing known is *sure* to be much faster than searching thru all bijections for an isomorphism

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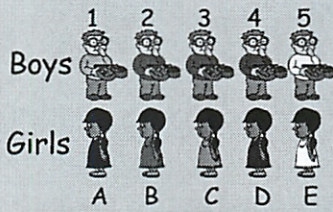
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# Stable Matching


 Albert R Meyer March 18, 2011 lec 7F.23


 **Stable Marriage**

## A Marriage Problem




Boys 1 2 3 4 5  
 Girls A B C D E


 Albert R Meyer March 18, 2011 lec 7F.24

 **Stable Marriage**

## Preferences


Boys	Girls
1: CBEAD	A: 35214
2: ABECD	B: 52143
3: DCBAE	C: 43512
4: ACDBE	D: 12345
5: ABDEC	E: 23415

 Albert R Meyer March 18, 2011 lec 7F.25

 **Stable Marriage**

## Preferences

1: CBEAD	Try "greedy" strategy for boys
2: ABECD	
3: DCBAE	
4: ACDBE	
5: ABDEC	

 Albert R Meyer March 18, 2011 lec 7F.26



**Stable Marriage**

• Preferences

Marry Boy 1 with Girl C  
(his 1<sup>st</sup> choice)

1: ~~C~~BEAD  
 2: ABEC~~D~~  
 3: D~~C~~BAE  
 4: ACDBE  
 5: ABDE~~C~~

1 C

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**Stable Marriage**

Preferences

2: ABED  
 3: DBAE  
 4: ADBE  
 5: ABDE

2 A

Albert R Meyer March 18, 2011 lec 7F.28

**Stable Marriage**

• Preferences

2: ABED  
 3: DBAE  
 4: ADBE  
 5: ABDE

2 A

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**Stable Marriage**

Next:  
Marry Boy 2 with Girl A  
(his remaining 1<sup>st</sup> choice)

2: ~~ABED~~  
 3: DBA~~E~~  
 4: ADBE  
 5: ABDE

2 A

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**Stable Marriage**

Final "boy greedy" marriages

1 C  
2 A  
3 D  
4 B  
5 E

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**Stable Marriage**

Trouble!

1 C  
4 B

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**Stable Marriage**

Boy 4 likes Girl C better than his wife.

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**Stable Marriage and vice-versa**

Albert R Meyer, March 18, 2011. lec 7F.34

**Stable Marriage a rogue couple**

Albert R Meyer, March 18, 2011. lec 7F.35

**Stable Marriage Problem:**

Marry everyone without any rogue couples!

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**Stable Marriage**

Let's try it!


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**Stable Marriage I**

"boy optimal"


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 **Stable Marriage II.**


all girls get 1st choice


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 **Stable Marriage**


More than a puzzle:


- College Admissions  
(original Gale & Shapley paper, 1962)
- Matching Hospitals & Residents.
- Matching Dance Partners.

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 **Stable Marriage**




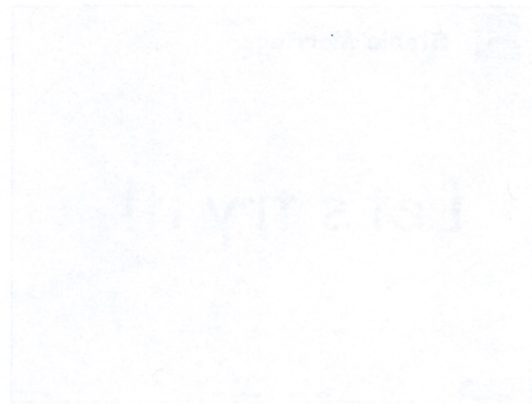
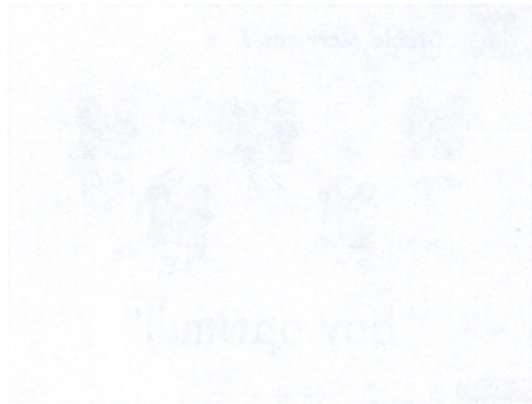
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 **Team Problems**

**Problems**

**1-4**

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TA teaching Oshan:

Simple Graphs  
Isomorphism  
Stable Marriage

lots of uses

Isomorphism - same # of vertices

Same connection = isomorphic

↳ edge-preserving bijection

diff labels and lines

non edges are preserved as well

$$\left( \begin{array}{l} \exists \text{ bij } f: V_1 \rightarrow V_2 \text{ with} \\ u-v \text{ in } E_1 \text{ iff } f(u)-f(v) \text{ in } E_2 \end{array} \right)$$

# of vertices w/ each degree must match

# nodes " "

# edges " "

②

But hard to tell  
2 In logs

### Stable Matching

#### The Marriage Problem

1	2	3	4	5	Boys
A	B	C	D	E	Girls
					Girls

#### Preferences

1	CBEAD	A	35214
2	etc	B	52143
3		C	
4		D	
5		E	

"Greedy" strategy for boys

(Just what was in book)

Can do online paper

College Applications

Internship Assigns

Alxami

## In-Class Problems Week 7, Fri.

### Problem 1.

See if you can come up with a stable marriage assignment given the following preferences. You are not expected to know/remember the Mating Ritual that solves this problem in general. (And if you do remember the protocol, don't spoil your teammates' fun by telling them.)

<i>boys</i>	<i>girls</i>
1 : <i>CBEAD</i>	<i>A</i> : 35214
2 : <i>ABECD</i>	<i>B</i> : 52143
3 : <i>DCBAE</i>	<i>C</i> : 43512
4 : <i>ACDBE</i>	<i>D</i> : 12345
5 : <i>ABDEC</i>	<i>E</i> : 23415

### Problem 2.

For each of the following pairs of graphs, either define an isomorphism between them, or prove that there is none. (We write  $ab$  as shorthand for  $a-b$ .)

(a)

$$G_1 \text{ with } V_1 = \{1, 2, 3, 4, 5, 6\}, E_1 = \{12, 23, 34, 14, 15, 35, 45\}$$
$$G_2 \text{ with } V_2 = \{1, 2, 3, 4, 5, 6\}, E_2 = \{12, 23, 34, 45, 51, 24, 25\}$$

(b)

$$G_3 \text{ with } V_3 = \{1, 2, 3, 4, 5, 6\}, E_3 = \{12, 23, 34, 14, 45, 56, 26\}$$
$$G_4 \text{ with } V_4 = \{a, b, c, d, e, f\}, E_4 = \{ab, bc, cd, de, ae, ef, cf\}$$

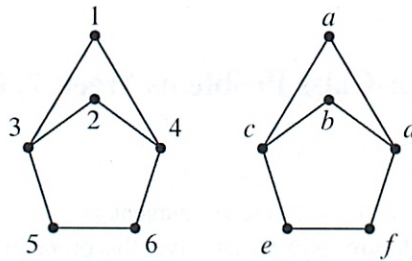
(c)

$$G_5 \text{ with } V_5 = \{a, b, c, d, e, f, g, h\}, E_5 = \{ab, bc, cd, ad, ef, fg, gh, he, dh, bf\}$$
$$G_6 \text{ with } V_6 = \{s, t, u, v, w, x, y, z\}, E_6 = \{st, tu, uv, sv, wx, xy, yz, wz, sw, vz\}$$



**Problem 3.**

There are four isomorphisms between these two graphs. List them.

**Problem 4.**

The most famous application of stable matching was in assigning graduating medical students to hospital residencies. Each hospital has a preference ranking of students and each student has a preference order of hospitals, but unlike the setup in the notes where there are an equal number of boys and girls and monogamous marriages, hospitals generally have differing numbers of available residencies, and the total number of residencies may not equal the number of graduating students.

What would be a *rogue couple* when matching medical students and hospitals?

Modify the definition of stable matching so it applies in this situation.

(13)

In-Class

3/19

1. Just do the protocol - it was in the req reading

A	B	C	D	E
35214	52143	43512	12345	23415

<del>2 ABECD</del>	1	3
<del>4 ACDBE</del>	CBEAD	BDCEAE
5 ABDEC		

5 ABDEC	2 BECD	<del>1</del> CBEAD	3 DCBAE	4
---------	--------	-----------------------	---------	---

~~4~~  
CDBE

5	2	<del>4</del>	3
---	---	--------------	---

~~1~~ BEAD

5	2	4	3	1	✓
---	---	---	---	---	---

Worst stable pairings for ~~boys~~ girls  
 - Best guy they can get

2

2. Means writing bij for what = what? Yes

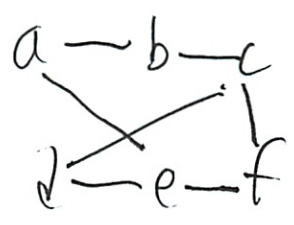


e has a 4-deg

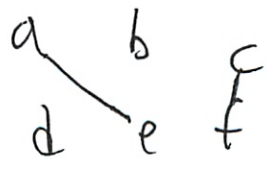
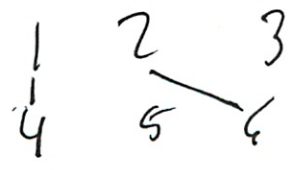
exists no 4 degree

No isomorphism

11. b.



So ignore 1-2-3-4-5-6 - ? can do



Are- perhaps have # of deg

- 1 → c
- ~~4~~ → f
- a → 2
- e → 6
- b → ~~5~~ 5
- d → 3



(3)

Just draw and see

- 'if draw in certain way easy to flip

- 1 → f
- 2 → ~~h~~ e
- 3 → d
- 4 → c
- 5 → b
- 6 → a

Hard to see quickly

B.c No bij

~~the~~ <sup>G<sub>6</sub></sup> degree 3 - adj - to degree 3

but G<sub>5</sub> does not

3. 4 is morphisms possible

So 4 dif possibilities for bij

first obv

1	a	deg
2	b	2
3	c	3
4	d	3
5	e	2
6	f	2

mirror

1	a
2	b
3	d
4	c
5	f
6	e

or just mirror one or other

1	a
2	b
3	c
4	d
5	e
6	f

no flip ce and df together

4

#### 4. Grad School

Range caple - a student would prefer a hospital more  
and the hospital would prefer the student more  
= unhappines

def centers around happiness

schools may drop out of program if  
they don't see good matches

Break up ~~into~~ hospitals into ind. spots

for each student in hospitals

there ~~is~~ a hospital that it prefers that would take him/her

↑  
prefers him/  
her over  
a student it  
already has

## Solutions to In-Class Problems Week 7, Fri.

### Problem 1.

See if you can come up with a stable marriage assignment given the following preferences. You are not expected to know/remember the Mating Ritual that solves this problem in general. (And if you do remember the protocol, don't spoil your teammates' fun by telling them.)

<i>boys</i>	<i>girls</i>
1 : <i>CBEAD</i>	<i>A</i> : 35214
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4 : <i>ACDBE</i>	<i>D</i> : 12345
5 : <i>ABDEC</i>	<i>E</i> : 23415

### Solution.

$5A\ 2B\ 4C\ 3D\ 1E$                       a boy optimal matching  
 $3A\ 5B\ 4C\ D2\ 2E$                       girls get their 1st choice

### Problem 2.

For each of the following pairs of graphs, either define an isomorphism between them, or prove that there is none. (We write  $ab$  as shorthand for  $a-b$ .)

(a)

$G_1$  with  $V_1 = \{1, 2, 3, 4, 5, 6\}$ ,  $E_1 = \{12, 23, 34, 14, 15, 35, 45\}$   
 $G_2$  with  $V_2 = \{1, 2, 3, 4, 5, 6\}$ ,  $E_2 = \{12, 23, 34, 45, 51, 24, 25\}$

**Solution.** Not isomorphic:  $G_2$  has a node, 2, of degree 4, but the maximum degree in  $G_1$  is 3. ■

(b)

$G_3$  with  $V_3 = \{1, 2, 3, 4, 5, 6\}$ ,  $E_3 = \{12, 23, 34, 14, 45, 56, 26\}$   
 $G_4$  with  $V_4 = \{a, b, c, d, e, f\}$ ,  $E_4 = \{ab, bc, cd, de, ae, ef, cf\}$

**Solution.** Isomorphic (two isomorphisms) with the vertex correspondences:

$1f, 2c, 3d, 4e, 5a, 6b$   
or  $1f, 2e, 3d, 4c, 5b, 6a$



(c)

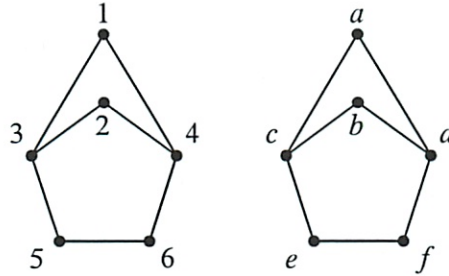
$$G_5 \text{ with } V_5 = \{a, b, c, d, e, f, g, h\}, E_5 = \{ab, bc, cd, ad, ef, fg, gh, he, dh, bf\}$$

$$G_6 \text{ with } V_6 = \{s, t, u, v, w, x, y, z\}, E_6 = \{st, tu, uv, sv, wx, xy, yz, wz, sw, vz\}$$

**Solution.** Not isomorphic: they have the same number of vertices, edges, and set of vertex degrees. But the degree 2 vertices of  $G_1$  are all adjacent to two degree 3 vertices, while the degree 2 vertices of  $G_2$  are all adjacent to one degree 2 vertex and one degree 3 vertex. ■

**Problem 3.**

There are four isomorphisms between these two graphs. List them.



**Solution.** These are the vertex correspondences for the four isomorphisms:

1A, 2B, 3C, 4D, 5E, 6F

1A, 2B, 3D, 4C, 5F, 6E

1B, 2A, 3C, 4D, 5E, 6F

1B, 2A, 3D, 4C, 5F, 6E

■

**Problem 4.**

The most famous application of stable matching was in assigning graduating medical students to hospital residencies. Each hospital has a preference ranking of students and each student has a preference order of hospitals, but unlike the setup in the notes where there are an equal number of boys and girls and monogamous marriages, hospitals generally have differing numbers of available residencies, and the total number of residencies may not equal the number of graduating students.

What would be a *rogue couple* when matching medical students and hospitals?


Modify the definition of stable matching so it applies in this situation.

**Solution.** A matching is an assignment of medical students to residencies in each of the hospitals (an injection,  $A : \text{students} \rightarrow \text{residencies}$ ) such that every student has a residency ( $A$  is total), or every residency has an assigned student ( $A$  is a surjection). A stable assignment is one with no *rogue couples*, where a rogue couple is a hospital student pair  $(H, S)$  such that  $S$  is not assigned to one of the residencies at  $H$ , which she prefers over her current assignment, and


- $H$  has some students assigned to some of its residencies and prefers  $S$  to at least one of its assigned students, or
- $H$  has none of its residencies assigned.


■





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# Stable Matching: Mating Ritual

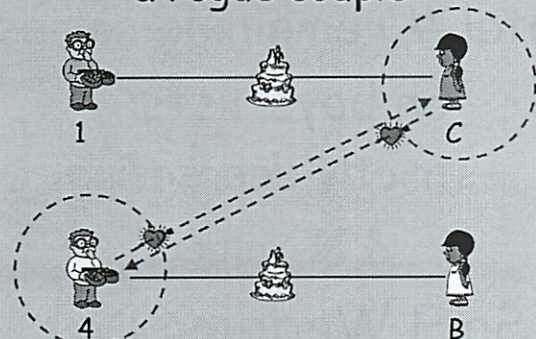

Albert R Meyer, March 28, 2011 lec 8M.1



**Stable Marriage**


**Stable Marriage Problem:**  
 Marry everyone without any rogue couples!


Albert R Meyer, March 28, 2011 lec 8M.2


**Stable Marriage**  
 a rogue couple






Albert R Meyer, March 28, 2011 lec 8M.3




# The Mating Ritual

  
 (day by day)



Albert R Meyer, March 28, 2011 lec 8M.4



**Mating Ritual**

Morning: boy serenades favorite girl



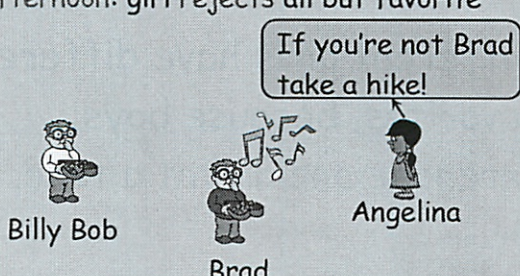
Billy Bob      Brad      Angelina


Albert R Meyer, March 28, 2011 lec 8M.5



**Mating Ritual**

Morning: boy serenades favorite girl  
 Afternoon: girl rejects all but favorite

If you're not Brad take a hike!




Billy Bob      Brad      Angelina


Albert R Meyer, March 28, 2011 lec 8M.6




**Mating Ritual**

- Morning: boy serenades his favorite girl
- Afternoon: girl rejects all but her favorite boy
- Evening: rejected boy writes off girl



Angelina



Billy Bob

Albert R. Meyer, March 28, 2011 lec 8M.7

**Mating Ritual**

Stop when no girl rejects.  
Each girl marries her favorite suitor (if any).

Albert R. Meyer, March 28, 2011 lec 8M.8

**Mating Ritual**

Termination:  
There exists a Wedding Day.

Partial Correctness:  
Everyone is married.  
Marriages are stable.

Albert R. Meyer, March 28, 2011 lec 8M.9

**Stable Marriage: termination**

total # remaining names  
on boys' lists:  
strictly decreasing  
& N-valued

So  $\exists$  Wedding Day

Albert R. Meyer, March 28, 2011 lec 8M.10

**Mating Ritual**

Different girls have different favorites, because boys serenade one girl at a time.

Albert R. Meyer, March 28, 2011 lec 8M.11

**Mating Ritual: girls improve**

*Lemma:*

A girl's favorite tomorrow will be at least as desirable to her as today's.  
...because today's favorite will stay until she rejects him for someone better.

Albert R. Meyer, March 28, 2011 lec 8M.12





### Mating Ritual: boys get worse

*Lemma:*

A boy's favorite tomorrow will be no more desirable to him than today's.  
...because boys work straight down their lists.



### Mating Ritual: invariant

If  $G$  is not on  $B$ 's list, then she has a better current favorite.

*Proof:* When  $G$  rejected  $B$  she had a better suitor (her favorite that day), and her favorites never get worse.



### On Wedding Day

Each girl has  $\leq 1$  suitor.  
(by def of wedding day)  
Each boy is married, or has no girls on his list.



### Mating Ritual: everyone marries

Everyone is married on wedding day

*Proof:* By contradiction.

If  $B$  is not married, his list is empty. By invariant, all girls have favorites better than  $B$  -- so they do have a favorite. That is, all girls are married, so all boys are married.



### Mating Ritual: stable marriages

Marriages are Stable:  
Bob won't be in rogue couple with case 1: a girl  $G$  on his final list, since he's already married to the best of them.



### Mating Ritual: stable marriages

Marriages are Stable:  
Bob won't be in rogue couple with case 2: a girl  $G$  not on his list, since by invariant,  $G$  likes her spouse better than Bob.





## Mating Ritual

Who does better,  
boys or girls?

Girls' suitors get better,  
and boys' sweethearts get  
worse, so girls do better?

**No!**



## Boy Optimal

Mating Ritual is  
Optimal for all boys at  
once.

Pessimal for all girls.



## Stable Marriage

More questions, rich theory

- other stable marriages possible? - can be many
- do better by lying?  
boys -No! girls -Yes!





3/28  
As well

MIT Logo

Mathematics for Computer Science  
MIT 6.042J/18.062J

# Bipartite Matching

MIT Logo

Albert R Meyer, March 28, 2011

lec 8M-hall.1

MIT Logo

## Compatible Boys & Girls

compatible

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lec 8M-hall.2

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## Compatible Boys & Girls

match each girl to a unique compatible boy

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lec 8M-hall.3

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## Compatible Boys & Girls

a matching

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lec 8M-hall.4

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## Compatible Boys & Girls

suppose this edge was missing

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Albert R Meyer, March 28, 2011

lec 8M-hall.5

MIT Logo

## Compatible Boys & Girls

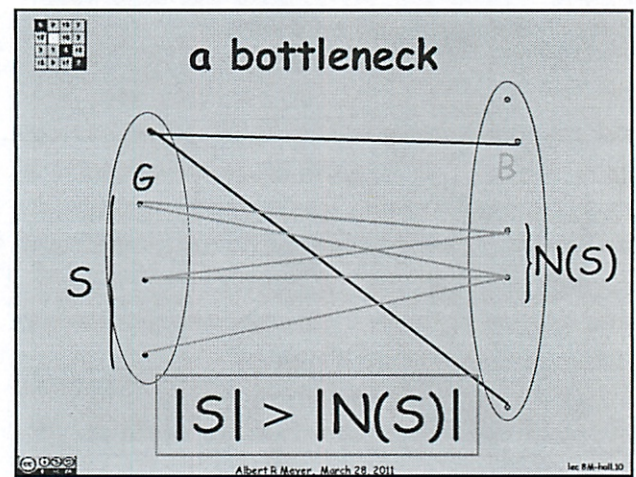
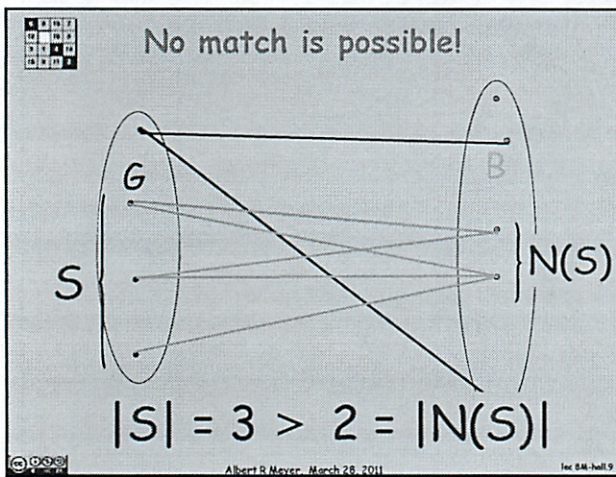
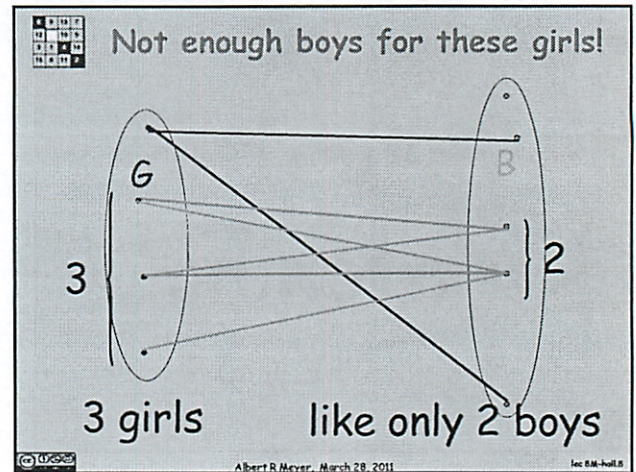
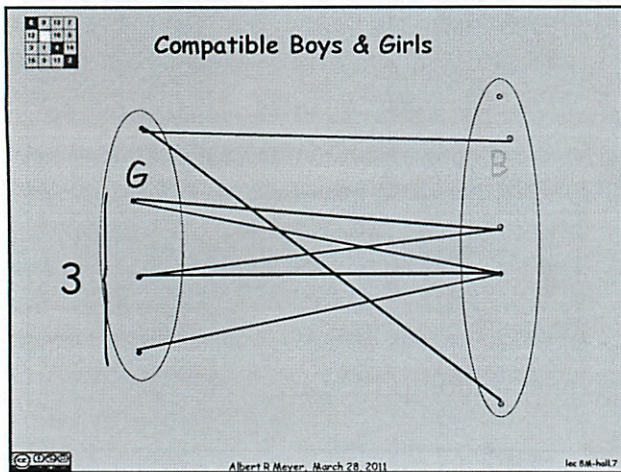
suppose this edge was missing

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Albert R Meyer, March 28, 2011

lec 8M-hall.6





**Bottleneck Lemma**

If there is a bottleneck,  
then no match is possible,  
obviously.

Albert R Meyer, March 28, 2011 lec 8M-hall.11

**Hall's Theorem**

Conversely, if there are  
no bottlenecks, then  
there is a match.

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## Hall's Theorem

Hall's condition

If  $|S| \leq |N(S)|$  for all  
sets of girls,  $S$ , then  
there is a match.

(proof in Notes)



## How to verify no bottlenecks?

fairly efficient matching  
procedure is known  
(explained in algorithms subjects)

...but there is a special  
situation that ensures a  
match...



## How to verify no bottlenecks?

If every girl likes  $\geq d$  boys,  
and every boy likes  $\leq d$  girls,  
then no bottlenecks.

a degree-constrained  
bipartite graph



## Team Problems

# Problems

# 1-4





(7 min late)

Marriage day will exist b/c # matches flat or down

Lemma: girls improve

- her fav tomorrow is at least as good as today's

- guy will stay until he sends away

Boy working down list

- tomorrow no more desirable

So invariants

- if  $G$  is not on  $B$ 's list - then she has a better current favorite

Wedding day - girl  $\leq 1$  boy

and boy has 0 people on list if not married

- must have stayed home

- if all girls rejected him

- all girls have better fav

- that they married

- same #  $B, G$ , so contradiction

- all boys must be married

(2)

All marriages stable

- All boys - girl married to on top of list

- For girl to reject boy, must have a more fav boy

Is boy optimal, girl pessimal  
- worst deal for girl

Can be multiple stable marriages

Hospital Residencies

Roommates

Can anyone improve shot by lying?

boys - No - already doing best

girls - Yes - can fake for girl optimal

---

## Bipartite Matching

diff # boys + girls

Split all vertices into 2 groups

edges only run b/w points

matching - one possible compatibility

- every vertex degree 0 or 1

3

Is there always a possible matching

But in this example 3 girls only like 2 boys

- not enough to go around

Call subset girls  $S$

boys  $N(S)$

$|S| > |N(S)| \leftarrow$  bottleneck

set of vertices are covered - no possible matching

every vertex has 1 edge

- matching is perfect (all the vertices are covered)

both property of matching

Hall's Theorem - converse, non obvious

If no bottlenecks  $\leftarrow$  Hall's Theorem  $(|S| \leq |N(S)|)$  for all sets of girls  $S$  then there is a match

How to check no bottlenecks?

- other class

But can verify:

If every girl likes  $\geq d$  boys, and every boy likes  $\leq d$  girls then no bottlenecks



## In-Class Problems Week 8, Mon.

### Problem 1.

Four Students want separate assignments to four VI-A Companies. Here are their preference rankings:

Student	Companies
Albert:	HP, Bellcore, AT&T, Draper
Nick:	AT&T, Bellcore, Draper, HP
Oshani:	HP, Draper, AT&T, Bellcore
Ali:	Draper, AT&T, Bellcore, HP

Company	Students
AT&T:	Ali, Albert, Oshani, Nick
Bellcore:	Oshani, Nick, Albert, Ali
HP:	Ali, Oshani, Albert, Nick
Draper:	Nick, Ali, Oshani, Albert

- (a) Use the Mating Ritual to find *two* stable assignments of Students to Companies.
- (b) Describe a simple procedure to determine whether any given stable marriage problem has a unique solution, that is, only one possible stable matching.

### Problem 2.

A preserved invariant of the Mating ritual is:

For every girl,  $G$ , and every boy,  $B$ , if  $G$  is crossed off  $B$ 's list, then  $G$  has a favorite suitor and she prefers him over  $B$ .

Use the invariant to prove that the Mating Algorithm produces stable marriages. (Don't look up the proof in the Notes or slides.)

**Problem 3.**

Because of the incredible popularity of Math for Computer Science, Rajeev decides to give up on regular office hours. Instead, each student can join some study groups. Each group must choose a representative to talk to the staff, but there is a staff rule that a student can only represent one group. The problem is to find a representative from each group while obeying the staff rule.

- (a) Explain how to model the delegate selection problem as a bipartite matching problem.
- (b) The staff's records show that no student is a member of more than 4 groups, and all the groups must have at least 4 members. That's enough to guarantee there is a proper delegate selection. Explain.

**Problem 4.**

Overworked and over-caffeinated, the Teaching Assistant's (TA's) decide to oust the lecturer and teach their own recitations. They will run a recitation session at 4 different times in the same room. There are exactly 20 chairs to which a student can be assigned in each recitation. Each student has provided the TA's with a list of the recitation sessions her schedule allows and no student's schedule conflicts with all 4 sessions. The TA's must assign each student to a chair during recitation at a time she can attend, if such an assignment is possible.

Describe how to model this situation as a matching problem. Be sure to specify what the vertices/edges should be and briefly describe how a matching would determine seat assignments for each student in a recitation that does not conflict with his schedule. This is a *modeling problem* —you need not determine whether a match is always possible.

## Appendix: The Mating Ritual

The *Mating Ritual* takes place over several days. The following events happen each day:

**Morning:** Each girl stands on her balcony. Each boy stands under the balcony of his favorite among the girls on his list, and he serenades her. If a boy has no girls left on his list, he stays home and does his 6.042 homework.

**Afternoon:** Each girl who has one or more suitors serenading her, says to her favorite suitor, "We might get engaged. Come back tomorrow." To the others, she says, "No. I will never marry you! Take a hike!"

**Evening:** Any boy who is told by a girl to take a hike, crosses that girl off his list.

**Termination condition:** When every girl has at most one suitor, the ritual ends with each girl marrying her suitor, if she has one.



## In-Class Problems Week 8, Mon.

### Additional Problem

#### Problem 1.

Suppose that Harry is one of the boys and Alice is one of the girls in the *Mating Ritual*. Which of the properties below are preserved invariants? Why?

- a. Alice is the only girl on Harry's list.
- b. There is a girl who does not have any boys serenading her.
- c. If Alice is not on Harry's list, then Alice has a suitor that she prefers to Harry.
- d. Alice is crossed off Harry's list and Harry prefers Alice to anyone he is serenading.
- e. If Alice is on Harry's list, then she prefers to Harry to any suitor she has.

la. Find two stable matchings using Mating Ritual  
- Similar to previous p-set

~~Students~~ Companies

AT+T

Bell

HP

Draper

Nick

Alb, Oshani

Ali

"

Albert

~~Alb~~ Oshani

done

Switch

A1

Nick

Oshani

Ali

Draper

Bellcorp

AT+T, HP

"

Bell, HP

AT+T

Draper, Bell

HP

AT+T

Bell

HP

AT+T, Draper

AT+T

Bell

HP

Draper

②

b) ~~Same as was discussed in class:~~

No! This is that there is only 1 stable matching

Our board: Run ritual 2x (like I did) w/ changing who is on balcony and who is suitor.

If same - only 1 possible ans

How does this prove:

2. Preserved invariant: For every G and B - if G is crossed off B's list then G has a ~~far~~ suitor she prefers to B

Prove ~~matching~~ that Matching algorithm produces stable marriages

- Ok this is easier than proving this is invariant.

Prob have to prove ~~that~~ also that B has no better.

So because crosses off - she likes someone better

And that person stays with her. Her prospects

only improve. Boys go to far girl that has not rejected them. If was a girl they liked better - then they would go to her - but stay don't.



(3)

On our board - our group is doing a more formal proof

3. No more OH - instead groups of 4

- well some #

- has one rep

- and student can only rep one group

a) How to model as Bipartite problem?

b) ~~All~~ All groups  $\geq 4$  people

No student in more than 4 ~~near~~ groups

- That guarantees a proper delegation selection. How?

What is worse case trying to prove?

- group 4 people

A student in 3 groups

ABCD ABCD ABCD

one rep possible

ABCD ABCE ABCF  
again

But how to write formally?

Perhaps do a first

4)

a) No bottlenecks no matching  
this means no bottleneck } TA

a) Group one - student vertices  
" two - student group "

Edge if member

So it can cover - would be a delegate for each group

b)  $s \leq 4$        $e \leq 4$   
 $|e| \leq 4$        $|e| \geq 4$

4. First group - students

2nd - seat/time pair

-ie (2 pm seat #1

1 pm " #1

12 pm #1 #2

There is an edge if it fits

If it "covers" set of students then each student can be  
the subset of students accommodate

Do you have to say separately seats ~~at~~  $\leq 1$  person

5

## Additional problem 1)

Which are preserved invariants?

a) Alice is only girl on Harry's list;  
No, false

b) Only girl  
not always true

c) False - prets do not need to be symmetric

d) True - otherwise he would be serenading Alice  
or other girl higher on his original, not-crossed out list.

e) False, see c

Remember preserved invariant means once true it stays true

False → False	Ok
True → True	Ok
False → True	Great
True → False	NO!



(6) Rebo

3b) For a subset of groups are at least  $\frac{1}{n}$  incident edges. Since each student is incident at most  $\frac{1}{n}$  edges - there must be at least  $n$  students adj' to this subset of groups.

So cannot be a bottleneck

Since there are no bottleneck Hall's Theorem says a matching exists so is a proper delegate section.

add. problem 1)

a	b	d	T
	b	e	F

## Solutions to In-Class Problems Week 8, Mon.

**Note:** Only problems 1, 2, 4, and 5 were originally assigned. The additional problem later handed out in class is here listed as problem 3.

### Problem 1.

Four Students want separate assignments to four VI-A Companies. Here are their preference rankings:

Student	Companies
Albert:	HP, Bellcore, AT&T, Draper
Nick:	AT&T, Bellcore, Draper, HP
Oshani:	HP, Draper, AT&T, Bellcore
Ali:	Draper, AT&T, Bellcore, HP

Company	Students
AT&T:	Ali, Albert, Oshani, Nick
Bellcore:	Oshani, Nick, Albert, Ali
HP:	Ali, Oshani, Albert, Nick
Draper:	Nick, Ali, Oshani, Albert

(a) Use the Mating Ritual to find *two* stable assignments of Students to Companies.

**Solution.** Treat Students as Boys and the result is the following assignment:

Student	Companies	Rank in the original list
Albert:	Bellcore	2
Nick:	AT&T	1
Oshani:	HP	1
Ali:	Draper	1

Treat Companies as Boys and the result is the following assignment:

Company	Students	Rank in the original list
AT&T:	Albert	2
Bellcore:	Nick	2
HP:	Oshani	2
Draper:	Ali	2

(b) Describe a simple procedure to determine whether any given stable marriage problem has a unique solution, that is, only one possible stable matching.

**Solution.** See if the Mating Ritual with Boys as suitors yields the same solution as the algorithm with Girls as suitors. These two marriage assignments are boy-optimal and boy-pessimal, respective. Obviously, if every boy's optimal and pessimal choices are the same, then every boy has a unique choice. The solution is unique.



**Problem 2.**

A preserved invariant of the Mating ritual is:

For every girl,  $G$ , and every boy,  $B$ , if  $G$  is crossed off  $B$ 's list, then  $G$  has a favorite suitor and she prefers him over  $B$ .

Use the invariant to prove that the Mating Algorithm produces stable marriages. (Don't look up the proof in the Notes or slides.)

**Solution.** *Proof.* Let Brad be some boy and Jen be any girl that he is *not* married to on the last day of the Mating Ritual. We claim that Brad and Jen are not a rogue couple. Since Brad is an arbitrary boy, it follows that no boy is part of a rogue couple. Hence the marriages on the last day are stable.

To prove the claim, we consider two cases:

*Case 1.* Jen is not on Brad's list. Then by invariant  $P$ , we know that Jen prefers her husband to Brad. So she's not going to run off with Brad: the claim holds in this case.

*Case 2.* Otherwise, Jen is on Brad's list. But since Brad is not married to Jen, he must be choosing to serenade his wife instead of Jen, so he must prefer his wife. So he's not going to run off with Jen: the claim also holds in this case. ■

**Problem 3.**

Suppose that Harry is one of the boys and Alice is one of the girls in the *Mating Ritual*. Which of the properties below are preserved invariants? Why?

- Alice is the only girl on Harry's list.
- There is a girl who does not have any boys serenading her.
- If Alice is not on Harry's list, then Alice has a suitor that she prefers to Harry.
- Alice is crossed off Harry's list and Harry prefers Alice to anyone he is serenading.
- If Alice is on Harry's list, then she prefers to Harry to any suitor she has.

**Solution.** The 1st, 3rd, and 4th are preserved invariants.

- A preserved invariant; no girl will be added to Harry's list. If Alice got crossed off, there would be no one for Harry to marry. So she must remain as the sole girl on his list. **Reminder:** A *preserved invariant* need not be true all the time, as in this example. It only needs to stay true once it first becomes true.
- Not preserved; a girl may not have a suitor on the first day —if, for example, she's not at the top of any boy's list—but every girl is guaranteed to have one at the end, namely, her husband.
- A preserved invariant; this is the basic invariant used to verify the Ritual.
- A preserved invariant; Harry crosses off the girls in his order of preference, so if Alice is crossed off, Harry likes her better than anybody that's left.
- Not preserved. Suppose the preferences among two couples and a third boy are:

Harry:	Alice,	Elvira,	...
Billy:	Elvira,	Alice,	...
Wilfred:	Elvira,	...	
Alice:	Billy,	Harry,	...
Elvira:	Wilfred,	Billy,	...



The alleged invariant is true on the first day since Harry is Alice's only suitor. But Elvira rejects Billy in favor of Wilfred on the first afternoon, so on the second day, Billy and Harry are serenading Alice. Since Alice prefers Billy to Harry, the alleged invariant is no longer true, so it was not preserved. ■

#### Problem 4.

Because of the incredible popularity of Math for Computer Science, Rajeev decides to give up on regular office hours. Instead, each student can join some study groups. Each group must choose a representative to talk to the staff, but there is a staff rule that a student can only represent one group. The problem is to find a representative from each group while obeying the staff rule.

(a) Explain how to model the delegate selection problem as a bipartite matching problem.

**Solution.** Define a bipartite graph with the study groups as one set of vertices and students in the groups as the other set of vertices. A group and a student are adjacent exactly when the student belongs to the group. Now a matching of study groups to students will give a proper selection of delegates: every group will have a delegate, and every delegate will represent exactly one club. ■

(b) The staff's records show that no student is a member of more than 4 groups, and all the groups must have at least 4 members. That's enough to guarantee there is a proper delegate selection. Explain.

**Solution.** The degree of every group is at least 4, and the degree of every student is at most 4, so the graph is *degree-constrained* (Def. 11.5.5) which implies there will be no bottlenecks to prevent a matching. Hall's Theorem then guarantees a matching. ■

#### Problem 5.

Overworked and over-caffeinated, the Teaching Assistant's (TA's) decide to oust the lecturer and teach their own recitations. They will run a recitation session at 4 different times in the same room. There are exactly 20 chairs to which a student can be assigned in each recitation. Each student has provided the TA's with a list of the recitation sessions her schedule allows and no student's schedule conflicts with all 4 sessions. The TA's must assign each student to a chair during recitation at a time she can attend, if such an assignment is possible.

Describe how to model this situation as a matching problem. Be sure to specify what the vertices/edges should be and briefly describe how a matching would determine seat assignments for each student in a recitation that does not conflict with his schedule. This is a *modeling problem* —you need not determine whether a match is always possible.

**Solution.** There will be one vertex for each student, and 20 vertices for each recitation time slot (one for each chair). There is an edge between a student and all chair vertices for a particular recitation time slot if that time slot does not conflict with her schedule. A matching for the students assigns a student to a chair in a recitation that he can attend and assigns at most 20 students to any recitation.

It is possible to assign the students to recitations iff a matching exists. ■

## Problem Set 6

*Due:* March 30

**Reading:** Chapter 9.5–9.9, Partial Orders; Chapter 11–11.6, Simple Graphs.  
**Skip** Chapter 10, Communication Nets, which will not be covered this term.

### Problem 1.

Let  $R_1, R_2$  be binary relations on the same set,  $A$ . A relational property is preserved under product, if  $R_1 \times R_2$  has the property whenever both  $R_1$  and  $R_2$  have the property.

(a) Verify that each of the following properties are preserved under product.

1. reflexivity,
2. antisymmetry,
3. transitivity.

(b) Verify that if *either* of  $R_1$  or  $R_2$  is irreflexive, then so is  $R_1 \times R_2$ .

Note that it now follows immediately that if  $R_1$  and  $R_2$  are partial orders and at least one of them is strict, then  $R_1 \times R_2$  is a strict partial order.

### Problem 2.

The most famous application of stable matching was in assigning graduating medical students to hospital residencies. Each hospital has a preference ranking of students and each student has a preference order of hospitals, but unlike the setup in the notes where there are an equal number of boys and girls and monogamous marriages, hospitals generally have differing numbers of available residencies, and the total number of residencies may not equal the number of graduating students. Modify the definition of stable matching so it applies in this situation, and explain how to modify the Mating Ritual so it yields stable assignments of students to residencies.

Briefly indicate what, if any, modifications of the preserved invariant used to verify the original Mating are needed to verify this one for hospitals and students.

### Problem 3.

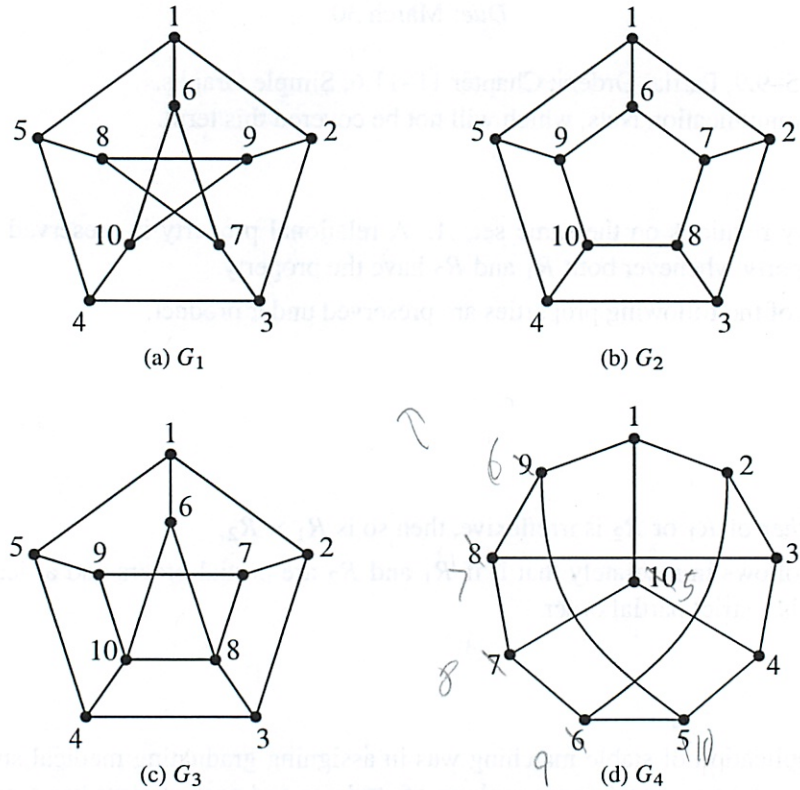
Scholars through the ages have identified *twenty* fundamental human virtues: honesty, generosity, loyalty, prudence, completing the weekly course reading-response, etc. At the beginning of the term, every student in Math for Computer Science possessed exactly *eight* of these virtues. Furthermore, every student was unique; that is, no two students possessed exactly the same set of virtues. The Math for Computer Science course staff must select *one* additional virtue to impart to each student by the end of the term. Prove that there is a way to select an additional virtue for each student so that every student is unique at the end of the term as well.

Suggestion: Use Hall's theorem. Try various interpretations for the vertices on the left and right sides of your bipartite graph.



**Problem 4.**

Determine which among the four graphs pictured in the Figures are isomorphic. If two of these graphs are isomorphic, describe an isomorphism between them. If they are not, give a property that is preserved under isomorphism such that one graph has the property, but the other does not. For at least one of the properties you choose, *prove* that it is indeed preserved under isomorphism (you only need prove one of them).



**Figure 1** Which graphs are isomorphic?

**Problem 5.** (a) For any vertex,  $v$ , in a graph, let  $N(v)$  be the set of *neighbors* of  $v$ , namely, the vertices adjacent to  $v$ :

$$N(v) ::= \{u \mid u-v \text{ is an edge of the graph}\}.$$

Suppose  $f$  is an isomorphism from graph  $G$  to graph  $H$ . Prove that  $f(N(v)) = N(f(v))$ .

Your proof should follow by simple reasoning using the definitions of isomorphism and neighbors—no pictures or handwaving.

*Hint:* Prove by a chain of iff's that

$$h \in N(f(v)) \quad \text{iff} \quad h \in f(N(v))$$

for every  $h \in V_H$ . Use the fact that  $h = f(u)$  for some  $u \in V_G$ .

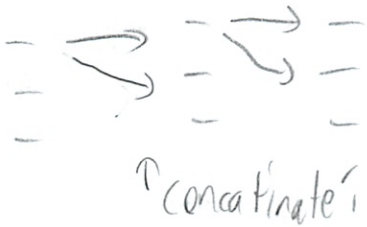
(b) Conclude that if  $G$  and  $H$  are isomorphic graphs, then for each  $k \in \mathbb{N}$ , they have the same number of degree  $k$  vertices.



1. Two relations on set

Relational property preserved under product  
if have both

So what is this



9.9 product orders

$a R_1 b_1$  and  $a_2 R_2 b_2$

What is this exactly

$$a_1 \xrightarrow{R_1} b_1 \text{ and } a_2 \xrightarrow{R_2} b_2$$

WP: Cartesian product

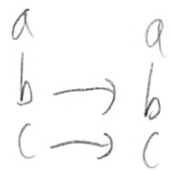
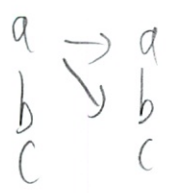
$C_1$  is this same thing {suits}  $\times$  {Ace, King, 10, 9, ...}

But this is a relation is the 52 cards

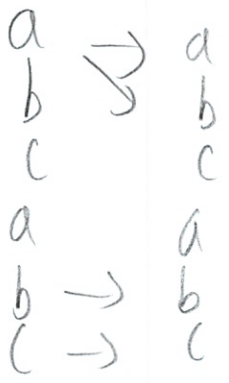
- ~~set~~ domain, codomain, graph
- basically an arrow

(2)

So like



would be



or



wish he did an example

One in both very unclear

So one relation younger  
other shorter

So combined is both younger and shorter

Both must have property

But how to show this problem is asking

Reflexivity

- if  $aRa$  for all  $a \in A$

But how to really explain

(3)

2. How to modify

Was thinking about this

How much detail do they want?



4

3.

20 fund. human virtues

Each student 8 of these at start

" " Unique set of virtue

Can an ~~(1)~~ add. virtue be added so still unique

no

My first thought is we are adding - so of course more possibilities

What do we have now

1	1
2	2
3	3
⋮	⋮
20	20

$20^8$  possibilities

- Oh repeats can't count

$20 \cdot 19 \cdot 18 \cdot 17 \cdot 16 \cdot 15 \cdot 14 \cdot 13$

Not prob so don't need  $\binom{20}{8}$

Or is this it?

$$\binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}$$

5

$$\binom{20}{8} = 125970$$

$$= \frac{20!}{8! \times 12!}$$

20 · ... · 13 = 5 billion  
 - much larger

What is right?

$\binom{20}{8}$  is ~~the prob that 8 chosen~~

No the choose notation likely right

My guess - why wrong

1 round  
 20 possible

2 round  
 20 = 19 possible

AB  
 AC  
 AD

} 19

BA  
 BC  
 BD

} 19

= 380

20 times

$$\binom{20}{2} = 190$$

So 1 half of above

6)

Oh duh since

$$AB = BA$$

So ( ) is correct

But they suggest Hall's theorem

- which is set of women liked by men if at least one man likes

So every subset of <sup>women</sup> must be <sup>size</sup>  $\geq$  ~~every~~ every subset of men

So what are matches?

I can solve w/ ( ) - but that is not what they want

- we are not supposed to have learned yet

How would you solve like they want?

student  $\rightarrow$  virtue

- but nothing we learned is about # of lines from each

Or student  $\rightarrow$  virtue set 125,450

then student  $\rightarrow$  new virtue set 167,960

Or need something more ~~learn~~ - just the added item.  
Don't forget Hall



⑦

### 4. Isomorphic

- same arrows
- diff labling + layout
- some graph isomorphic
- describe
- don't get if not
- and <sup>guess just why not</sup> ? of 4 or what?
- prove one property

(count vertices)

<u>G<sub>1</sub></u>	<u>G<sub>2</sub></u>	<u>G<sub>3</sub></u>	<u>G<sub>4</sub></u>
3	3	3	3
3	3	3	3
3	3	3	3
3	3	3	3
3	3	3	3
<u>3</u>	<u>3</u>	<u>3</u>	3
3	3	3	3
3	3	4	3
3	3	3	3
3	3	4	3

Oh but same # of vertices is not definitive  
 Must map vertices

8

$G_1$   $G_2$

$1 \rightarrow 1$

...

$5 \rightarrow 5$

$6 \rightarrow 6$

~~7~~

7 - goes to 6, 3, ~~8~~

(so no ~~isomorphism~~)

$G_4$

How to check exactly:

def: edge preserving bijection

'isomorphism' is the bij

two graphs 'isomorphic' if 'isomorphism' b/w them

transitive (dh)

Graph 'preserved under 'isomorphism''

Look at preserved properties

↳ what is that?  
not in both

wp: property preserved under all 'isomorphisms'

⑨  
So its no use in finding isomorphisms  
Graph isomorphism property is hard

Can use matlab to check?

Too much work

I found one while doing write up

Hope writeup is enough



(10)

5.  $N(v)$  is neighbors  $N(v) := \{u \mid u-v \text{ is edge}\}$

$f$  is isomorphism

prove  $f(N(v)) = N(f(v))$

?  
isomorphism of neighbors      neighbors of isomorphism

- Using def of iso + neighbors

(I get it - but how to write exactly?)

Use chain of iffs

$h \in N(f(v))$  iff  $h \in f(N(v))$

for all  $h \in V_{+1}$

Use  $h = f(u)$  for some  $u \in V_0$

How to actually write?

This is what I don't get at all in this class

Ask Matt

He took awhile to figure out - but solved it in 3 lines  
Still really don't get  
- Study!

How get lines 1+2? Can you make it any more basic?



$f(u) \in N(f(v))$  IFF  $u \in N(v)$  Def. of Isomorphism

$u \in N(v)$  IFF  $f(u) \in f(N(v))$

$\exists h + h = f(u)$  for some  $u \in V$

$h \in N(f(u))$  IFF  $h \in f(N(v))$

So  ~~$f(N(v))$~~



(12)

Now b

- just conclude?

I am going to expand with some stuff

---

Still looking back - why do you need the  $h = \text{part}$ ?

I will not be able to remember this!

---

I think I did pretty good on this

### Student's Solutions to Problem Set 6

<b>Your name:</b>	Michael Plasencia			
<b>Due date:</b>	March 30			
<b>Submission date:</b>	3/30			
<b>Circle your TA/LA:</b>	Ali	Nick	Oscar	<input checked="" type="radio"/> Oshani

Table 12

**Collaboration statement:** Circle one of the two choices and provide all pertinent info.

- I worked alone and only with course materials.
- I collaborated on this assignment with:

got help from:<sup>1</sup> Matt Fawk

and referred to:<sup>2</sup> wikipedia,  
Cartesian product - not right topic  
Binomial coefficient  
Graph property  
Graph isomorphism

**DO NOT WRITE BELOW THIS LINE**

Problem	Score
1	
2	
3	
4	
5	
Total	

<sup>1</sup>People other than course staff.

<sup>2</sup>Give citations to texts and material other than the Spring '11 course materials.

1. a. Reflexive  
- also for all  $a \in A$

10

This means that every item has a self arrow, at least



If this is true for both  $R_1$  and  $R_2$  then it will be true for  $R_1 \times R_2$

~~Rough example.~~

~~$a \rightarrow a$        $a \rightarrow a$   
 $b \rightarrow b$        $b \rightarrow b$   
 $c \rightarrow c$        $c \rightarrow c$~~

~~$a \rightarrow a \rightarrow a$   
 $b \rightarrow b \rightarrow b$   
 $c \rightarrow c \rightarrow c$~~

If there is a self arrow for each item in both of the relations - there will be a self arrow in the combined item

$(a_1, a_2) (R_1 \times R_2) (b_1, b_2)$  iff  $[a_1 R_1 b_1 \text{ and } a_2 R_2 b_2]$

This is because  $R_1 \times R_2$  means the edge must be in both relations - it must satisfy both conditions - ie younger + shorter (example from book)



(2) antisymmetry -

$a R b$  IMPLIES NOT  $(b R a)$  for all  $a \neq b \in A$

This basically means arrows are only allowed in one direction.

$\rightarrow$  or  $\leftarrow$  NOT  $\leftrightarrow$

If one relation is antisymmetric and the other relation is antisymmetric then the whole thing will be antisymmetric.

Actually, could say only one relation needs to be antisymmetric to make the  $R_1 \times R_2$  antisymmetric - right!

- because the one relation "breaks" the ability to go backwards

[There is at most one edge between two points]  
[but can be self loops]

③

transitivity -

$$\forall x, y, z \in A. (xRy \text{ and } yRz) \text{ IMPLIES } xRz$$

To me this is the definition of a product order -

Well wait - no the example is something different.

Only have one domain and codomain - and must

satisfy both conditions - younger AND shorter

Nevertheless, this condition still applies inside.

If there is a positive length path from  $u$  to  $v$

then there simply can be an edge from  $u$  to  $v$ .

If both  $R_1$  and  $R_2$  have it, then  $R_1 \times R_2$  will

have it because  $R_1 \times R_2$  is the arrows that satisfy both  
conditions.

(4)

b. If either  $R_1$  or  $R_2$  is irreflexive then so is  $R_1 \times R_2$   
 $R$  is irreflexive when  $\text{NOT} [\exists x \in A \ x R x]$

Basically it means there can not be no self loops.

$R_1 \times R_2$  means that both conditions need to be true  
- ie younger and shorter.

If one of the relations does not have self-loops  
- ie is irreflexive then  $R_1 \times R_2$  will not have it



Michael Plasmeier

10

Oshani

Table 12

#2 We can modify The Mating Ritual so that we can assign students to hospital residencies,

However since  $\# \text{ students} \neq \# \text{ spots}$  then we do not guarantee that every student (if  $\# \text{ students} > \# \text{ spots}$ ) is placed or every spot (if  $\# \text{ students} < \# \text{ spots}$ ) is filled.

One way to think of it is each spot is a separate "balcony" and that all spots in a hospital have the same pref list. However this has some problems, which spot/"balcony" should students stand under at a given hospital? — **this does work.**

A better approach would be that hospitals are one balcony but they keep their top  $N$  students where  $N$  is the number of spots at their certain hospital. If a student is not preferred and can't get a spot, then they go to their next choice hospital. Also this plan allows hospitals to have a diff.  $\#$  of spots.

② Remember stable matching means no hospital prefers a student more AND student prefers that hospital more  
This is still true.

Also true is Lemm 11.6.4: For every hospital  $h$  and student  $s$   
if  $h$  is crossed of  $s$ 's list then  $h$  has  $N$  students  
it prefers over  $s$

Students get their optimal matching  
Hospitals " " pessimal

Michael Plasencia

Oshani

P-Set 6

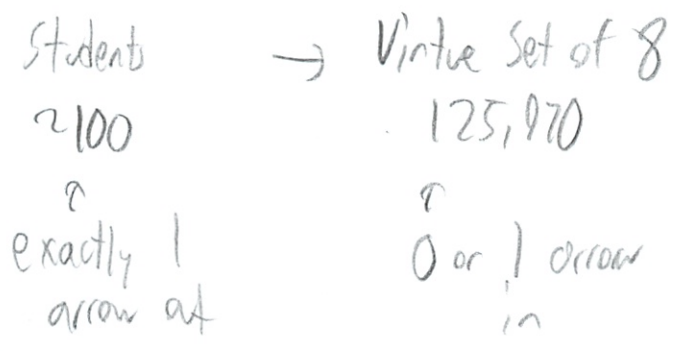
Table 12

#3 There are  $\binom{20}{8}$  possible combos of the 8 virtues, this means that there are 125,970 possible combos - far larger than the size of 6.042.

When you add a virtue there are  $\binom{20}{9}$  combos, this is 167,960 possible combos.

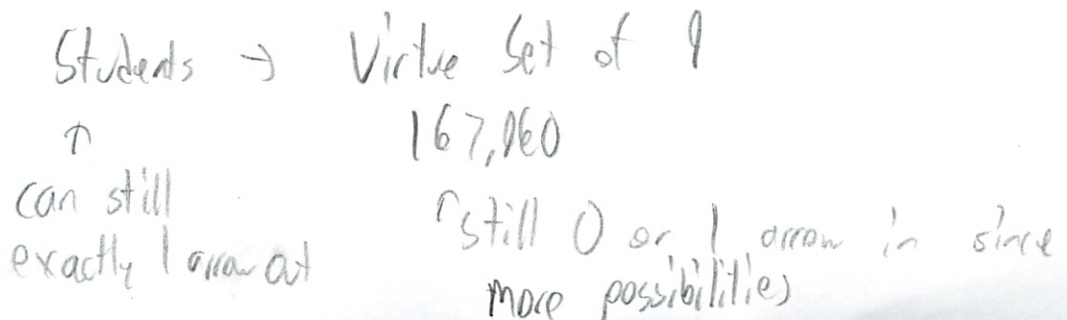
Since  $167,960 \geq 125,970$  it means that a unique solution can still be found, since there are now more possibilities. An extra virtue can always be imparted.   
*can you guarantee this for every subset of students? each student can only end up with certain set of 9 virtues*

Alternatively we can represent this as a bipartite graph



5

Then the bigger virtue set





②

By Hall's Theorem / Matching Principle

The # of viable sets must be  $\geq$  # of students  
for every possible subset of students

Michael Plasencia

Oshani

Table 12

P-set 6

#4. First off we know that  $G_3$  is not isomorphic because all vertices in  $G_1, G_2, G_4$  have a degree of 3. Some vertices in  $G_3$  have degree 4.

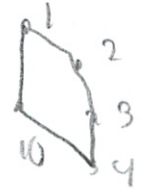
The other 3 remain candidates for isomorphism. However I was not able to find a match between some of them.

$G_1$  and  $G_2$  - If you would unravel the star into a pentagon (which would normally be possible) it would break the ring.

Can you be more precise?

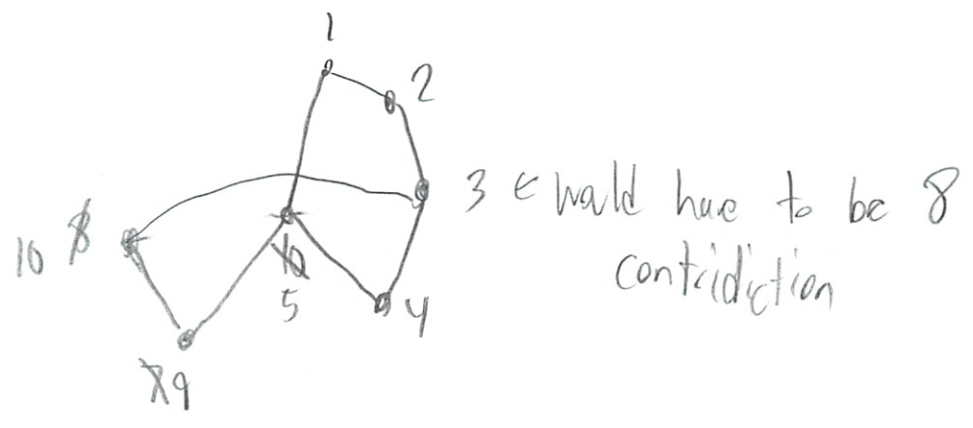
$G_2$  and  $G_4$  - I could not find a way to map this. In  $G_4$  what would be the ring. There needs to be a path that includes 5 vertices where the third path is to one of the other points included.

I was able to make such a path in  $G_4$



②

However then point 10, which I labeled as 5 did not connect well. I did mark 7 as 9 and 8 as 10 but then I was forced to mark 3 as 8. 3 should be part of the original ring, so I can not label it so there is not an isomorphism

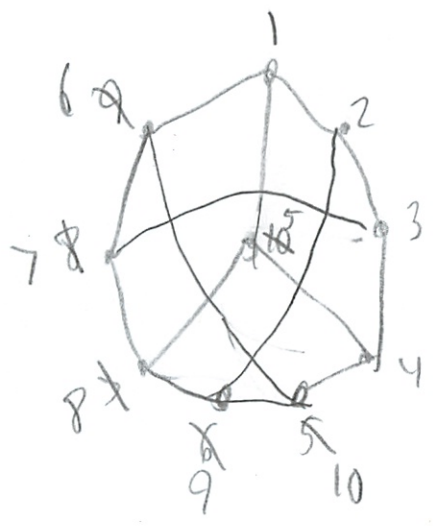


$G_1$  and  $G_4$  - I was able to find an isomorphism between this. Again I labeled 1, 2, 3, 4, 10 as 1, 2, 3, 4, 5. I then sought to label the rest. In  $G_1$  5 is connected to 1, 4, 8. I already had 1, 4 so the third line from 5 in  $G_4$  must be labeled 8. (it was 7) 8 then connects to 7 and 9 in  $G_1$ . I saw that 8 in  $G_4$  also connected to 3, just like 7 did in  $G_1$  so I labeled 8 as 7.



(3)

This let me fill in 9 as 6 in  $G_4$  - which links to 2 - like in  $G_1$ . I then continued around  $G_1$  and saw that 9 was linked to 10. This must be 5 on  $G_4$ . 10 on  $G_1$  links to 6. This must be 9 on  $G_4$ . All points have been relabeled and confirmed successfully so an isomorphism exists



proof of property?

In general, you don't need to give a story, it obscures your solution.

Michael Plasmeier

Oshon

Table 12

P-set 6

1/7 #5 Proof  $f(N(v)) = N(f(v))$

Same statement since using iff  $f(u) \in N(f(v))$  iff  $u \in N(v)$  Def of Isomorphism

$u \in N(v)$  iff  $f(u) \in f(N(v))$

no actual proof Let  $h = f(u)$  for some  $u \in V_G$

$h \in N(f(v))$  iff  $h \in f(N(v))$  for all  $h \in V_H$

3/3 b. So if  $G, H$  are isomorphic, then for each  $h \in N$  they have the same # of degree  $k$  vertices

- property of isomorphism

- We showed above that for every  $h \in V_H$  that

$h = f(v)$  for some  $v \in V_G$ . This means that

all the vertices need to be isomorphic - and have

some match in degree

## Solutions to Problem Set 6

**Reading:** Chapter 9.5–9.9, Partial Orders; Chapter ??–??, Simple Graphs.

**Skip** Chapter 10, Communication Nets, which will not be covered this term.

### Problem 1.

Let  $R_1, R_2$  be binary relations on the same set,  $A$ . A relational property is preserved under product, if  $R_1 \times R_2$  has the property whenever both  $R_1$  and  $R_2$  have the property.

(a) Verify that each of the following properties are preserved under product.

1. reflexivity,
2. antisymmetry,
3. transitivity.

**Solution.** These facts follows directly from the definitions. We'll write out just the case of antisymmetry. So suppose  $R_1, R_2$  are antisymmetric.

*Proof.* To prove  $R_1 \times R_2$  is antisymmetric, suppose

$$(r_1, r_2) [R_1 \times R_2] (s_1, s_2) \quad \text{and also} \quad (1)$$

$$(s_1, s_2) [R_1 \times R_2] (r_1, r_2). \quad (2)$$

We need to show that  $(r_1, s_1) = (r_2, s_2)$ .

By (1) and the definition of  $R_1 \times R_2$ , we know that  $r_i R_i s_i$  for  $i = 1, 2$ . Similarly, by (2)  $s_i R_i r_i$ . Since  $R_i$  is antisymmetric, it follows that  $r_i = s_i$  for  $i = 1, 2$ . That is,  $(r_1, s_1) = (r_2, s_2)$ . ■

(b) Verify that if *either* of  $R_1$  or  $R_2$  is irreflexive, then so is  $R_1 \times R_2$ .

**Solution.** We may as well assume  $R_1$  is irreflexive. This means that  $\text{NOT}(r_1 R_1 r_1)$  for every  $r_1 \in \text{domain}(R_1)$ . So by definition of relational product,

$$\text{NOT}[(r_1, r_2) [R_1 \times R_2] (r_1, s_2)]$$

for all  $r_1 \in \text{domain}(R_1)$  and  $r_2, s_2 \in \text{domain}(R_2)$ . In particular

$$\text{NOT}[(r_1, r_2) [R_1 \times R_2] (r_1, r_2)],$$

which implies that  $R_1 \times R_2$  is irreflexive. ■

Note that it now follows immediately that if  $R_1$  and  $R_2$  are partial orders and at least one of them is strict, then  $R_1 \times R_2$  is a strict partial order.



**Problem 2.**

The most famous application of stable matching was in assigning graduating medical students to hospital residencies. Each hospital has a preference ranking of students and each student has a preference order of hospitals, but unlike the setup in the notes where there are an equal number of boys and girls and monogamous marriages, hospitals generally have differing numbers of available residencies, and the total number of residencies may not equal the number of graduating students. Modify the definition of stable matching so it applies in this situation, and explain how to modify the Mating Ritual so it yields stable assignments of students to residencies.

Briefly indicate what, if any, modifications of the preserved invariant used to verify the original Mating are needed to verify this one for hospitals and students.

**Solution.** The Mating Ritual can be applied to this situation by letting the students be the boys and each of the *residencies* (not the hospitals) be the girls.

A matching is an assignment of students to residencies (an injection,  $A : \text{students} \rightarrow \text{residencies}$ ) such that every student has a residency ( $A$  is total), or every residency has an assigned student ( $A$  is a surjection). A stable assignment is one with no *rogue couples*, where a rogue couple is a hospital student pair  $(H, S)$  such that  $S$  is not assigned to one of the residencies at  $H$ , which she prefers over her current assignment, and

- $H$  has some students assigned to some of its residencies and prefers  $S$  to at least one of its assigned students, or
- $H$  has none of its residencies assigned,

■

**Problem 3.**

Scholars through the ages have identified *twenty* fundamental human virtues: honesty, generosity, loyalty, prudence, completing the weekly course reading-response, etc. At the beginning of the term, every student in Math for Computer Science possessed exactly *eight* of these virtues. Furthermore, every student was unique; that is, no two students possessed exactly the same set of virtues. The Math for Computer Science course staff must select *one* additional virtue to impart to each student by the end of the term. Prove that there is a way to select an additional virtue for each student so that every student is unique at the end of the term as well.

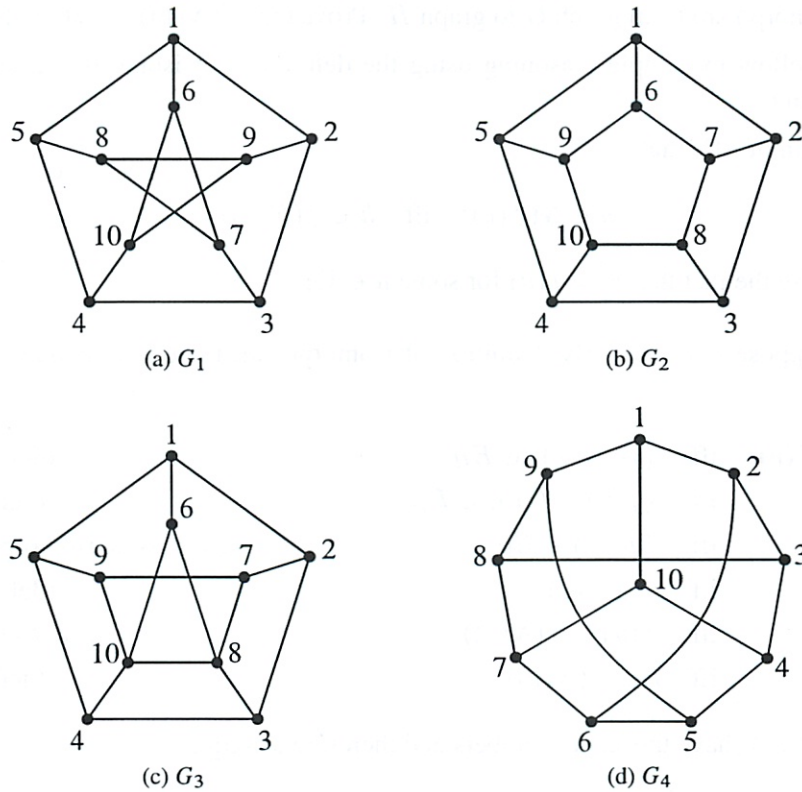
Suggestion: Use Hall's theorem. Try various interpretations for the vertices on the left and right sides of your bipartite graph.

**Solution.** Construct a bipartite graph  $G$  as follows. The vertices on the left are all students and the vertices on the right are all subset of nine virtues. There is an edge between a student and a set of 9 virtues if the student already has 8 of those virtues.

Each vertex on the left has degree 12, since each student can learn one of 12 additional virtues. The vertices on the right have degree at most 9, since each set of 9 virtues has only 9 subsets of size 8. So this bipartite graph is degree-constrained, and therefore, by Lemma ??, there is a matching for the students. Thus, if each student is taught the additional virtue in the set of 9 virtues with whom he or she is matched, then every student is unique at the end of the term. ■

**Problem 4.**

Determine which among the four graphs pictured in the Figures are isomorphic. If two of these graphs are isomorphic, describe an isomorphism between them. If they are not, give a property that is preserved under



**Figure 1** Which graphs are isomorphic?

isomorphism such that one graph has the property, but the other does not. For at least one of the properties you choose, *prove* that it is indeed preserved under isomorphism (you only need prove one of them).

**Solution.**  $G_1$  and  $G_3$  are isomorphic. In particular, the function  $f : V_1 \rightarrow V_3$  is an isomorphism, where

$$\begin{array}{ccccc} f(1) = 1 & f(2) = 2 & f(3) = 3 & f(4) = 8 & f(5) = 9 \\ f(6) = 10 & f(7) = 4 & f(8) = 5 & f(9) = 6 & f(10) = 7 \end{array}$$

$G_1$  and  $G_4$  are not isomorphic to  $G_2$ :  $G_2$  has a vertex of degree four and neither  $G_1$  nor  $G_4$  has one.

$G_1$  and  $G_4$  are not isomorphic:  $G_4$  has a cycle of length four and  $G_1$  does not.

There are many examples of properties preserved under graph isomorphism. For example, we will prove that the degree of each vertex is preserved under isomorphism.

Let  $G$  and  $H$  be isomorphic graphs. Since they are isomorphic, there is an edge-preserving bijection between the vertices of  $G$  and  $H$ :

$$f(u) \in V(H) \iff f(u) \in V(G)$$

We let the set of vertices adjacent to  $u$  be  $N(u)$ . Because  $f$  is an edge-preserving bijection, there is an edge from  $f(u)$  to a vertex  $f(k)$  iff  $k \in N(u)$ . Thus  $|N(f(u))| = |N(u)|$  and the degree of each vertex is preserved under isomorphism. ■

**Problem 5.** (a) For any vertex,  $v$ , in a graph, let  $N(v)$  be the set of *neighbors* of  $v$ , namely, the vertices adjacent to  $v$ :

$$N(v) ::= \{u \mid \langle u-v \rangle \text{ is an edge of the graph}\}.$$



Suppose  $f$  is an isomorphism from graph  $G$  to graph  $H$ . Prove that  $f(N(v)) = N(f(v))$ .

Your proof should follow by simple reasoning using the definitions of isomorphism and neighbors—no pictures or handwaving.

*Hint:* Prove by a chain of iff's that

$$h \in N(f(v)) \quad \text{iff} \quad h \in f(N(v))$$

for every  $h \in V_H$ . Use the fact that  $h = f(u)$  for some  $u \in V_G$ .

**Solution.** *Proof.* Suppose  $h \in V_H$ . By definition of isomorphism, there is a unique  $u \in V_G$  such that  $f(u) = h$ . Then

$$\begin{aligned} h \in N(f(v)) & \text{ iff } \langle h-f(v) \rangle \in E_H && \text{(def of } N) \\ & \text{ iff } \langle f(u)-f(v) \rangle \in E_H && \text{(def of } u) \\ & \text{ iff } \langle u-v \rangle \in E_V && \text{(since } f \text{ is an isomorphism)} \\ & \text{ iff } u \in N(v) && \text{(def of } N) \\ & \text{ iff } f(u) \in f(N(v)) && \text{(def of } f\text{-image)} \\ & \text{ iff } h \in f(N(v)) && \text{(def of } u) \end{aligned}$$

So  $N(f(v))$  and  $f(N(v))$  have the same members and therefore are equal. ■

(b) Conclude that if  $G$  and  $H$  are isomorphic graphs, then for each  $k \in \mathbb{N}$ , they have the same number of degree  $k$  vertices.

**Solution.** By definition,  $\deg(v) = |N(v)|$ . Since an isomorphism is a bijection, any set of vertices and its image under an isomorphism will be the same size (by the Mapping Rule from Week 2 Notes), so part (a) implies that an isomorphism,  $f$ , maps degree  $k$  vertices to degree  $k$  vertices. This means that the image under  $f$  of the set of degree  $k$  vertices of  $G$  is precisely the set of degree  $k$  vertices of  $H$ . So by the Mapping Rule again, there are the same number of degree  $k$  vertices in  $G$  and  $H$ . ■



Mathematics for Computer Science  
MIT 6.042J/18.062J

# Graph Connectivity Trees & Coloring

Albert R Meyer, March 30, 2011

## Connected Components

Every graph consists of separate connected pieces (subgraphs) called connected components

Albert R Meyer, March 30, 2011

## Connected Components

Infinite corridor

3 connected components

the more connected components, the more "broken up" the graph is.

Albert R Meyer, March 30, 2011

## Connected Components

The connected component of vertex  $v ::=$

$$\{w \mid v \text{ and } w \text{ are connected}\}$$

Albert R Meyer, March 30, 2011

actually wrong now

## Connected Components

So a graph is connected iff it has only 1 connected component

Albert R Meyer, March 30, 2011

## Cut Edges

An edge is a cut edge if removing it from the graph disconnects two vertices.

Albert R Meyer, March 30, 2011



**Cut Edges**

B is a cut edge

Albert R Meyer, March 30, 2011

**Cut Edges**

deleting B gives two components

Albert R Meyer, March 30, 2011

**Cut Edges**

A is not a cut edge

Albert R Meyer, March 30, 2011

**Cut Edges**

still connected with edge A deleted

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**Closed Walks**

A closed walk is a walk that begins and ends with the same vertex

vertex sequence:  
 $v \cdots b \cdots w \cdots w \cdots a \cdots v$

Albert R Meyer, March 30, 2011

**Cycles**

A cycle is a closed walk of length  $> 2$  that doesn't cross itself:

vertex sequence:  
 $v \cdots a \cdots w \cdots v$   
 also:  
 $w \cdots a \cdots v \cdots w$

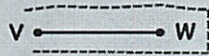
Albert R Meyer, March 30, 2011





## Cycles

length  $> 2$  implies that going back & forth over an edge is not a cycle



Albert R Meyer, March 30, 2011

lec 8W.25



## Cut Edges and Cycles

*Lemma:* An edge is a not a cut edge iff it is on a cycle.



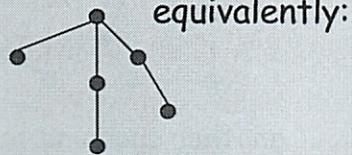
Albert R Meyer, March 30, 2011

lec 8W.28



## Trees

A tree is a connected graph with no cycles.



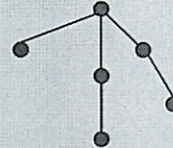
Albert R Meyer, March 30, 2011

lec 8W.29



## Trees

A tree is a connected graph with every edge a cut edge.

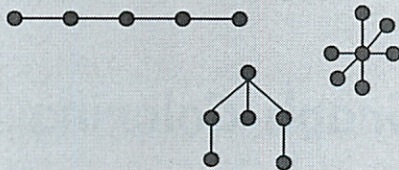


Albert R Meyer, March 30, 2011

lec 8W.30



## More Trees



Albert R Meyer, March 30, 2011

lec 8W.31



## Other Tree Definitions

- graph with a unique path between any 2 vertices
- connected graph with  $n$  vertices and  $n-1$  edges
- an edge-maximal acyclic graph



Albert R Meyer, March 30, 2011

lec 8W.32





## Spanning Trees

A *spanning tree* of a graph  $G$  is any subgraph  $T$  that is a tree and contains all the vertices of  $G$ .

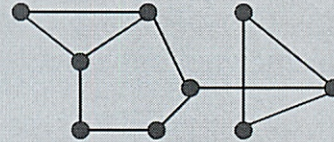


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## Spanning Trees

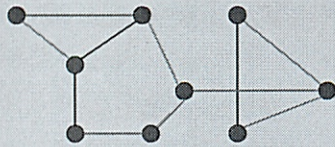


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## Spanning Trees



a spanning tree

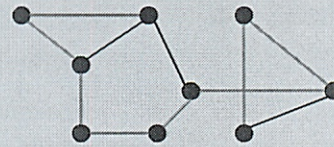


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## Spanning Trees



another spanning tree  
(can have many)



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## Spanning Trees

Lemma:  $G$  connected implies  
 $G$  has a spanning tree  
Pf: Among connected subgraphs  
with all the vertices of  $G$ :  
those with the fewest edges  
are spanning trees. (Why?)



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Mathematics for Computer Science  
MIT 6.042J/18.062J

# Graph Coloring



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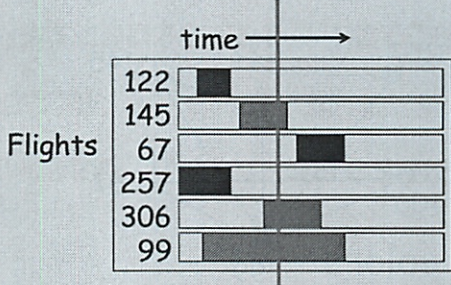


### Flight Gates

flights need gates, but times overlap.  
how many gates needed?

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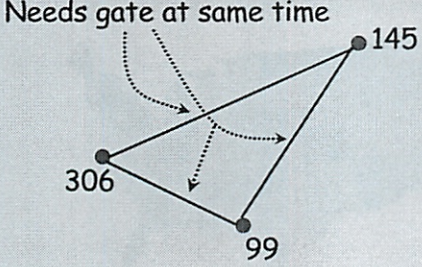
### Airline Schedule



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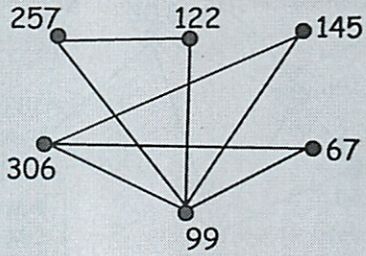
### Conflicts Among 3 Flights

Needs gate at same time



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### Model all Conflicts with a Graph



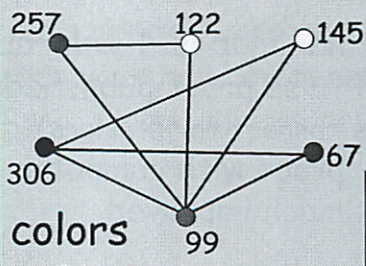
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### Color the vertices

Color vertices so that adjacent vertices have different colors.  
min # distinct colors needed = min # gates needed

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### Coloring the Vertices



assign gates:

- 257, 67
- 122, 145
- 99
- 306

4 colors  
4 gates

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**Better coloring**

3 colors  
3 gates

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**Final Exams**

subjects conflict if student takes both, so need different time slots.  
how short an exam period?

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**Model as a Graph**

4 time slots (best possible)

assign times:

- M 9am
- M 1pm
- T 9am
- T 1pm

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**Map Coloring**

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**Planar Four Coloring**

any planar map is 4-colorable.  
1850's: false proof published (was correct for 5 colors).  
1970's: proof with computer  
1990's: much improved

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**Chromatic Number**


min #colors for  $G$  is chromatic number,  $\chi(G)$

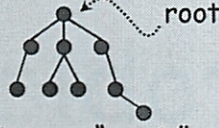
lemma:

$$\chi(\text{tree}) = 2$$


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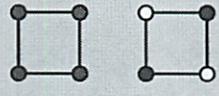
 **Trees are 2-colorable**



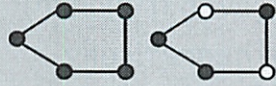
Pick any vertex as "root."  
 if (unique) path from root is  
 even length: ●  
 odd length: ●

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 **Simple Cycles**




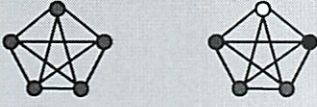
$\chi(C_{\text{even}}) = 2$




$\chi(C_{\text{odd}}) = 3$


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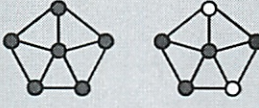
 **Complete Graph  $K_5$**



$\chi(K_n) = n$


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 **The Wheel  $W_n$**




$W_5$


$\chi(W_{\text{odd}}) = 4$   
 $\chi(W_{\text{even}}) = 3$

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 **Bounded Degree**

all degrees  $\leq k$ , implies  
 $\chi(G) \leq k+1$   
 very simple algorithm...

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 **"Greedy" Coloring**

...color vertices in any order.  
 next vertex gets a color  
 different from its neighbors.  
 $\leq k$  neighbors, so  
 $k+1$  colors always work

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### coloring arbitrary graphs

2-colorable? --easy to check

3-colorable? --hard to check  
(even if planar)

find  $\chi(G)$ ? --theoretically  
no harder than 3-color, but  
harder in practice



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### Team Problems

# Problems

## 1-4



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In general graph not connected

Form groups that are connected "connected components"

Not all components connected to each other

Def  $v$   $\{w \mid v \text{ and } w \text{ are connected}\}$

Graph connected if only 1 connected component

Cut edge - if remove 2 vertices are no longer connected  
now  $\geq 2$  connected components

Closed walk - walk begins + ends at same pt

- can ~~grow~~ go through pts multiple times

↳ not a cycle

Cycle - need to specify beginning, end, stops  
- special closed walk

- length  $\geq 2$

- does not cross itself

- don't think of beginning, end, or direction

- "hunk" of graph



②

Lemma: An edge is not a cut edge iff it is on a ~~graph~~ cycle



- kinda follows from def

Trees - connected graph w/ no cycles

- break any edge, it falls apart

- unique ~~path~~ path b/w any 2 points

- graph w/ 1 vertex 0 edges is a tree

- connected graph w/  $n$  vertices +  $n-1$  edges

- is proved in notes

Spanning Tree - minimal set of edges that allow everything to be connected

~~are~~ - purple subgraph on slides

- can have multiple

- cool algebra to calc how many spanning trees are

③

Lemma  $G$  connected  $\rightarrow G$  has spanning trees

- The one w/ the fewest edges is the spanning tree

---

## Graph Coloring

- Scheduling

- Resolving conflicts

- how many gates are needed?

- Draw edge b/w flights - on ground at same time  
- at some moment

Color the vertices so adj vertices have diff colors

- each gate diff color

- then that min # of colors is min # gates needed

May not color right - his initial try had 4 colors

Did again for 3

Problem to find min # colors

## Final Exam scheduling

How short an exam period can you get away w/?

9

Do graph coloring

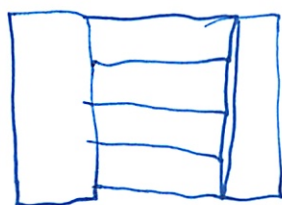
Also map coloring

- if have border - diff colors

- corners don't count

Planar Map can always be done in 4 colors

(i wait



Oh wait is right)

Needs 600 cases for computer to check

Min # colors for G is

Chromatic #  $\chi(G)$

?chi

Trees are 2-colorable

- One color per level

- or more abstractly

- even + odd

distance from root

Cycles even length

$$\chi = 2$$

Cycles odd  $\chi = 3$



5

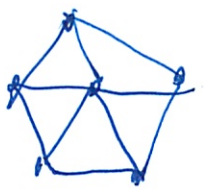
Maximal  $k_5$  Complete graph

- Since every vertex adj to each one
- So 5 colors - each one is different

Complete  $K_n$

~~$\chi$~~   $\chi(K_n) = n$

Wheel  $W_n$



circle w/  
axle in middle

4 colors

- 3 for odd cycle
- axle 4th color

$\chi(W_{\text{odd}}) = 4$

$\chi(W_{\text{even}}) = 3$

Greedy Assignment

Assign something ~~to~~ that does not conflict w/ neighbor  
 $\leq k$  (too fast!)

⑥

2 colorable check

- easy

3 colorable check

- very hard, Millennium prize

- even if planar

- know 4 is enough

Can translate graphis into not, or gates if find SAT  
solution

$\chi(G)$

- theoretically as hard as 3-color

- pragmatically

## In-Class Problems Week 8, Wed.

### Problem 1.

**False Claim.** *If every vertex in a graph has positive degree, then the graph is connected.*

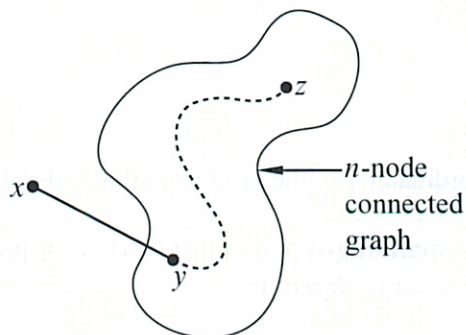
- (a) Prove that this Claim is indeed false by providing a counterexample.
- (b) Since the Claim is false, there must be a logical mistake in the following bogus proof. Pinpoint the *first* logical mistake (unjustified step) in the proof.

*Bogus proof.* We prove the Claim above by induction. Let  $P(n)$  be the proposition that if every vertex in an  $n$ -vertex graph has positive degree, then the graph is connected.

**Base cases:** ( $n \leq 2$ ). In a graph with 1 vertex, that vertex cannot have positive degree, so  $P(1)$  holds vacuously.

$P(2)$  holds because there is only one graph with two vertices of positive degree, namely, the graph with an edge between the vertices, and this graph is connected.

**Inductive step:** We must show that  $P(n)$  implies  $P(n + 1)$  for all  $n \geq 2$ . Consider an  $n$ -vertex graph in which every vertex has positive degree. By the assumption  $P(n)$ , this graph is connected; that is, there is a path between every pair of vertices. Now we add one more vertex  $x$  to obtain an  $(n + 1)$ -vertex graph:



All that remains is to check that there is a path from  $x$  to every other vertex  $z$ . Since  $x$  has positive degree, there is an edge from  $x$  to some other vertex,  $y$ . Thus, we can obtain a path from  $x$  to  $z$  by going from  $x$  to  $y$  and then following the path from  $y$  to  $z$ . This proves  $P(n + 1)$ .

By the principle of induction,  $P(n)$  is true for all  $n \geq 0$ , which proves the Claim. ■

### Problem 2.

#### Procedure **create-spanning-tree**

Given a simple graph  $G$ , keep applying the following operations to the graph until no operation applies:



1. If an edge  $\langle u-v \rangle$  of  $G$  is on a cycle, then delete  $\langle u-v \rangle$ .
2. If vertices  $u$  and  $v$  of  $G$  are not connected, then add the edge  $\langle u-v \rangle$ .

Assume the vertices of  $G$  are the integers  $1, 2, \dots, n$  for some  $n \geq 2$ . Procedure **create-spanning-tree** can be modeled as a state machine whose states are all possible simple graphs with vertices  $1, 2, \dots, n$ . The start state is  $G$ , and the final states are the graphs on which no operation is possible.

- (a) Let  $G$  be the graph with vertices  $\{1, 2, 3, 4\}$  and edges

$$\{\langle 1-2 \rangle, \langle 3-4 \rangle\}$$

What are the possible final states reachable from start state  $G$ ? Draw them.

- (b) Prove that any final state of must be a tree on the vertices.

(c) For any state,  $G'$ , let  $e$  be the number of edges in  $G'$ ,  $c$  be the number of connected components it has, and  $s$  be the number of cycles. For each of the derived variables below, indicate the *strongest* of the properties that it is guaranteed to satisfy, no matter what the starting graph  $G$  is and be prepared to briefly explain your answer.

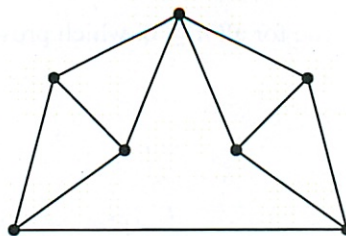
The choices for properties are: *constant, strictly increasing, strictly decreasing, weakly increasing, weakly decreasing, none of these*. The derived variables are

- (i)  $e$
- (ii)  $c$
- (iii)  $s$
- (iv)  $e - s$
- (v)  $c + e$
- (vi)  $3c + 2e$
- (vii)  $c + s$
- (viii)  $(c, e)$ , partially ordered coordinatewise (the *product* partial order 9.9.1).

(d) Prove that procedure **create-spanning-tree** terminates. (If your proof depends on one of the answers to part (c), you must prove that answer is correct.)

### Problem 3.

Let  $G$  be the graph below<sup>1</sup>. Carefully explain why  $\chi(G) = 4$ .



<sup>1</sup>From *Discrete Mathematics*, Lovász, Pelikan, and Vesztergombi. Springer, 2003. Exercise 13.3.1

**Problem 4.**

A portion of a computer program consists of a sequence of calculations where the results are stored in variables, like this:

	Inputs:	$a, b$
Step 1.	$c =$	$a + b$
2.	$d =$	$a * c$
3.	$e =$	$c + 3$
4.	$f =$	$c - e$
5.	$g =$	$a + f$
6.	$h =$	$f + 1$
	Outputs:	$d, g, h$

A computer can perform such calculations most quickly if the value of each variable is stored in a *register*, a chunk of very fast memory inside the microprocessor. Programming language compilers face the problem of assigning each variable in a program to a register. Computers usually have few registers, however, so they must be used wisely and reused often. This is called the *register allocation* problem.

In the example above, variables  $a$  and  $b$  must be assigned different registers, because they hold distinct input values. Furthermore,  $c$  and  $d$  must be assigned different registers; if they used the same one, then the value of  $c$  would be overwritten in the second step and we'd get the wrong answer in the third step. On the other hand, variables  $b$  and  $d$  may use the same register; after the first step, we no longer need  $b$  and can overwrite the register that holds its value. Also,  $f$  and  $h$  may use the same register; once  $f + 1$  is evaluated in the last step, the register holding the value of  $f$  can be overwritten. (Assume that the computer carries out each step in the order listed and that each step is completed before the next is begun.)

(a) Recast the register allocation problem as a question about graph coloring. What do the vertices correspond to? Under what conditions should there be an edge between two vertices? Construct the graph corresponding to the example above.

(b) Color your graph using as few colors as you can. Call the computer's registers  $R1, R2$ , etc. Describe the assignment of variables to registers implied by your coloring. How many registers do you need?

(c) Suppose that a variable is assigned a value more than once, as in the code snippet below:

```

...
t = r + s
u = t * 3
t = m - k
v = t + u
...

```

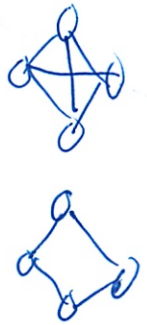
How might you cope with this complication?



Connected - ~~path from every vertex to every other vertex~~

Total - ~~edge~~ from every vertex to every vertex

Connected - every vertex has at least one edge



1. a) Prove false by counter example

↳ Isn't that true

Is true - as in that must be true for connected

But exception



$\neq > 0$  degree ~~and~~  $\rightarrow$  Connected

⊙ Connected  $\rightarrow > 0$  degree

b) Must be logical mistake in proof

Well if keep adding a line point and line to last added point then it would work

But ~~can~~ counterexample does not do this

Meyer: I want to know exactly which step it went wrong



②

- does not matter which edge ya connect to in proof - this is the issue

### Meyer's The QED

- no line in here that is wrong
- proving wrong thing
- are graphs w  $> 0$  degree that can't be built that way
- build up error
- Induction - think about  $N+1$ 
  - break up into smaller pieces you understand
  - must be sure built every possible graph

What I put!

### Lecture

2. Create a spanning tree proc given <sup>simple</sup> graph  $G$ 
  1. If edge  $(u-v)$  is on cycle, delete
  2. If vertices  $u, v$  not connected add  $(u-v)$

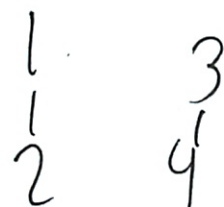
③

Assume vertices  $1, 2, \dots, n$  for some  $n \geq 2$

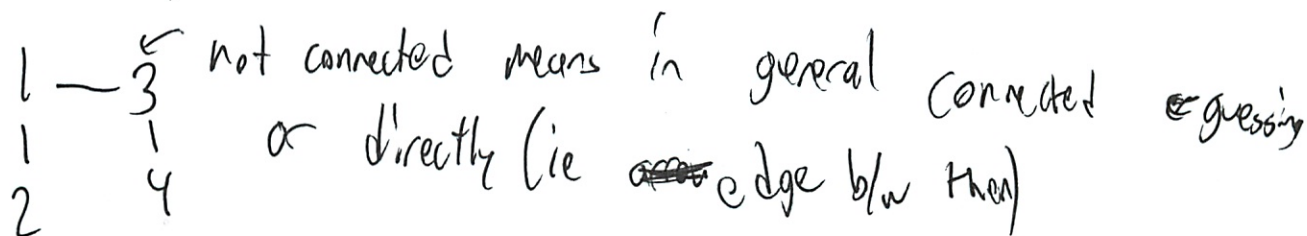
Can model as SM

- all possible graphs that can be constructed

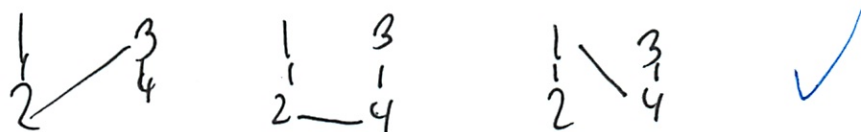
a) let  $G$  be  $\{1, 2, 3, 4\}$



What are possible states



Also



b) Prove that final state must be a tree

i def of tree

Check def of tree and use

A final state reached when proc terminates

- when no cycles in graph + all vertices connected

Therefore all final states are connected graphs w/o cycles

→ so all final states are trees

4)

That def feels to me as cheating

c) Which property guaranteed to satisfy  
- no matter starting graph

So basically - what happens to variables

e none

C weakly ↓

S " ↓

e-s " ↑

C+e " ↓

3c+2e strictly ↓

C+s " ↓

(C,e) ?

~~at~~

Strictly - always ↓

Weakly - ↓ or stays same

Weakly adds this possibility

d) Prove terminates

- that ~~one~~ one of these quantities comes to what the def. said

$C=1 \quad S=0$

$C \geq 1 \quad S \geq 0$  - keeps ↓

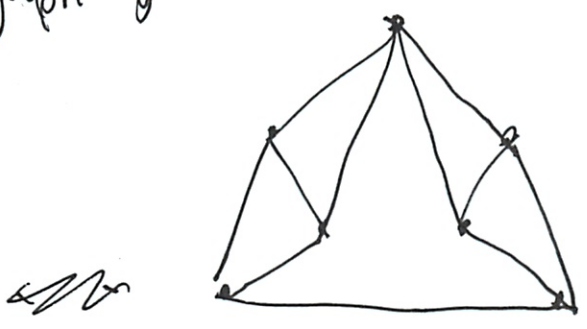
# connected components always ↓ to 1 - so must terminate

C+s strongly decreasing - one or other must go down



5

3. Why  $\chi(G) = 4$   
graph given



easy to show 4 colors  
but how to prove 3 colors

'Like I did in P-set - show example'

No Just proves that one did not work

Not that there could be something that works

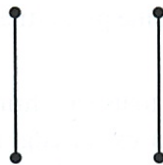
## Solutions to In-Class Problems Week 8, Wed.

### Problem 1.

**False Claim.** *If every vertex in a graph has positive degree, then the graph is connected.*

(a) Prove that this Claim is indeed false by providing a counterexample.

**Solution.** There are many counterexamples; here is one:



■

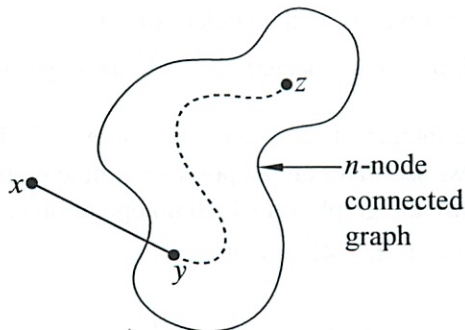
(b) Since the Claim is false, there must be an logical mistake in the following bogus proof. Pinpoint the *first* logical mistake (unjustified step) in the proof.

*Bogus proof.* We prove the Claim above by induction. Let  $P(n)$  be the proposition that if every vertex in an  $n$ -vertex graph has positive degree, then the graph is connected.

**Base cases:** ( $n \leq 2$ ). In a graph with 1 vertex, that vertex cannot have positive degree, so  $P(1)$  holds vacuously.

$P(2)$  holds because there is only one graph with two vertices of positive degree, namely, the graph with an edge between the vertices, and this graph is connected.

**Inductive step:** We must show that  $P(n)$  implies  $P(n + 1)$  for all  $n \geq 2$ . Consider an  $n$ -vertex graph in which every vertex has positive degree. By the assumption  $P(n)$ , this graph is connected; that is, there is a path between every pair of vertices. Now we add one more vertex  $x$  to obtain an  $(n + 1)$ -vertex graph:



All that remains is to check that there is a path from  $x$  to every other vertex  $z$ . Since  $x$  has positive degree, there is an edge from  $x$  to some other vertex,  $y$ . Thus, we can obtain a path from  $x$  to  $z$  by going from  $x$  to  $y$  and then following the path from  $y$  to  $z$ . This proves  $P(n + 1)$ .

By the principle of induction,  $P(n)$  is true for all  $n \geq 0$ , which proves the Claim. ■

**Solution.** This one is tricky: the proof is actually a good proof of something else. The first error in the proof is only in the final statement of the inductive step: “This proves  $P(n + 1)$ ”.

The issue is that to prove  $P(n + 1)$ , every  $(n + 1)$ -vertex positive-degree graph must be shown to be connected. But the proof doesn’t show this. Instead, it shows that every  $(n + 1)$ -vertex positive-degree graph that can be built up by adding a vertex of positive degree to an  $n$ -vertex connected graph, is connected.

The problem is that *not every*  $(n + 1)$ -vertex positive-degree graph can be built up in this way. The counterexample above illustrates this: there is no way to build that 4-vertex positive-degree graph from a 3-vertex positive-degree graph.

More generally, this is an example of “buildup error”. This error arises from a faulty assumption that every size  $n + 1$  graph with some property can be “built up” in some particular way from a size  $n$  graph with the same property. (This assumption is correct for some properties, but incorrect for others—such as the one in the argument above.)

One way to avoid an accidental build-up error is to use a “shrink down, grow back” process in the inductive step: start with a size  $n + 1$  graph, remove a vertex (or edge), apply the inductive hypothesis  $P(n)$  to the smaller graph, and then add back the vertex (or edge) and argue that  $P(n + 1)$  holds. Let’s see what would have happened if we’d tried to prove the claim above by this method:

*Inductive step:* We must show that  $P(n)$  implies  $P(n + 1)$  for all  $n \geq 1$ . Consider an  $(n + 1)$ -vertex graph  $G$  in which every vertex has degree at least 1. Remove an arbitrary vertex  $v$ , leaving an  $n$ -vertex graph  $G'$  in which every vertex has degree... uh-oh!

The reduced graph  $G'$  might contain a vertex of degree 0, making the inductive hypothesis  $P(n)$  inapplicable! We are stuck—and properly so, since the claim is false! ■

## Problem 2.

### Procedure **create-spanning-tree**

Given a simple graph  $G$ , keep applying the following operations to the graph until no operation applies:

1. If an edge  $\langle u-v \rangle$  of  $G$  is on a cycle, then delete  $\langle u-v \rangle$ .
2. If vertices  $u$  and  $v$  of  $G$  are not connected, then add the edge  $\langle u-v \rangle$ .

Assume the vertices of  $G$  are the integers  $1, 2, \dots, n$  for some  $n \geq 2$ . Procedure **create-spanning-tree** can be modeled as a state machine whose states are all possible simple graphs with vertices  $1, 2, \dots, n$ . The start state is  $G$ , and the final states are the graphs on which no operation is possible.

(a) Let  $G$  be the graph with vertices  $\{1, 2, 3, 4\}$  and edges

$$\{\langle 1-2 \rangle, \langle 3-4 \rangle\}$$

What are the possible final states reachable from start state  $G$ ? Draw them.

**Solution.** It’s not possible to delete any edge. The procedure can only add an edge connecting exactly one of vertices 1 or 2 to exactly one of vertices 3 or 4, and then terminate. So there are four possible final states. ■



(b) Prove that any final state of must be a tree on the vertices.

**Solution.** We use the characterization of a tree as an acyclic connected graph.

A final state must be connected, because otherwise there would be two unconnected vertices, and then a transition adding the edge between them would be possible, contradicting finality of the state.

A final state can't have a cycle, because deleting any edge on the cycle would be a possible transition. ■

(c) For any state,  $G'$ , let  $e$  be the number of edges in  $G'$ ,  $c$  be the number of connected components it has, and  $s$  be the number of cycles. For each of the derived variables below, indicate the *strongest* of the properties that it is guaranteed to satisfy, no matter what the starting graph  $G$  is and be prepared to briefly explain your answer.

The choices for properties are: *constant*, *strictly increasing*, *strictly decreasing*, *weakly increasing*, *weakly decreasing*, *none of these*. The derived variables are

(i)  $e$

**Solution.** none of these ■

(ii)  $c$

**Solution.** weakly decreasing ■

(iii)  $s$

**Solution.** weakly decreasing ■

(iv)  $e - s$

**Solution.** weakly increasing ■

(v)  $c + e$

**Solution.** weakly decreasing ■

(vi)  $3c + 2e$

**Solution.** strictly decreasing ■

(vii)  $c + s$

**Solution.** strictly decreasing ■

(viii)  $(c, e)$ , partially ordered coordinatewise (the *product* partial order 9.9.1).

**Solution.** none of these ■

(d) Prove that procedure **create-spanning-tree** terminates. (If your proof depends on one of the answers to part (c), you must prove that answer is correct.)

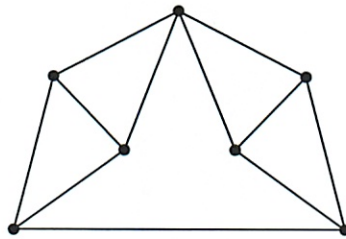
**Solution.** If a value (a *derived variable*) associated with a process state is nonnegative integer-valued and decreases at each step, then the process terminates after at most as many steps as the initial value of the quantity. So we need only identify such a derived variable. There are two in the list above, namely (vi) and (vii).

To show that the variable (vi) strictly decreases, note that the rule for deleting an edge ensures that the connectedness relation does not change, so neither does the number of connected components  $c$ . Meanwhile the number of edges  $e$  decreases by one when an edge is deleted. Therefore the variable  $3c + 2e$  decreases by 2. The rule for adding an edge ensures that the number of connected components  $c$  decreases by one and the number of edges  $e$  increases by one. Therefore the variable  $3c + 2e$  decreases by 1.

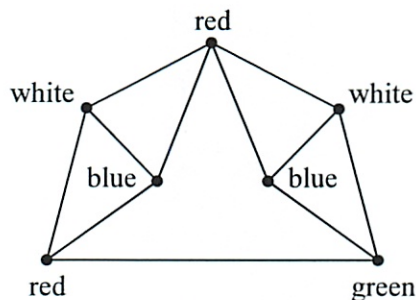
To show that the variable (vii) strictly decreases, note that the rule for deleting an edge ensures that the number of connected components  $c$  does not change and the number of cycles  $s$  decreases by  $n$ , where  $n \geq 1$ . Therefore the variable  $c + s$  decreases by  $n$ . The rule for adding an edge ensures that the number of connected components  $c$  decreases by one and the number of cycles  $s$  does not change. Therefore the variable  $c + s$  decreases by one. ■

### Problem 3.

Let  $G$  be the graph below<sup>1</sup>. Carefully explain why  $\chi(G) = 4$ .



**Solution.** Four colors are sufficient, so  $\chi(G) \leq 4$ .



**Figure 1** A 4-coloring of the Graph

Now assume  $\chi(G) = 3$ . We may assume the top vertex is colored red. The top two triangles require 3 colors each, and since they share the top red vertex, they must have the other two colors, white and blue, at their bases, as in Figure 1. Now the bottom two vertices are both adjacent to vertices colored white and blue, and cannot have the same color since they are adjacent, so there is no alternative but to color one with a third color and the other with a fourth color, contradicting the assumption that 3 colors are enough. Hence,  $\chi(G) > 3$ . This together with the coloring of Figure 1 implies that  $\chi(G) = 4$ . ■

<sup>1</sup>From *Discrete Mathematics*, Lovász, Pelikan, and Vesztergombi. Springer, 2003. Exercise 13.3.1



**Problem 4.**

A portion of a computer program consists of a sequence of calculations where the results are stored in variables, like this:

	Inputs:	$a, b$
Step 1.	$c =$	$a + b$
2.	$d =$	$a * c$
3.	$e =$	$c + 3$
4.	$f =$	$c - e$
5.	$g =$	$a + f$
6.	$h =$	$f + 1$
	Outputs:	$d, g, h$

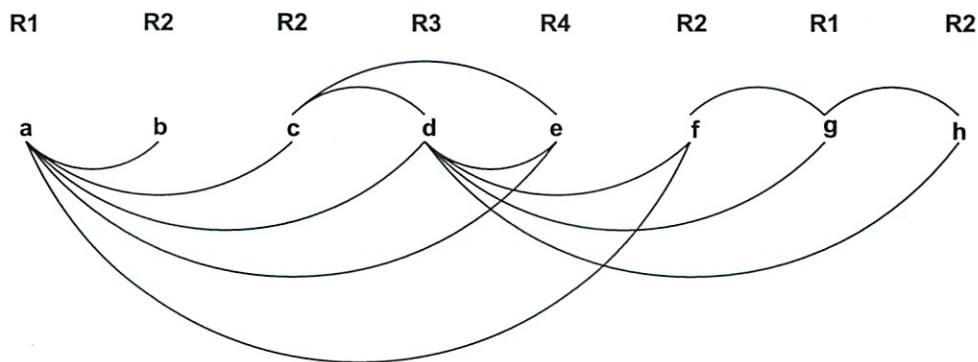
A computer can perform such calculations most quickly if the value of each variable is stored in a *register*, a chunk of very fast memory inside the microprocessor. Programming language compilers face the problem of assigning each variable in a program to a register. Computers usually have few registers, however, so they must be used wisely and reused often. This is called the *register allocation* problem.

In the example above, variables  $a$  and  $b$  must be assigned different registers, because they hold distinct input values. Furthermore,  $c$  and  $d$  must be assigned different registers; if they used the same one, then the value of  $c$  would be overwritten in the second step and we'd get the wrong answer in the third step. On the other hand, variables  $b$  and  $d$  may use the same register; after the first step, we no longer need  $b$  and can overwrite the register that holds its value. Also,  $f$  and  $h$  may use the same register; once  $f + 1$  is evaluated in the last step, the register holding the value of  $f$  can be overwritten. (Assume that the computer carries out each step in the order listed and that each step is completed before the next is begun.)

(a) Recast the register allocation problem as a question about graph coloring. What do the vertices correspond to? Under what conditions should there be an edge between two vertices? Construct the graph corresponding to the example above.

**Solution.** There is one vertex for each variable. An edge between two vertices indicates that the values of the variables must be stored in different registers.

We can classify each appearance of a variable in the program as either an *assignment* or a *use*. In particular, an appearance is an assignment if the variable is on the left side of an equation or on the "Inputs" line. An appearance of a variable is a use if the variable is on the right side of an equation or on the "Outputs" line. The *lifetime of a variable* is the segment of code extending from the initial assignment of the variable until the last use.<sup>2</sup> There is an edge between two variables if their lifetimes overlap. This rule generates the following graph:



<sup>2</sup>This definition is for the case that each variable is assigned at most once (see part (c)).



(b) Color your graph using as few colors as you can. Call the computer's registers  $R1$ ,  $R2$ , etc. Describe the assignment of variables to registers implied by your coloring. How many registers do you need?

**Solution.** Four registers are needed.

One possible assignment of variables to registers is indicated in the figure above. In general, coloring a graph using the minimum number of colors is quite difficult; no efficient procedure is known. However, the register allocation problem always leads to an *interval graph*, and optimal colorings for interval graphs are always easy to find. This makes it easy for compilers to allocate a minimum number of registers. ■

(c) Suppose that a variable is assigned a value more than once, as in the code snippet below:

```

...
t = r + s
u = t * 3
t = m - k
v = t + u
...

```

How might you cope with this complication?

**Solution.** Each time a variable is reassigned, we could regard it as a completely new variable. Then we would regard the example as equivalent to the following:

```

...
t = r + s
u = t * 3
t' = m - k
v = t' + u
...

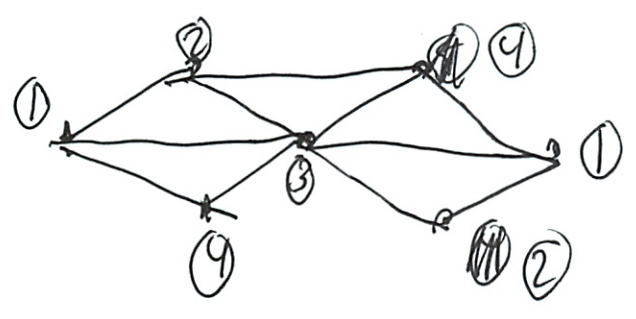
```

We can now proceed with graph construction and coloring as before. ■

TP7.7 Coloring

Chromatic #

- need to do manually - no better way



can do (1)-(2)-(1)  
just not (1)-(1)

4 (X)

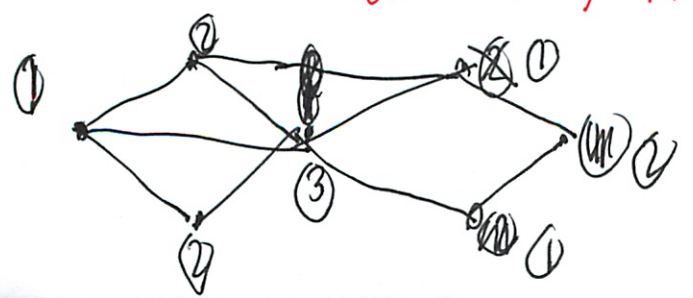
5 (X)

3 (V) - triangles need 3

~~What~~

What does it mean for colors to be sufficient?

Use two for outer rim, third for center



↳ could do that

②

TP 7.8 Which are trees?

- or forests of trees?

1

2

~~3~~

4

1 2 4 (X)

2 4 (X) Guess forests don't count

TP 7.9 Leaves

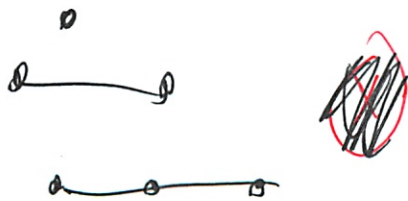
1. What is possible # of vertices where everything is leaf

leaf = degree 1

1

2

~~3~~



2 (X)

1, 2 (O) Oh wanted all possible



③

b. Smallest possible # leaves in trees w/ 98 vertices

c) Largest is easy 98

- does not need to be binary ✓

b)



2 ✓

---

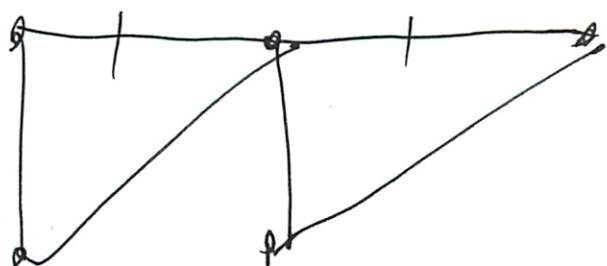
### TP 7.10 Graph Coloring

$$\chi(\text{Tree}) = 2 \quad \checkmark$$

---

### TP. 7.11 Spanning Trees

Find a spanning tree



✓

④

# TP 7.12 Graph Algorithm

Graph  $G$

- with vertices  $V$

edges  $E$

Mark edges if no path marked edges b/w

(sounds like Spanning tree)

1. Pres. Inv. and also hold for start state

1. No

2. ✓

~~3. ✓~~

4. Not always

2, 3 (X)

2 (✓)

2. Derived variables - how do they change?

# unmarked edges - strictly ↓ (✓)

marked edges " ↑ (✓)

# unmarked edges + marked edges = constant (✓)

5

# marked - # unmarked

↑ - ↓

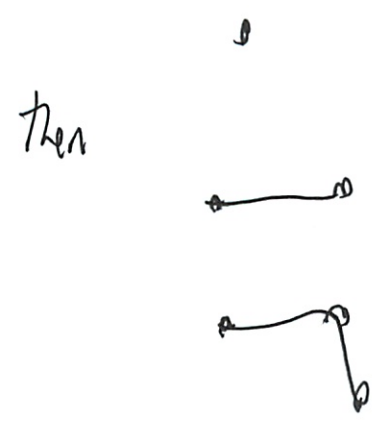
+1 - -1

+2 strictly ↑ (✓)

# connected components - only marked edges

↑ weakly ↓ (✗)

well first



could also



weakly ↑ (✗)

but then they connect at some pt.

strictly (✓) ✗ a vertex sitting by itself is a connected component ✗



MIT  
Mathematics for Computer Science  
MIT 6.042J/18.062J

# Planar Graphs

Albert R Meyer, April 1, 2011 lec 8F.1

MIT  
**Planar Graphs**

Albert R Meyer, April 1, 2011 lec 8F.2

MIT  
**Planar Graphs**

A graph is planar if there is a way to draw it in the plane without edges crossing.

Albert R Meyer, April 1, 2011 lec 8F.3

MIT  
**4 Continuous Faces here**

the outside face

continuous face  
::=connected region

Albert R Meyer, April 1, 2011 lec 8F.4

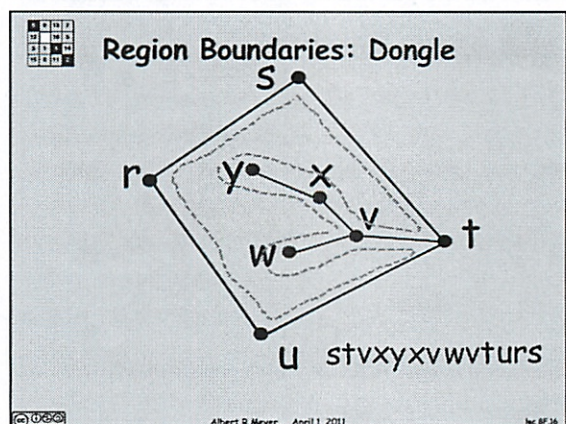
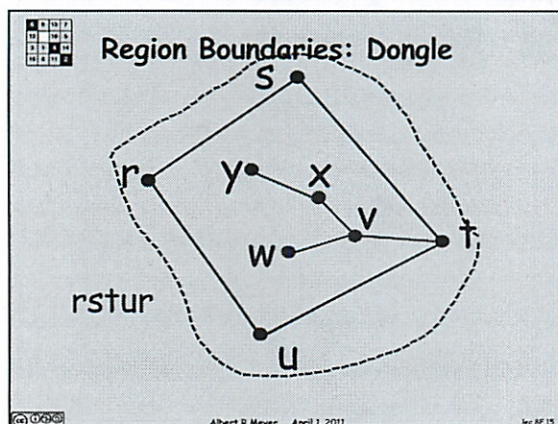
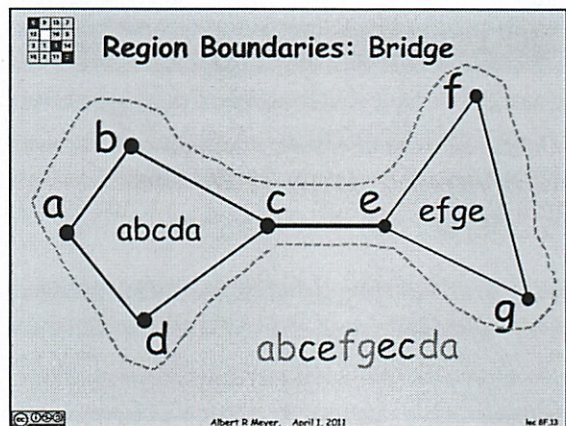
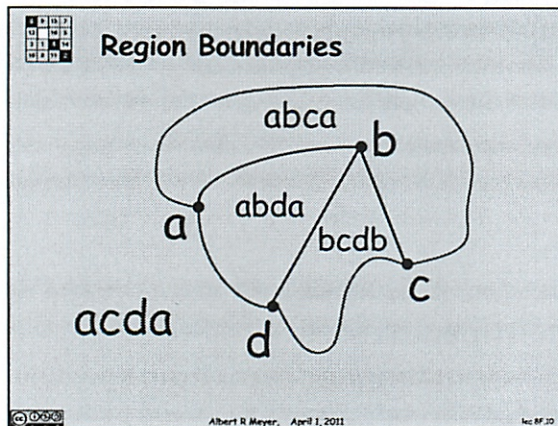
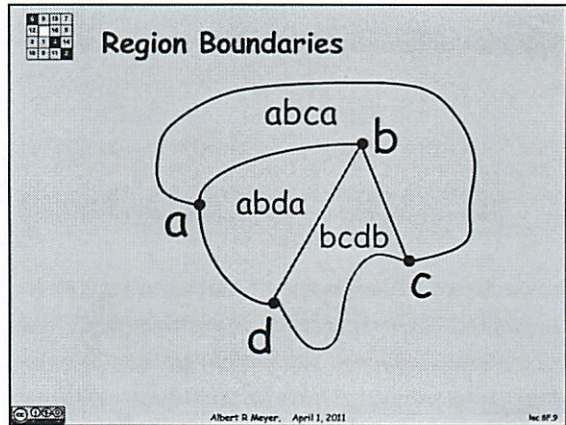
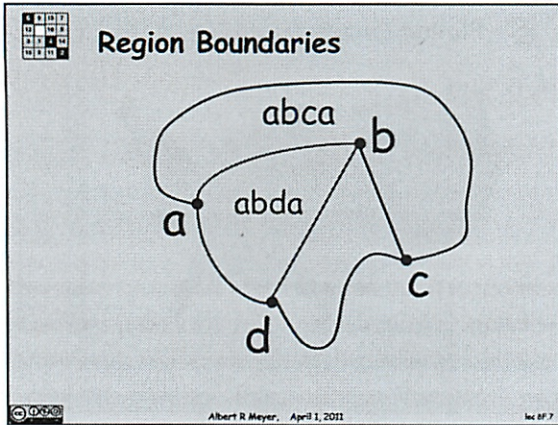
MIT  
**Region Boundaries**

Albert R Meyer, April 1, 2011 lec 8F.5

MIT  
**Region Boundaries**

Albert R Meyer, April 1, 2011 lec 8F.6









### Planar Embedding

A planar embedding is a connected graph *along with* its face boundary walks (same graph may have different embeddings)

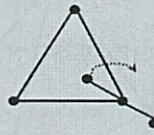


Albert R Meyer, April 1, 2011

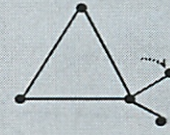
lec BF.17



### Same graph, different embeddings



2 length 5 faces



length 3 face  
length 7 face



Albert R Meyer, April 1, 2011

lec BF.18



### Recursive Def: Planar Embeddings

**Base:** a graph consisting of

- single vertex,  $v$ ,
- with a single face:  
length 0 walk from  $v$  to  $v$ ,  
is a PE.

$v$  ●  
graph

$v$   
face



Albert R Meyer, April 1, 2011

lec BF.19



### Adding an edge to an embedding

Two constructor cases:

- 1) Add edge across a face (splits face in two)
- 2) Add bridge between connected components (merges 2 outer faces)

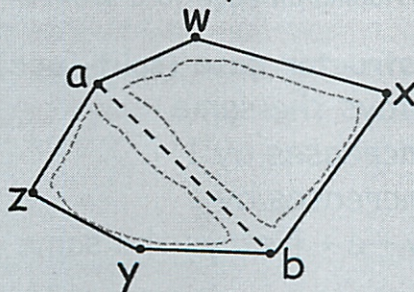


Albert R Meyer, April 1, 2011

lec BF.20



### Constructor: Split a Face



$awxbyza \rightarrow awxba, abyza$

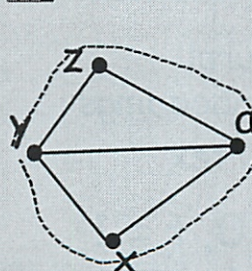


Albert R Meyer, April 1, 2011

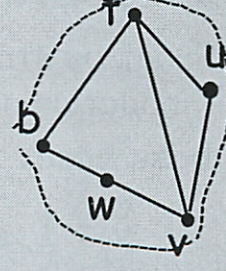
lec BF.21



### Constructor: Add a Bridge



$axyza$



$btuvw$



Albert R Meyer, April 1, 2011

lec BF.22



**Constructor: Add a Bridge**

axyza, btuvwb

Albert R Meyer, April 1, 2011 lec BF.23

**Constructor: Add a Bridge**

axyza, btuvwb  $\rightarrow$  axyzabtuvwba

Albert R Meyer, April 1, 2011 lec BF.24

**Team Problem**

# Problem 1

Albert R Meyer, April 1, 2011 lec BF.25

**Euler's Formula**

If a planar embedding has  $v$  vertices,  $e$  edges, and  $f$  faces, then

$$v - e + f = 2$$

Albert R Meyer, April 1, 2011 lec BF.26

**Euler's Formula**

Proof by structural induction on embeddings:  
base case: 1 vertex


$$v = 1, e = 0, f = 1$$

$$1 - 0 + 1 = 2 \text{ OK}$$

Albert R Meyer, April 1, 2011 lec BF.27

**Adding an edge to a drawing**


**Constructor case (split face):**  
 $v$  stays the same  
 $e$  increases by 1  
 $f$  increases by 1  
 so  $v - e + f$  stays the same



Albert R Meyer, April 1, 2011 lec BF.28




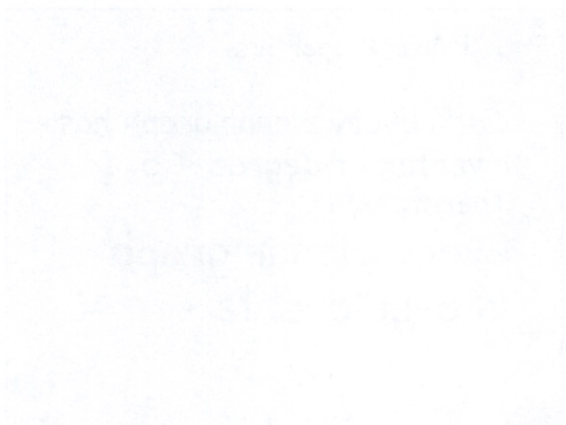
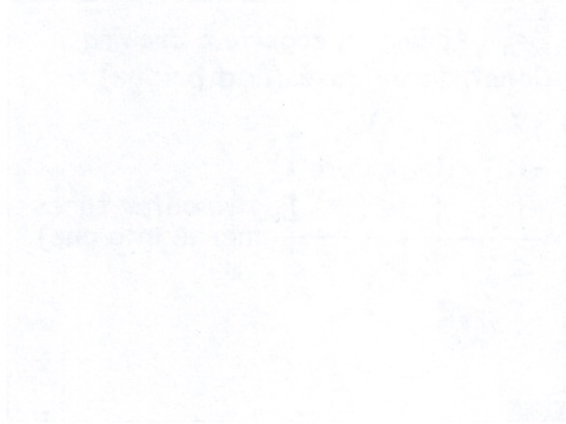


 **Team Problems**

# Problems

## 2 & 3

 Albert R Meyer, April 1, 2011 lec 07.35





# Planar Graphs

4/1

- "beautiful"

- but won't build on it later

- map = planar graph

- vertices + edges

- but drawn in plane w/o edges crossing each other

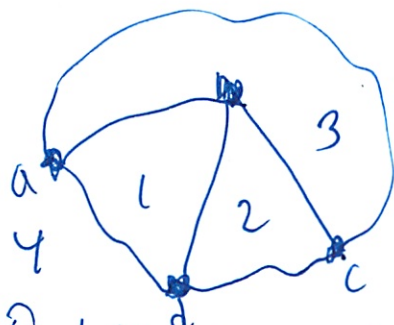
- usually ~~thought~~ thought of as state is vertex

- edge b/w if they have a  $\oplus$  length border

\* can't draw ~~edges~~ w/o edges ~~cross~~ cross

divide up into smaller regions

- continuous faces



outside face - to  $\infty$

② But want to think about it discretely  
 - Seq of vertices along region

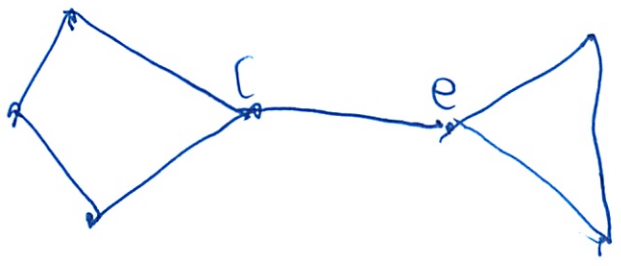
3	is	a b c a	2	b c d b
1	"	a b d a	4	a c d a

Does not matter where start, what dir

region

when nice - region boundaries are all cycles

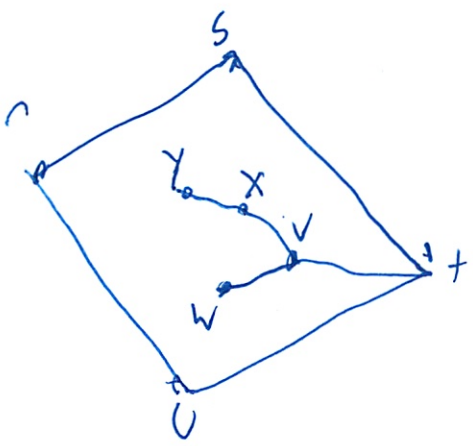
Sometimes bridges



but now outer boundaries are messy  
 are closed walks - need to cross vertices and edges

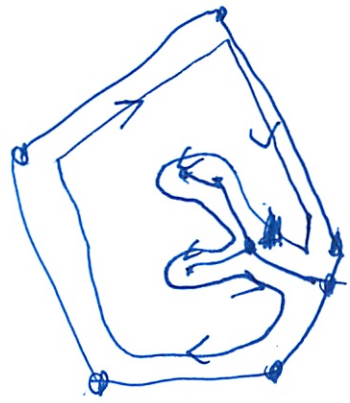
3

dongles

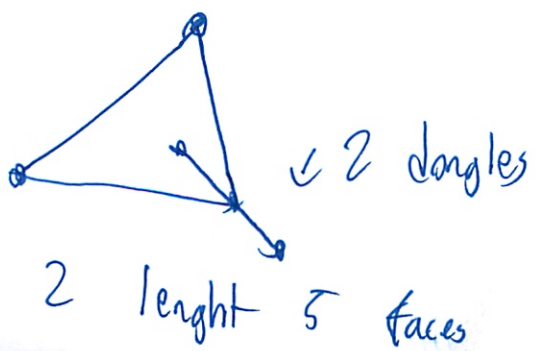


Have to backtrack

- every edge on dangle visited twice



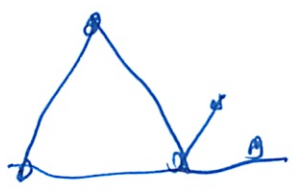
Planar embedding - connected graph along w/ its face  
boundary walks





9

But could pull inner dangle outside - isomorphism



length 3 face  
7 face

but diff embeddings

Could insist tripple connected to get rid of bridges + dangles

Define ~~Planar~~ Planar Embeddings recursively

Base



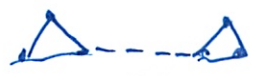
single face: length 0 walk ~~v~~ v → v

Constructor

1. Add edge across face (splits face in two)



2. Add a bridge b/w. 2 connected components



5

- which is combining 2 faces into one big face

---

## Problem 1

---

Embedding - a bunch of closed walks

Now make use of def

## Euler's Formula

$$\begin{array}{ccccc} V & - & e & + & f & = & 2 \\ \uparrow & & \uparrow & & \uparrow & & \\ \text{vertices} & & \text{edges} & & \text{faces} & & \end{array}$$

- is an invariant

- satisfied law of planar embeddings

- Only embeddings have faces

Base

$$V = 1$$

$$e = 0$$

$$f = 1$$

$$1 - 0 + 1 = 2 \quad \text{Ⓢ}$$

6

### Constructor 1 (split)

$V$  stays same

$$e \uparrow 1$$

$$f \uparrow 1$$

Only one face changes  $\rightarrow$  split - get one more

Since added edge

so  $0 + 1 - 1 = 0$  so same  $\checkmark$

### Constructor 2 (bridge)

$$V = V_1 + V_2$$

$\uparrow$              $\uparrow$   
first        2nd  
graph       graph

$$e = e_1 + e_2 + 1$$

$$f = f_1 + f_2 - 1$$

add it up

$$2 + 2 - 2 = 2 \checkmark$$





7

2 preserved planar graph properties

- an edge appears twice on faces

- from def

So ~~for  $v \geq 3$  doesn't work for degenerate graphs~~  
total face length =  $2e$

- face length  $\geq 3$  (for  $v \geq 3$  - does not work degenerate)

So  $3f \leq 2e$

- connect w/ Euler's theorem

and

$$e \leq 3v - 6$$

Cor:  $K_5$  is not planar

- can't drawing it is not a proof!

- but can use the invariant

$$v = 5 \quad e = 10$$

$$10 \leq 3(5) - 6 \quad (\times)$$

Contradiction! so ~~False~~ true

⑧

Corollary Every planar graph has a vertex of degree  $\leq 5$

Pf Suppose all degrees  $\geq 6$

Then  $6v \leq \sum \text{degrees}$

$$= 2e \leq 6v - 12$$

Therefore every graph is 6-colorable

Cor There are at most 5 regular polyhedra

- in textbook

- Eulers + degree constraint

Applied result in CS

## In-Class Problems Week 8, Fri.

### Problem 1.

Figures 1–4 show different pictures of planar graphs.

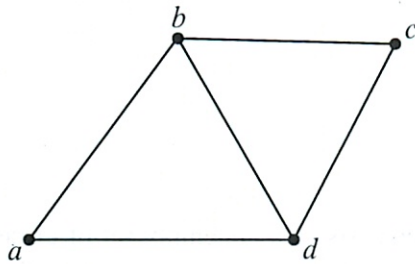


figure 1

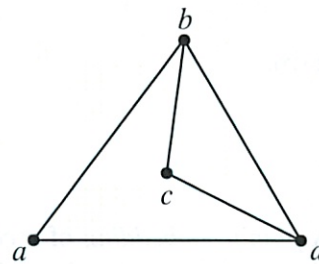


figure 2

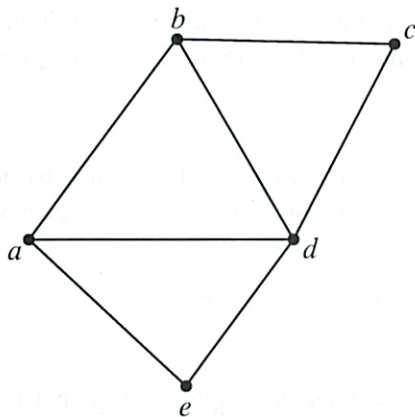


figure 3

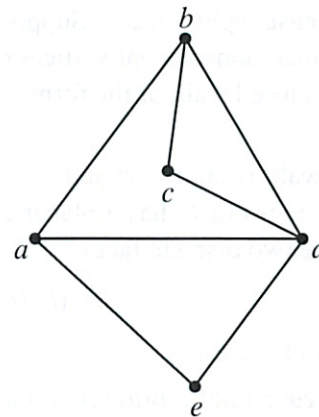


figure 4

- (a) For each picture, describe its discrete faces (closed walks that define the region borders).
- (b) Which of the pictured graphs are isomorphic? Which pictures represent the same *planar embedding*?—that is, they have the same discrete faces.
- (c) Describe a way to construct the embedding in Figure 4 according to the recursive Definition 12.2.2 of planar embedding. For each application of a constructor rule, be sure to indicate the faces (cycles) to which the rule was applied and the cycles which result from the application.

### Problem 2.

Prove the following assertions by structural induction on the definition of planar embedding.

- (a) In a planar embedding of a graph, each edge occurs exactly twice in the faces of the embedding.
- (b) In a planar embedding of a connected graph with at least three vertices, each face is of length at least three.



**Problem 3.**

A simple graph is *triangle-free* when it has no cycle of length three.

(a) Prove for any connected triangle-free planar graph with  $v > 2$  vertices and  $e$  edges,

$$e \leq 2v - 4. \quad (1)$$

*Hint:* Similar to the proof that  $e \leq 3v - 6$ . Use Problem 2.

(b) Show that any connected triangle-free planar graph has at least one vertex of degree three or less.

(c) Prove by induction on the number of vertices that any connected triangle-free planar graph is 4-colorable.

*Hint:* use part (b).

**Appendix**

**Definition.** A *planar embedding* of a *connected* graph consists of a nonempty set of closed walks of the graph called the *discrete faces* of the embedding. Planar embeddings are defined recursively as follows:

**Base case:** If  $G$  is a graph consisting of a single vertex,  $v$ , then a planar embedding of  $G$  has one discrete face, namely, the length zero closed walk,  $v$ .

**Constructor case (split a face):** Suppose  $G$  is a connected graph with a planar embedding, and suppose  $a$  and  $b$  are distinct, nonadjacent vertices of  $G$  that appear on some discrete face,  $\gamma$ , of the planar embedding. That is,  $\gamma$  is a closed walk of the form

$$\alpha \hat{\ } \beta$$

where  $\alpha$  is a walk from  $a$  to  $b$  and  $\beta$  is a walk from  $b$  to  $a$ .<sup>1</sup> Then the graph obtained by adding the edge  $\langle a-b \rangle$  to the edges of  $G$  has a planar embedding with the same discrete faces as  $G$ , except that face  $\gamma$  is replaced by the two discrete faces<sup>2</sup>

$$\alpha \hat{\ } (b \langle b-a \rangle a) \quad \text{and} \quad (a \langle a-b \rangle b) \hat{\ } \beta$$

as illustrated in Figure 1.

**Constructor case (add a bridge):** Suppose  $G$  and  $H$  are connected graphs with planar embeddings and disjoint sets of vertices. Let  $\gamma$  be a discrete face of the embedding of  $G$  and suppose that  $\gamma$  begins and ends at vertex  $a$ .

Similarly, let  $\delta$  be a discrete face of the embedding of  $H$  that begins and ends at vertex  $b$ .

Then the graph obtained by connecting  $G$  and  $H$  with a new edge,  $\langle a-b \rangle$ , has a planar embedding whose discrete faces are the union of the discrete faces of  $G$  and  $H$ , except that faces  $\gamma$  and  $\delta$  are replaced by one new face

$$\gamma \hat{\ } (a \langle a-b \rangle b) \hat{\ } \delta \hat{\ } (b \langle b-a \rangle a).$$

This is illustrated in Figure 2, where the vertex sequences of the faces of  $G$  and  $H$  are:

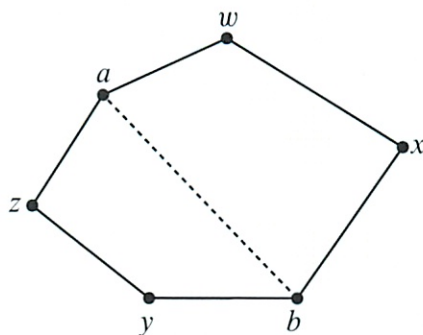
$$G : \{axyza, axya, ayza\} \quad H : \{btuvwb, btvwb, tuvt\},$$

and after adding the bridge  $\langle a-b \rangle$ , there is a single connected graph whose faces have the vertex sequences

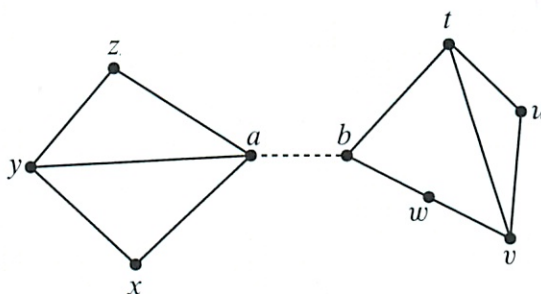
$$\{axyzabtuvwba, axya, ayza, btvwb, tuvt\}.$$

<sup>1</sup> If a walk  $f$  ends with a vertex,  $v$ , and a walk  $r$  starts with the same vertex,  $v$ , their merge,  $f \hat{\ } r$ , is the walk that starts with  $f$  and continues with  $r$ . Two walks can only be merged if the first ends with the same vertex,  $v$ , that the second one starts with.

<sup>2</sup> There is a minor exception to this definition of embedding in the special case when  $G$  is a line graph beginning with  $a$  and ending with  $b$ . In this case the cycles into which  $\gamma$  splits are actually the same. That's because adding edge  $\langle a-b \rangle$  creates a cycle that divides the plane into "inner" and "outer" continuous faces that are both bordered by this cycle. In order to maintain the correspondence between continuous faces and discrete faces in this case, we define the two discrete faces of the embedding to be two "copies" of this same cycle.



**Figure 1** The “split a face” case:  $awxyba$  splits into  $awxyba$  and  $abyza$ .



**Figure 2** The “add a bridge” case.

**Theorem 3.1** (Euler’s Formula). *If a connected graph has a planar embedding, then*

$$v - e + f = 2$$

where  $v$  is the number of vertices,  $e$  is the number of edges, and  $f$  is the number of faces.

**Corollary 3.2.** *Suppose a connected planar graph has  $v \geq 3$  vertices and  $e$  edges. Then*

$$e \leq 3v - 6.$$

*Proof.* By definition, a connected graph is planar iff it has a planar embedding. So suppose a connected graph with  $v$  vertices and  $e$  edges has a planar embedding with  $f$  faces. By Problem 2.a, every edge is traversed exactly twice by the face boundaries. So the sum of the lengths of the face boundaries is exactly  $2e$ . Also by Problem 2.b, when  $v \geq 3$ , each face boundary is of length at least three, so this sum is at least  $3f$ . This implies that

$$3f \leq 2e. \tag{2}$$

But  $f = e - v + 2$  by Euler’s formula, and substituting into (2) gives

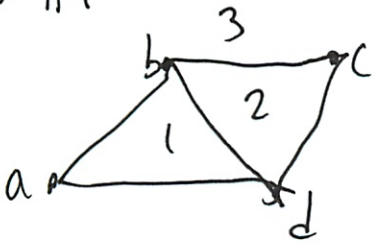
$$\begin{aligned} 3(e - v + 2) &\leq 2e \\ e - 3v + 6 &\leq 0 \\ e &\leq 3v - 6 \end{aligned}$$

**Corollary 3.3.**  $K_5$  is not planar.

*Proof.*

$$e = 10 > 9 = 3v - 6.$$

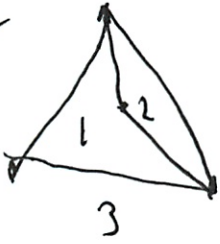
la) #1



a b d a  
b c d b  
a b c d a

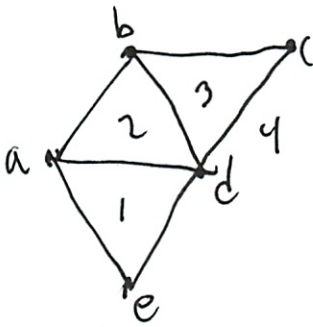
nothing called "outerface"

#2



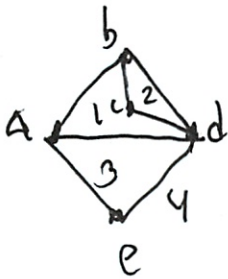
a b c d a  
b c d b  
a b d a

#3



a b d a  
a d e a  
b c d b  
a b c d e a

#4



a b c d a  
b d c b  
a d e a  
a b d e a

b) isomorphic - 1, 2  
3, 4

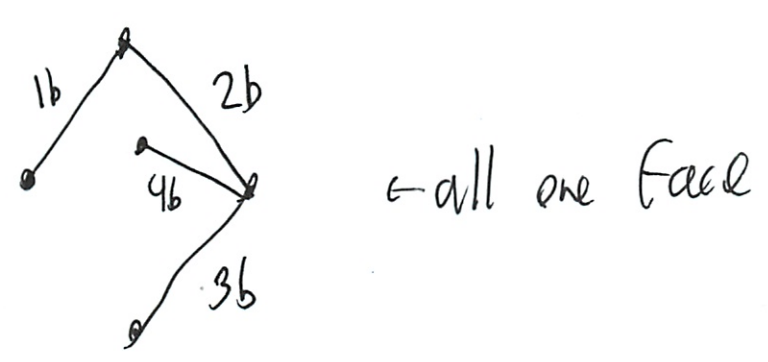
planar embedding - same discrete faces

1, 2  
~~3, 4~~ Not! - added triangle



⑦

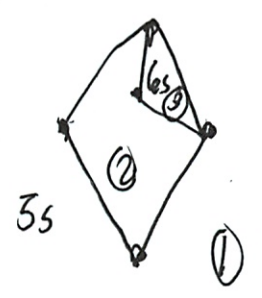
4) Build #4 w/ adding rule



but how do you close now

Can draw line b/w w/ the split rule

- (all pics showed like that, but use def)



5s splits ① into ① ②

6s splits ② into ② ③

to have a planar embedding <sup>n pair of graphs</sup> - must be isomorphic

Rest of lecture

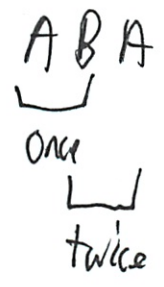
3

2. Prove by structural induction on def planar embedding

a) One for each

Base  $V \neq 1$  does not count?

Base  $V=2$



? prob won't need ~~some stuff~~ - since could also do w/ constructor

Constructor add bridge

- what I shared above except use more general lang

A, B could have also been connected components, not just single  
? (w/ multiple vertices)

9



↑ not allowed  
to connect later

- must build up w/ I connected component example



- Could connect own plane ~~if~~ if each was built in its



B/c when you connect, you lose a face





5

3. A simple graph is  $\Delta$ -free if has no cycles of length 3

a) Prove for any connected triangle free planar graph w/  
 $v > 2$  vertices

$$e \leq 2v - 4$$

## Solutions to In-Class Problems Week 8, Fri.

### Problem 1.

Figures 1–4 show different pictures of planar graphs.

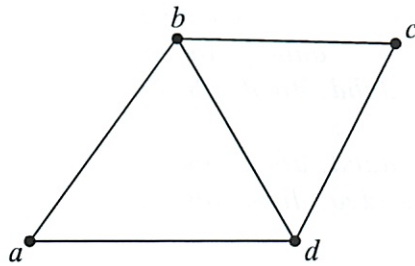


figure 1

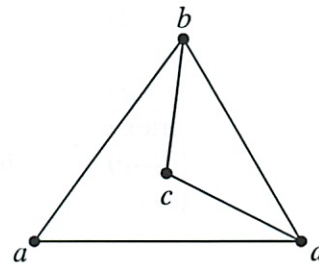


figure 2

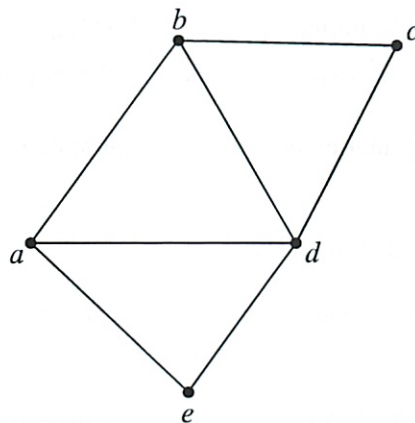


figure 3

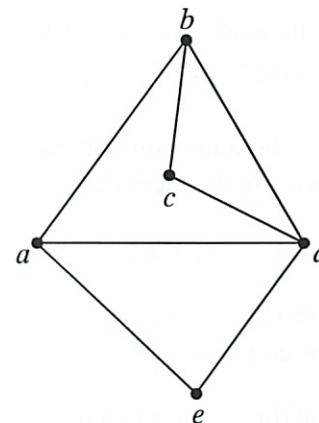


figure 4

(a) For each picture, describe its discrete faces (closed walks that define the region borders).

**Solution.** Figs 1 & 2:  $abda$ ,  $bcdb$ ,  $abcda$ . Fig 3:  $abcdea$ ,  $adea$ ,  $abda$ ,  $bcdb$ . Fig 4:  $abcda$ ,  $abdea$ ,  $bdcdb$ ,  $adea$ . ■

(b) Which of the pictured graphs are isomorphic? Which pictures represent the same *planar embedding*?—that is, they have the same discrete faces.

**Solution.** Figs 1 & 2 have the same faces, so are different pictures of the *same* planar drawing. Figs 3 & 4 both have four faces, but they are different, for example, Fig 3 has a face with 5 edges, but the longest face in Fig 4 has 4 edges. ■

(c) Describe a way to construct the embedding in Figure 4 according to the recursive Definition ?? of planar embedding. For each application of a constructor rule, be sure to indicate the faces (cycles) to which the rule was applied and the cycles which result from the application.

**Solution.** Here's one way. (The constructor steps could actually be done in any order.)

recursive step	faces
vertex $a$ (base case)	$a$
vertex $b$ (base)	$b$
$\langle a-b \rangle$ (bridge)	$aba$
vertex $c$ (base)	$c$
$\langle b-c \rangle$ (bridge)	$abcba$
vertex $d$ (base)	$d$
$\langle c-d \rangle$ (bridge)	$abcdcba$
$\langle a-d \rangle$ (split)	$dabcd, dabcd$
$\langle b-d \rangle$ (split)	$dabd, dbcd, abcda$
vertex $e$ (base)	$e$
$\langle d-e \rangle$ (bridge)	$dedabd, dbcd, abcda$
$\langle a-e \rangle$ (split)	$abdea, adea, dbcd, abcda$

■

### Problem 2.

Prove the following assertions by structural induction on the definition of planar embedding.

(a) In a planar embedding of a graph, each edge occurs exactly twice in the faces of the embedding.

**Solution.** *Proof.* The induction hypothesis is that if  $\mathcal{E}$  is a planar embedding of a graph, then each edge is occurs exactly twice in the faces of  $\mathcal{E}$ .

**Base case:** There is one vertex and no edges, so this case holds vacuously.

**Constructor case (face-splitting):** The only change is that one face of  $\mathcal{E}$  splits into two new faces, each including the new edge once.

**Constructor case (bridge between two connected graphs):** The only change is that two faces merge into one face that has two occurrences of the new bridging edge. So the occurrences of other edges are unchanged, and the new edge occurs twice in the new face.

So in any case, all edges of  $\mathcal{E}$  are occur exactly twice. This completes the proof of the Constructor case. We conclude by structural induction that for all planar embeddings,  $\mathcal{E}$ , then each edge occurs exactly twice in the faces of  $\mathcal{E}$ .

■

(b) In a planar embedding of a connected graph with at least three vertices, each face is of length at least three.

**Solution.** *Proof.* The induction hypothesis is that if  $\mathcal{E}$  is a planar embedding of a graph with at least three vertices, then all faces in  $\mathcal{E}$  are of length at least three.

**Base case:** There is one vertex, so this case holds vacuously.

**Constructor case: (face-splitting)** An edge  $\langle a-b \rangle$  is added between nonadjacent vertices  $a, b$  on the same face. This face is replaced by two new faces of the form  $abc \dots a$  and  $abd \dots a$  where  $c \neq d$  are vertices different from  $a$  and  $b$ . So both new faces are of length at least 3; no other faces change.

**Constructor case: (bridge between two connected graphs)**



**case 1:** (both graphs have one vertex). Connecting these graphs with a bridge gives a graph with fewer than three vertices, so this case holds vacuously.

**case 2:** (one graph has exactly two vertices and the other has at most two vertices). Connecting these graphs with a bridge yields a line graph of length two or three whose unique embedding is a cycle of length four or six going from one end of the graph to the other and back. In any case, the one face has length more than three.

**case 3:** (one graph has at most two vertices and the other has at least three vertices). Connecting replaces the face of the vertex graph with at most two vertices and a face of the other graph with a face of length at least  $2 + 3 = 5$ , and leaves all other faces unchanged. So all faces are indeed of length at least three.

**case 4:** (both graphs have at least three vertices). Connecting replaces two faces of length at least three by a single face of length at least  $2 + 3 + 3 = 8$ , and leaves all other faces unchanged. So all faces are indeed of length at least three.

So in any case, all faces of connected planar embedding of graphs with at least three vertices are indeed of length at least three. This completes the proof of the Constructor case and the structural induction. ■

### Problem 3.

A simple graph is *triangle-free* when it has no cycle of length three.

(a) Prove for any connected triangle-free planar graph with  $v > 2$  vertices and  $e$  edges,

$$e \leq 2v - 4. \quad (1)$$

*Hint:* Similar to the proof that  $e \leq 3v - 6$ . Use Problem 2.

**Solution.** The proof that  $e \leq 2v - 4$  for any connected triangle-free planar graph  $G$  with more than two vertices is identical to the proof of the same inequality for bipartite graph planar graphs:

*Proof.* By Problem 2.b, every face is of length at least 3. But in a triangle-free graph there are no faces of size 3, so all must be of length at least 4.

Each edge is occurs exactly twice in the faces, so

$$2e = \sum_{f \in \text{faces}} \text{length}(f) \geq \sum_{f \in \text{faces}} 4 = 4f. \quad (2)$$

By Euler's formula,  $f = e - v + 2$ , so substituting for  $f$  in (2), yields

$$2e \geq 4(e - v + 2),$$

which simplifies to (1). ■

(b) Show that any connected triangle-free planar graph has at least one vertex of degree three or less.

**Solution.** If  $v \leq 4$ , all vertices have degree at most three, so the claim is immediate for  $v \leq 4$ .

Also, by the Handshaking Lemma, the sum of degrees is  $2e$  so the average degree is  $2e/v$ . By part (a),  $2e/v \leq (4v - 8)/v < 4$  for  $v > 2$ . But the average degree can be less than 4 only if at least one vertex has degree less than 4.

It follows that for all  $v > 0$ , there is a vertex of degree three or less. ■



(c) Prove by induction on the number of vertices that any connected triangle-free planar graph is 4-colorable.

*Hint:* use part (b).

**Solution.**

*Proof.* By strong induction on the number of vertices with the induction hypothesis that if a graph is connected, planar and triangle-free then it is 4-colorable.

**base case:** A planar graph with a single vertex is trivially connected, triangle-free and 1-colorable.

**inductive step:** Any connected triangle-free planar graph  $G$  with 2 or more vertices has a vertex of degree 3 or less. Removing this vertex and any incident edges results in a graph  $H$  whose connected components are subgraphs of a planar graph and therefore planar. They are also triangle-free since removing vertices/edges from a graph with no triangles cannot create triangles. Since the components have strictly fewer vertices than  $G$ , the induction hypothesis implies each connected component is 4-colorable and thus  $H$  is 4-colorable.

A 4-coloring of  $G$  is then given by a 4-coloring of  $H$  where the removed vertex is colored with a color not used for the (at most 3) adjacent vertices. ■

## Appendix

**Definition.** A *planar embedding* of a *connected* graph consists of a nonempty set of closed walks of the graph called the *discrete faces* of the embedding. Planar embeddings are defined recursively as follows:

**Base case:** If  $G$  is a graph consisting of a single vertex,  $v$ , then a planar embedding of  $G$  has one discrete face, namely, the length zero closed walk,  $v$ .

**Constructor case (split a face):** Suppose  $G$  is a connected graph with a planar embedding, and suppose  $a$  and  $b$  are distinct, nonadjacent vertices of  $G$  that appear on some discrete face,  $\gamma$ , of the planar embedding. That is,  $\gamma$  is a closed walk of the form

$$\alpha \hat{\ } \beta$$

where  $\alpha$  is a walk from  $a$  to  $b$  and  $\beta$  is a walk from  $b$  to  $a$ .<sup>1</sup> Then the graph obtained by adding the edge  $\langle a-b \rangle$  to the edges of  $G$  has a planar embedding with the same discrete faces as  $G$ , except that face  $\gamma$  is replaced by the two discrete faces<sup>2</sup>

$$\alpha \hat{\ } (b \langle b-a \rangle a) \quad \text{and} \quad (a \langle a-b \rangle b) \hat{\ } \beta$$

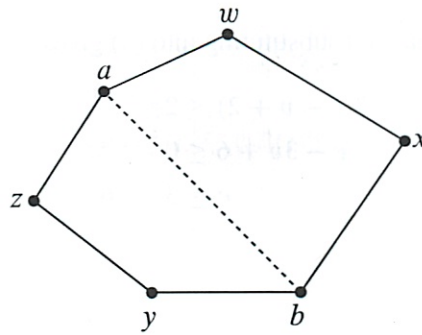
as illustrated in Figure 1.

**Constructor case (add a bridge):** Suppose  $G$  and  $H$  are connected graphs with planar embeddings and disjoint sets of vertices. Let  $\gamma$  be a discrete face of the embedding of  $G$  and suppose that  $\gamma$  begins and ends at vertex  $a$ .

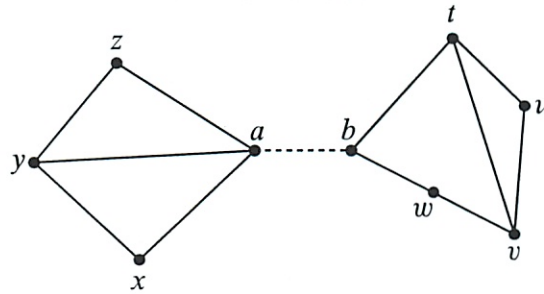
Similarly, let  $\delta$  be a discrete face of the embedding of  $H$  that begins and ends at vertex  $b$ .

<sup>1</sup> If a walk  $\mathbf{f}$  ends with a vertex,  $v$ , and a walk  $\mathbf{r}$  starts with the same vertex,  $v$ , their merge,  $\mathbf{f} \hat{\ } \mathbf{r}$ , is the walk that starts with  $\mathbf{f}$  and continues with  $\mathbf{r}$ . Two walks can only be merged if the first ends with the same vertex,  $v$ , that the second one starts with.

<sup>2</sup>There is a minor exception to this definition of embedding in the special case when  $G$  is a line graph beginning with  $a$  and ending with  $b$ . In this case the cycles into which  $\gamma$  splits are actually the same. That's because adding edge  $\langle a-b \rangle$  creates a cycle that divides the plane into "inner" and "outer" continuous faces that are both bordered by this cycle. In order to maintain the correspondence between continuous faces and discrete faces in this case, we define the two discrete faces of the embedding to be two "copies" of this same cycle.



**Figure 1** The “split a face” case:  $awxbyza$  splits into  $awxyba$  and  $abyza$ .



**Figure 2** The “add a bridge” case.

Then the graph obtained by connecting  $G$  and  $H$  with a new edge,  $\langle a-b \rangle$ , has a planar embedding whose discrete faces are the union of the discrete faces of  $G$  and  $H$ , except that faces  $\gamma$  and  $\delta$  are replaced by one new face

$$\gamma \hat{\ } (a \langle a-b \rangle b) \hat{\ } \delta \hat{\ } (b \langle b-a \rangle a).$$

This is illustrated in Figure 2, where the vertex sequences of the faces of  $G$  and  $H$  are:

$$G : \{axyza, axya, ayza\} \quad H : \{btuvwb, btvwb, tuvt\},$$

and after adding the bridge  $\langle a-b \rangle$ , there is a single connected graph whose faces have the vertex sequences

$$\{axyzabtuvwba, axya, ayza, btvwb, tuvt\}.$$

**Theorem 3.1** (Euler’s Formula). *If a connected graph has a planar embedding, then*

$$v - e + f = 2$$

where  $v$  is the number of vertices,  $e$  is the number of edges, and  $f$  is the number of faces.

**Corollary 3.2.** *Suppose a connected planar graph has  $v \geq 3$  vertices and  $e$  edges. Then*

$$e \leq 3v - 6.$$

*Proof.* By definition, a connected graph is planar iff it has a planar embedding. So suppose a connected graph with  $v$  vertices and  $e$  edges has a planar embedding with  $f$  faces. By Problem 2.a, every edge is traversed exactly twice by the face boundaries. So the sum of the lengths of the face boundaries is exactly  $2e$ . Also by Problem 2.b, when  $v \geq 3$ , each face boundary is of length at least three, so this sum is at least  $3f$ . This implies that

$$3f \leq 2e. \tag{3}$$



But  $f = e - v + 2$  by Euler's formula, and substituting into (3) gives

$$\begin{aligned} 3(e - v + 2) &\leq 2e \\ e - 3v + 6 &\leq 0 \\ e &\leq 3v - 6 \end{aligned}$$

**Corollary 3.3.**  $K_5$  is not planar.

*Proof.*

$$e = 10 > 9 = 3v - 6.$$



TP 8TP 8.1 Faces of Planar embedding

What are the faces here?

1. abcda

efge

abcēfge cda ✓

2. rstur

rstvxyxvwvtur ✓

TP 8.2 Planar Graphs

A planar graph has 7 more edges than vertices.  
How many faces does it have?

$$V - E + f = 2$$

$$1 - 8 + f = 2$$

$$-7 + f = 2$$

$$f = 9 ✓$$

②

## TP 8.3 Annuities

$$r = 4\%$$

$$10,000$$

$$\sum_{T=1}^{\infty} \frac{10,000}{(1.04)^T} \quad \text{Perpetuity}$$

I know its  $\frac{10,000}{.04}$  from 16.40c

$$250,000 \quad \checkmark$$

---

## TP 8.4 Summation

$\sum_{i=1}^{\infty} i^p$  converges to finite value iff  $p < a$

Part a value of  $a$

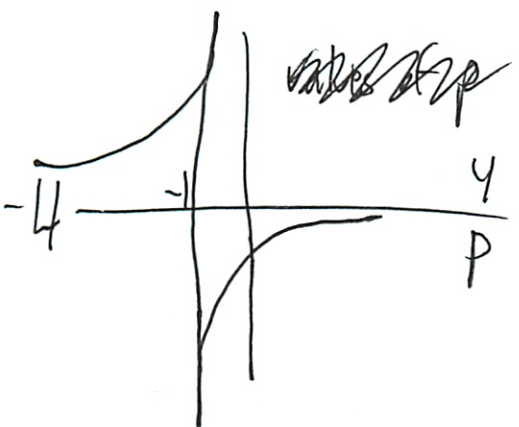
- is this top  $p \geq 5$ ?

$$a = 1 \quad (\text{X})$$

But what can it be?



③ Did in Wolfram alpha



-1 (circled)

But how do you know

its been a long time since I did this

### Part 2 proof

Which would be good proof for a?

1. Find a closed form for  $\int_1^{\infty} x^p dx$

what does this mean again  
WP can be answered  
- like a #

I have no clue what is best

2. Closed form  $\int_1^{\infty} i^x dx$

3. Induction on  $n$

4. induction on  $n^p \sum_{i=1}^n i^p$



5

if  $p > -1$  then  $p+1 > 0$  so  $\lim_{x \rightarrow \infty} x^{p+1} = \infty$   
diverges

$p = -1$  indefinite integral is  $\ln x$  which also approaches  $\infty$  as  $x \rightarrow \infty$  so diverges

#4 incorrect - needs ideas from inductive step  
- so induction is most

#5 correct

For  $p = -1$  the sum is the harmonic series which we know does not converge. Since term  $i^p$  is increasing in  $p$  for  $i > 1$ , sum will be larger and also diverge for  $p > -1$

TP 8.5 Stirling's Formula

$$\frac{(2n)!}{2^{2n} (n!)^2}$$
 will come up later in class

What is asy = to?



(6)

So Stirling formula

$$n! = \sqrt{2\pi n} \left(\frac{n}{e}\right)^n e^{\epsilon(n)}$$

$$\sim \sqrt{2\pi n} \left(\frac{n}{e}\right)^n$$

So

$$\sqrt{2\pi \cdot 2n} \left(\frac{2n}{e}\right)^{2n}$$

$$\frac{\sqrt{2\pi \cdot 2n} \left(\frac{2n}{e}\right)^{2n}}{2^{2n} \left(\sqrt{2\pi n} \left(\frac{n}{e}\right)^n\right)^2}$$

$$\frac{2\sqrt{\pi n} \left(\frac{2n}{e}\right)^{2n}}{2\sqrt{\pi n} \left(\frac{n}{e}\right)^{2n}}$$

$$\frac{2\sqrt{\pi n} \left(\frac{2n}{e}\right)^{2n}}{2\sqrt{\pi n} \left(\frac{n}{e}\right)^{2n}}$$

Can't do that

- This is a ton of algebra

I don't feel like doing


Wolfram alpha

$$\frac{2^{-2n} (2n)!}{(n!)^2}$$

$$\frac{4^{-n} (2n)!}{(n!)^2}$$

goes to 0 as  $n \rightarrow \infty$

~~Multiples~~

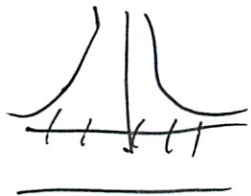
$\frac{1}{\sqrt{\pi n}}$  - no does not go to 0 

← this is it  
ⓐ

$\frac{1}{\sqrt{2\pi n}}$  - no same

So perhaps,

$$\sqrt{\frac{2}{\pi n}}$$

 not to 0

$$2^n \sqrt{2\pi n}$$

 No!

$$\sqrt{2\pi n}$$

 worse

$$\frac{(2n)!}{2^{2n} (n!)}$$

$$\sim \frac{(2n/e)^{2n} \sqrt{2\pi 2n}}{2^{2n} [(n/e)^n \sqrt{2\pi n}]}$$

$$= \frac{2^{2n} (n/e)^{2n} \sqrt{2\pi 2n}}{2^{2n} (n/e)^{2n} [\sqrt{2\pi n}]^2}$$


$$= \frac{\sqrt{2\pi 2n}}{[\sqrt{2\pi n}]^2}$$

$$= \frac{\sqrt{2} \sqrt{2\pi n}}{[\sqrt{2\pi n}]^2}$$


$$= \frac{\sqrt{2}}{\sqrt{2\pi n}}$$


$$= \frac{1}{\sqrt{\pi n}}$$

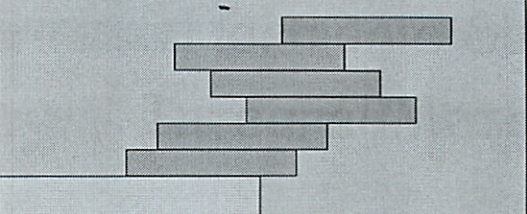



**Mathematics for Computer Science**  
 MIT 6.042J/18.062J

# Harmonic Sum Integral Method



 Albert R. Meyer, April 4, 2011 lec 9M.1


**Book Stacking**

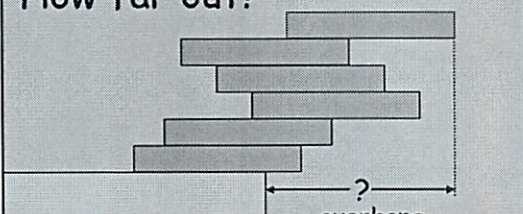


table

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**Book Stacking**

## How far out?

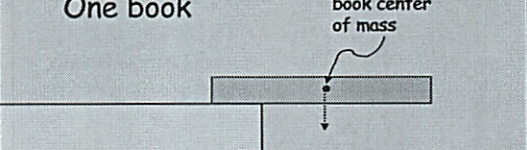


overhang

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**Book Stacking**

## One book

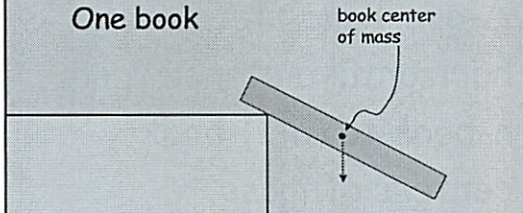


book center of mass

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**Book Stacking**

## One book

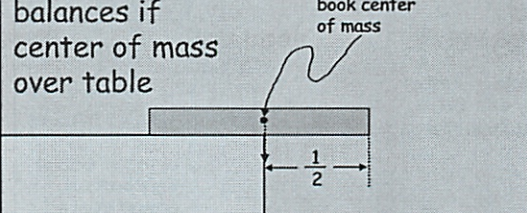


book center of mass

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**Book Stacking**

## balances if center of mass over table

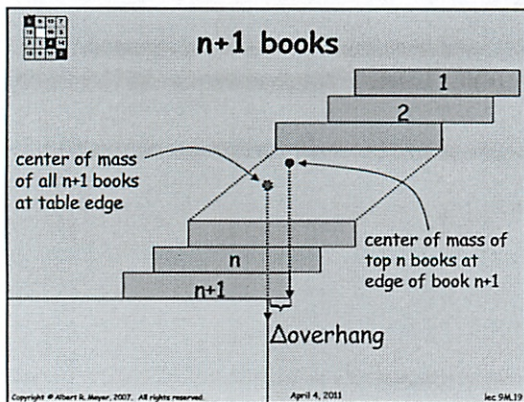
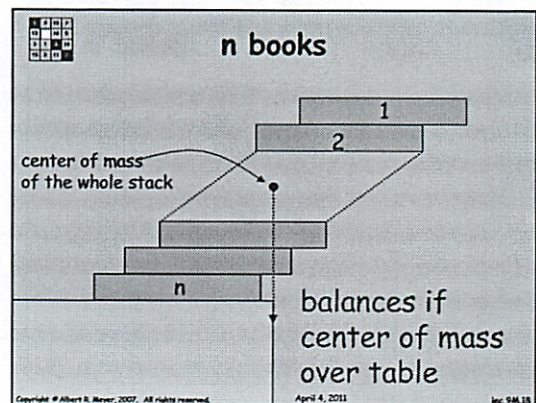
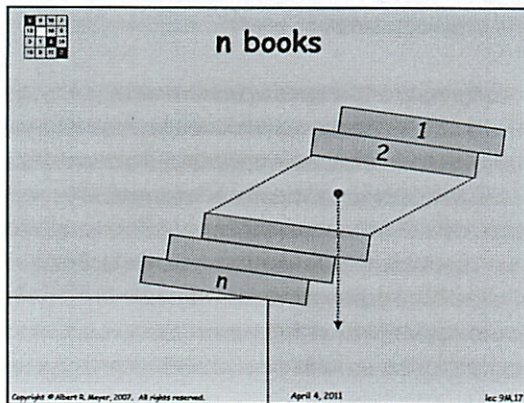
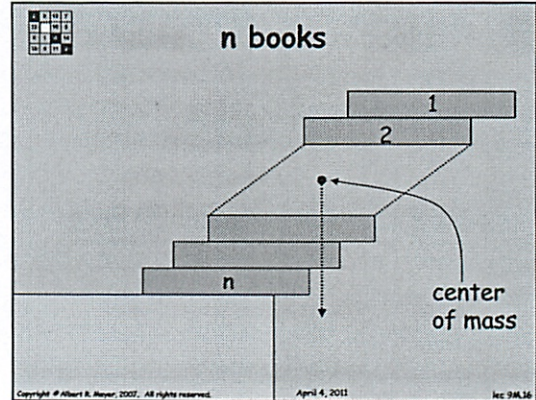
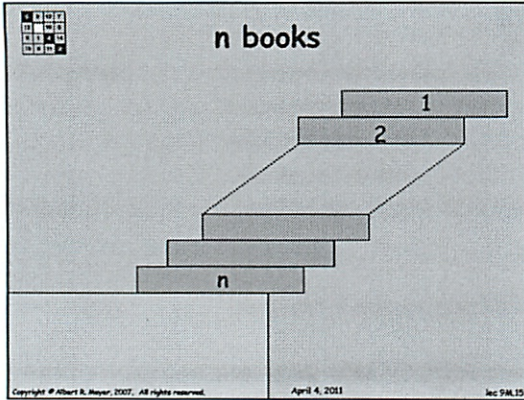


book center of mass

$\frac{1}{2}$

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$\Delta$ -overhang ::= horizontal distance from n-book to (n+1)-book centers of mass

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**Δ-overhang**

$$\Delta = \frac{1/2}{n+1} = \frac{1}{2(n+1)}$$

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**n+1 books**

center of mass of all n+1 books

center of mass of top n books

$$\frac{1}{2(n+1)}$$

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**Book stacking summary**

$B_n ::=$  overhang of n books

$B_1 = 1/2$

$B_{n+1} = B_n + \frac{1}{2(n+1)}$

$B_n = \frac{1}{2} \left( 1 + \frac{1}{2} + \frac{1}{3} + \dots + \frac{1}{n} \right)$

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**Harmonic Sums**

$H_n ::= 1 + \frac{1}{2} + \frac{1}{3} + \dots + \frac{1}{n}$

$n^{\text{th}}$  Harmonic number

$B_n = H_n/2$

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**Integral estimate for  $H_n$**

$\frac{1}{x+1}$

1,  $\frac{1}{2}$ ,  $\frac{1}{3}$

0 1 2 3 4 5 6 7 8

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**Integral estimate for  $H_n$**

$H_n =$  area of rectangles

$>$  area under  $1/(x+1) =$

$\int_0^n \frac{1}{x+1} dx = \int_1^{n+1} \frac{1}{x} dx = \ln(n+1)$

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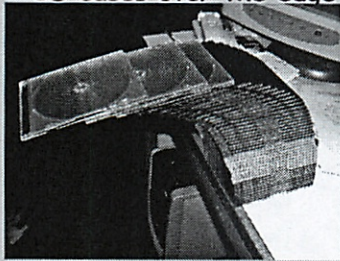
**Book stacking**  
 for overhang 3, need  $B_n \geq 3$   
 $H_n \geq 6$   
 integral bound:  $\ln(n+1) \geq 6$   
 so ok with  $n \geq \lceil e^6 - 1 \rceil = 403$  books  
 actually calculate  $H_n$ :  
 227 books are enough.

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**Book stacking**  
 $H_n \rightarrow \infty$  as  $n \rightarrow \infty$ ,  
 so overhang can be  
 as big as desired!

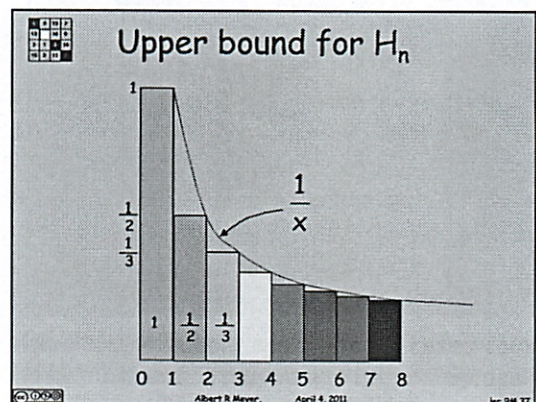
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**CD cases over the edge**



43 cases high --top 4 cases completely  
 off the table --1.8 or 1.9 case-lengths

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**Upper bound for  $H_n$**

$$H_n < 1 + \int_1^n \frac{1}{x} dx$$

$$= 1 + \ln(n)$$

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**Asymptotic bound for  $H_n$**

$$\ln(n+1) < H_n < 1 + \ln(n)$$

$$H_n \sim \ln(n)$$

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### Asymptotic Equivalence

Def:  $f(n) \sim g(n)$

$$\lim_{n \rightarrow \infty} \left( \frac{f(n)}{g(n)} \right) = 1$$



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### Asymptotic Equivalence $\sim$

Example:  $(n^2 + n) \sim n^2$

pf:

$$\lim_{n \rightarrow \infty} \frac{n^2 + n}{n^2} = \lim_{n \rightarrow \infty} \left( 1 + \frac{1}{n} \right) = 1$$



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### Team Problems

# Problems

## 1-4



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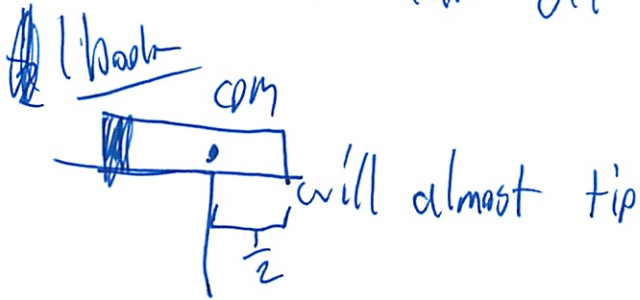
lec 9M.43



(5 min late)

Book stacking

- so does not fall off table

Max overhang =  $\frac{1}{2}$ n books

take avg of all books COM

- avg<sup>COM</sup> must be on table to balance to balancen+1 books

Let's fix COM of top n books at edge of book n+1

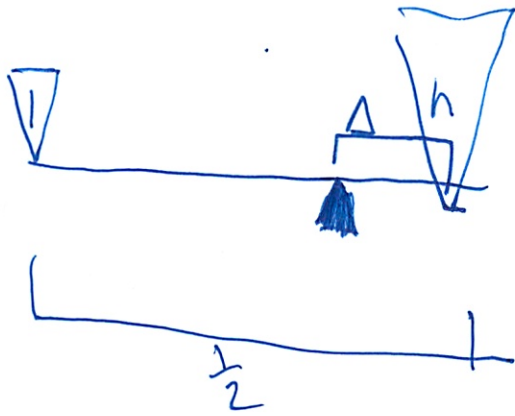


So New COM is still stable

this is the new overhang  $\Delta$  overhang

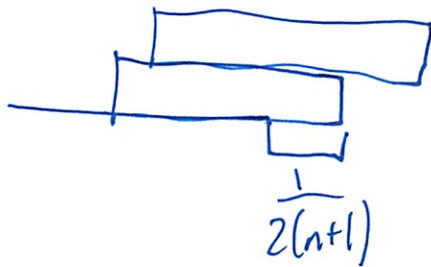


Want it to balance



$$\Delta = \frac{\frac{1}{2}}{n+1} = \frac{1}{2(n+1)}$$

That is distance



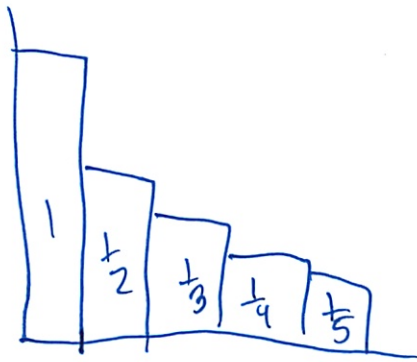
Recursive construction  
(did not copy)

③

## Harmonic sum

$$H_n = 1 + \frac{1}{2} + \frac{1}{3} + \dots + \frac{1}{n}$$

$-\frac{1}{2}$  of the previous



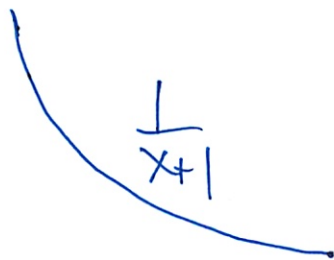
No nice closed form

Need to estimate

- use sum of size of rectangles

By turning sum into integration

(proof by picture)



is lower-bound on our area

$H_n = \text{area rectangles} > \frac{1}{x+1} \text{ area rectangles}$

$$\int_0^n \frac{1}{x+1} dx$$

... did not see

9

log grows  $\infty$  - so can always put more books at

for overhang 3 - need <sup>estimate</sup> ~~403~~ books

actual is 227 -

- calculate w/ sum

hard to actually do w/ books - compress

do w/ CD cases

estimator is upper bound

$\frac{1}{x}$  + area first rectangle (1)

$$H_n < 1 + \frac{1}{x}$$

So

$$\ln(n+1) < H_n < 1 + \ln(n)$$

estimate by integration

$$H_n \sim \ln(n)$$

"asymptotic to" - means ratio goes to 1 in limit



5

Def ... missed ...

Used to see which parts are dominating the growth

## In-Class Problems Week 9, Mon.

### Problem 1.

You've seen this neat trick for evaluating a geometric sum:

$$\begin{aligned}S &= 1 + z + z^2 + \dots + z^n \\zS &= z + z^2 + \dots + z^n + z^{n+1} \\S - zS &= 1 - z^{n+1} \\S &= \frac{1 - z^{n+1}}{1 - z}\end{aligned}$$

Use the same approach to find a closed-form expression for this sum:

$$T = 1z + 2z^2 + 3z^3 + \dots + nz^n$$

### Problem 2.

An explorer is trying to reach the Holy Grail, which she believes is located in a desert shrine  $d$  days walk from the nearest oasis. In the desert heat, the explorer must drink continuously. She can carry at most 1 gallon of water, which is enough for 1 day. However, she is free to make multiple trips carrying up to a gallon each time to create water caches out in the desert.

For example, if the shrine were  $2/3$  of a day's walk into the desert, then she could recover the Holy Grail after two days using the following strategy. She leaves the oasis with 1 gallon of water, travels  $1/3$  day into the desert, caches  $1/3$  gallon, and then walks back to the oasis—arriving just as her water supply runs out. Then she picks up another gallon of water at the oasis, walks  $1/3$  day into the desert, tops off her water supply by taking the  $1/3$  gallon in her cache, walks the remaining  $1/3$  day to the shrine, grabs the Holy Grail, and then walks for  $2/3$  of a day back to the oasis—again arriving with no water to spare.

But what if the shrine were located farther away?

(a) What is the most distant point that the explorer can reach and then return to the oasis if she takes a total of only 1 gallon from the oasis?

(b) What is the most distant point the explorer can reach and still return to the oasis if she takes a total of only 2 gallons from the oasis? No proof is required; just do the best you can.

(c) The explorer will travel using a recursive strategy to go far into the desert and back drawing a total of  $n$  gallons of water from the oasis. Her strategy is to build up a cache of  $n - 1$  gallons, plus enough to get home, a certain fraction of a day's distance into the desert. On the last delivery to the cache, instead of returning home, she proceeds recursively with her  $n - 1$  gallon strategy to go farther into the desert and return to the cache. At this point, the cache has just enough water left to get her home.

Prove that with  $n$  gallons of water, this strategy will get her  $H_n/2$  days into the desert and back, where  $H_n$  is the  $n$ th Harmonic number:

$$H_n ::= \frac{1}{1} + \frac{1}{2} + \frac{1}{3} + \dots + \frac{1}{n}.$$

Conclude that she can reach the shrine, however far it is from the oasis.

(d) Suppose that the shrine is  $d = 10$  days walk into the desert. Use the asymptotic approximation  $H_n \sim \ln n$  to show that it will take more than a million years for the explorer to recover the Holy Grail.

**Problem 3.**

There is a number  $a$  such that  $\sum_{i=1}^{\infty} i^p$  converges iff  $p < a$ . What is the value of  $a$ ? Prove it.

**Problem 4.**

Suppose  $f, g : \mathbb{N}^+ \rightarrow \mathbb{N}^+$  and  $f \sim g$ .

(a) Prove that  $2f \sim 2g$ .

(b) Prove that  $f^2 \sim g^2$ .

(c) Give examples of  $f$  and  $g$  such that  $2^f \not\sim 2^g$ .



In Class 9 Mon

4/4

1. We've seen trick for evaluating geo. sum

Do it for

$$T = 1z + 2z^2 + 3z^3 + \dots + nz^n$$

Try  $zT$

$$zT = z^2 + 2z^3 + 3z^4 + \dots + nz^{n+1}$$

~~$T - zT =$~~  no that's not very nice

$T + 1?$  Our group did

$$T - zT = 1z + 1z^2 + 1z^3 + \dots - n z^{n-1}$$

$$= \frac{z^{n+1}}{1-z}$$

$$= nz^{n+1}$$

2a.  $\frac{1}{2}$  day

b.  $\frac{3}{4}$  day

2

Oh I see it can be represented w/ geometric seq  
Find the closed form to know how far she can go

$$H_n = \frac{1}{1} + \frac{1}{2} + \frac{1}{3} + \dots + \frac{1}{n}$$

She can get  $\frac{H_n}{2}$  days into the desert

d) Suppose shrine is  $d=10$  days into desert  
Use asymptotic approx  $H_n \sim \ln(n)$  to show  
it will take more than a million years,

Well makes sense - b/c additions get smaller  
& smaller each day - as go further out  
Need more & more water

Treat cache as oasis  
Build up to  $n-1$  gallon

③

3. Tutor problem! except now you actually have to prove it

$$\sum_{i=1}^{\infty} i^p \text{ converges if } p < a$$

What is  $a$ ?

-1

- just copy ans

$$\text{Sum is } \textcircled{+} \left( \int_1^{\infty} x^p dx \right)$$

for  $p \neq -1$  ind. integral is  $\frac{x^{p+1}}{p+1}$

- If  $p < -1$  then  $p+1 < 0$  so  $\lim_{x \rightarrow \infty} x^{p+1} = 0$

definite integral from  $1 \rightarrow \infty$

Here sum bounded from above - since  $\frac{-1}{(p+1)}$  increasing,  
finite limit, so it converges

- If  $p > -1$  then  $p+1 > 0$  so  $\lim_{x \rightarrow \infty} x^{p+1} = \infty$   
so diverges



④  $p = -1$  indef. int. is  $\log x$ , which also approaches  $\infty$  as  $x \rightarrow \infty$ , so diverges

9. Suppose  $f, g: \mathbb{N}^+ \rightarrow \mathbb{N}^+$  and  $f \sim g$

a. Prove  $2f \sim 2g$

so this is ratios

$$f \sim g \text{ means } \frac{f}{g} \approx 1$$

$$\lim_{x \rightarrow \infty} \frac{f(x)}{g(x)} = 1$$

$$\text{So } \lim_{x \rightarrow \infty} \frac{2f}{2g} = 1$$

$\frac{2}{2}$  cancel

$$\text{b) } \lim \frac{f^2}{g^2} \text{ take } \sqrt{\text{ of ca } \frac{f}{g} = 1}$$

↑  
legal move  
on its own

5

c) Give 2 counter examples s.t.

$$2^f \neq 2^g$$

That is not allowed mathwise.

But what could you say for a counter-example?

---

2. <sup>our board</sup> a)  $\frac{1}{2}$

b)  $\frac{3}{4}$

c) For the explorer to deposit  $n-1$  gallons at a position, using  $n$  gallons, he needs to make  $n$  trips drinking a total of 1 gallon ~~on each trip~~. Hence, each trip will have to be  $\frac{1}{n}$  days long round trip or  $\frac{1}{2n}$  days long  $\uparrow$ -way.

Doing this recursively, the first cache always

has  $n-1$  at dist  $\frac{1}{2n}$ . 2nd has  $n-2$  at  $\frac{1}{2n-1}$

(6)

So total distance is

$$\sum_{i=0}^{n-1} \frac{1}{2^{n-i}} = \frac{1}{2^n} + \frac{1}{2^{n-2}} + \frac{1}{2^{n-4}} + \dots + \frac{1}{6} + \frac{1}{4} + \frac{1}{2}$$

$$= \frac{1}{2} \left( \frac{1}{1} + \frac{1}{2} + \frac{1}{3} + \dots + \frac{1}{n} \right)$$

$$= \frac{1}{2} H_n$$

d)  $d = \frac{1}{2} H_n$

$d = 10 \approx \frac{1}{2} H_n$

$n = e^{20} = 4.85 \times 10^8 \text{ days} = 1.7 \times 10^6 \text{ years}$   
 = Very big!

3. Prove  $\sum_{i=1}^{\infty} i^p$  converges if  $p < -1$

Case 1  $p > -1$

Then  $\lim_{p \rightarrow \infty}$

... forget it



⑦ Case  $p=1$

$\sum_{i=1}^{\infty} i^p$  is the harmonic series

4. a) like I had it

$$b) \lim_{n \rightarrow \infty} \frac{f(n)^2}{g(n)^2} = \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} \cdot \frac{f(n)}{g(n)}$$

$$f \sim g \text{ iff } \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 1$$

See how that was written!  
pay attention to detail

as long as  $g(n) \neq 0$

$$\text{So if } f \sim g \quad \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} \cdot \frac{f(n)}{g(n)} = 1 \cdot 1 = 1$$

$$\text{so } f \sim g \rightarrow f^2 \sim g^2$$

$$c) n \sim n+1, \text{ let } \lim_{n \rightarrow \infty} \frac{2^{n+1}}{2^n} = 2 \cdot \frac{2^n}{2^n} = 2$$

## Solutions to In-Class Problems Week 9, Mon.

### Problem 1.

An explorer is trying to reach the Holy Grail, which she believes is located in a desert shrine  $d$  days walk from the nearest oasis. In the desert heat, the explorer must drink continuously. She can carry at most 1 gallon of water, which is enough for 1 day. However, she is free to make multiple trips carrying up to a gallon each time to create water caches out in the desert.

For example, if the shrine were  $2/3$  of a day's walk into the desert, then she could recover the Holy Grail after two days using the following strategy. She leaves the oasis with 1 gallon of water, travels  $1/3$  day into the desert, caches  $1/3$  gallon, and then walks back to the oasis—arriving just as her water supply runs out. Then she picks up another gallon of water at the oasis, walks  $1/3$  day into the desert, tops off her water supply by taking the  $1/3$  gallon in her cache, walks the remaining  $1/3$  day to the shrine, grabs the Holy Grail, and then walks for  $2/3$  of a day back to the oasis—again arriving with no water to spare.

But what if the shrine were located farther away?

(a) What is the most distant point that the explorer can reach and then return to the oasis if she takes a total of only 1 gallon from the oasis?

**Solution.** At best she can walk  $1/2$  day into the desert and then walk back. ■

(b) What is the most distant point the explorer can reach and still return to the oasis if she takes a total of only 2 gallons from the oasis? No proof is required; just do the best you can.

**Solution.** The explorer walks  $1/4$  day into the desert, drops  $1/2$  gallon, then walks home. Next, she walks  $1/4$  day into the desert, picks up  $1/4$  gallon from her cache, walks an additional  $1/2$  day out and back, then picks up another  $1/4$  gallon from her cache and walks home. Thus, her maximum distance from the oasis is  $3/4$  of a day's walk. ■

(c) The explorer will travel using a recursive strategy to go far into the desert and back drawing a total of  $n$  gallons of water from the oasis. Her strategy is to build up a cache of  $n - 1$  gallons, plus enough to get home, a certain fraction of a day's distance into the desert. On the last delivery to the cache, instead of returning home, she proceeds recursively with her  $n - 1$  gallon strategy to go farther into the desert and return to the cache. At this point, the cache has just enough water left to get her home.

Prove that with  $n$  gallons of water, this strategy will get her  $H_n/2$  days into the desert and back, where  $H_n$  is the  $n$ th Harmonic number:

$$H_n ::= \frac{1}{1} + \frac{1}{2} + \frac{1}{3} + \cdots + \frac{1}{n}.$$

Conclude that she can reach the shrine, however far it is from the oasis.

**Solution.** To build up the first cache of  $n - 1$  gallons, she should make  $n$  trips  $1/(2n)$  days into the desert, dropping off  $(n - 1)/n$  gallons each time. Before she leaves the cache for the last time, she has  $n - 1$  gallons plus enough for the walk home. Then she applies her  $(n - 1)$ -day strategy. So letting  $D_n$  be her maximum distance into the desert and back, we have

$$D_n = \frac{1}{2n} + D_{n-1}.$$



So

$$\begin{aligned} D_n &= \frac{1}{2n} + \frac{1}{2(n-1)} + \frac{1}{2(n-2)} + \cdots + \frac{1}{2 \cdot 2} + \frac{1}{2 \cdot 1} \\ &= \frac{1}{2} \left( \frac{1}{n} + \frac{1}{(n-1)} + \frac{1}{(n-2)} + \cdots + \frac{1}{2} + \frac{1}{1} \right) \\ &= \frac{H_n}{2}. \end{aligned}$$

(d) Suppose that the shrine is  $d = 10$  days walk into the desert. Use the asymptotic approximation  $H_n \sim \ln n$  to show that it will take more than a million years for the explorer to recover the Holy Grail.

**Solution.** She obtains the Grail when:

$$\frac{H_n}{2} \approx \frac{\ln n}{2} \geq 10.$$

This requires  $n \geq e^{20} = 4.8 \cdot 10^8$  days  $> 1.329M$  years.

### Problem 2.

There is a number  $a$  such that  $\sum_{i=1}^{\infty} i^p$  converges iff  $p < a$ . What is the value of  $a$ ? Prove it.

**Solution.**  $a = -1$ .

For  $p = -1$ , the sum is the harmonic series which we know does not converge. Since the term  $i^p$  is increasing in  $p$  for  $i > 1$ , the sum will be larger, and hence also diverge for  $p > -1$ .

For  $p < -1$  there exists an  $\epsilon > 0$  such that  $p = -(1 + \epsilon)$ . By the integral method,

$$\begin{aligned} \sum_{i=1}^{\infty} i^{-(1+\epsilon)} &\leq 1 + \int_1^{\infty} x^{-(1+\epsilon)} dx \\ &= 1 + \epsilon^{-1} - \epsilon^{-1} \lim_{\alpha \rightarrow \infty} \alpha^{-\epsilon} \\ &= 1 + \epsilon^{-1} \\ &< \infty \end{aligned}$$

Hence the sum is bounded above, and since it is increasing, it has a finite limit, that is, it converges.

### Problem 3.

Suppose  $f, g : \mathbb{N}^+ \rightarrow \mathbb{N}^+$  and  $f \sim g$ .

(a) Prove that  $2f \sim 2g$ .

**Solution.**

$$\frac{2f}{2g} = \frac{f}{g},$$

so they have the same limit as  $n \rightarrow \infty$ .



(b) Prove that  $f^2 \sim g^2$ .

**Solution.**

$$\lim_{n \rightarrow \infty} \frac{f(n)^2}{g(n)^2} = \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} \cdot \frac{f(n)}{g(n)} = \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} \cdot \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 1 \cdot 1 = 1.$$

(c) Give examples of  $f$  and  $g$  such that  $2^f \not\sim 2^g$ .

**Solution.**

$$f(n) ::= n + 1$$

$$g(n) ::= n.$$

Then  $f \sim g$  since  $\lim(n+1)/n = 1$ , but  $2^f = 2^{n+1} = 2 \cdot 2^n = 2 \cdot 2^g$  so

$$\lim \frac{2^f}{2^g} = 2 \neq 1.$$

#### Problem 4.

You've seen this neat trick for evaluating a geometric sum:

$$\begin{aligned} S &= 1 + z + z^2 + \dots + z^n \\ zS &= z + z^2 + \dots + z^n + z^{n+1} \\ S - zS &= 1 - z^{n+1} \\ S &= \frac{1 - z^{n+1}}{1 - z} \end{aligned}$$

Use the same approach to find a closed-form expression for this sum:

$$T = 1z + 2z^2 + 3z^3 + \dots + nz^n$$

**Solution.**

$$\begin{aligned} zT &= 1z^2 + 2z^3 + 3z^4 + \dots + nz^{n+1} \\ T - zT &= z + z^2 + z^3 + \dots + z^n - nz^{n+1} \\ &= \frac{1 - z^{n+1}}{1 - z} - 1 - nz^{n+1} \\ T &= \frac{1 - z^{n+1}}{(1 - z)^2} - \frac{1 + nz^{n+1}}{1 - z} \end{aligned}$$

graph = network  
 directed = di = 1 way = arrows  
 DAG = directed, acyclic [no cycles]  
 dots = nodes = vertices  
 $E = \langle U \rightarrow V \rangle$

'indeg(v)'' =  $|\{e \in E(G) \mid \text{head}(e) = v\}|$   
 'outdeg(v)'' =  $|\{e \in E(G) \mid \text{tail}(e) = v\}|$   
 $\sum_{v \in V(G)} \text{indeg}(v) = \sum_{v \in V(G)} \text{outdeg}(v)$

$V(G)$  = vertices  $E(G)$  = edges  
 walk - can repeat points  
 path - all pts must be unique  
 merge =  $\uparrow \cap$  combine 2 walks  
 distance = length of shortest path

Adj Matrix  $(A_G)_{ij}$  if  $\langle v_i \rightarrow v_j \rangle \in E(G)$   
 $(A_G)^k$  count of length k walks b/w  
 =  $(uv)$  for a certain point  
 $UG^*v$  is a path  $G^+$  = pos length  $G_n$   
 transitive  $(aRb \text{ AND } bRc) \rightarrow (aRc)$  for every  $a, b, c \in A$   
 reflexive iff  $aRa$  for all  $a \in A$   
 - true always b/c 0 length path  
 can compose relations  
 $a(R \circ S)c ::= \exists b \in B (aRb \text{ AND } bRc)$

closed walk - starts ends same vertex  
 cycle = closed walk w/ distinctive vertices length  $\geq 2$   
 a symmetric iff  $aRb \rightarrow \text{NOT}(bRa)$  for no self-loops all  $a, b \in A$   
 irreflexive no + length path from any vertex to itself  
 NOT  $(aRa)$  for all  $a \in A$

Strict partial order = trans + asymmetric  
 if pos path relation of a DAG  
 Weak partial order - can also be =  
 $aRb$  iff  $(aRb \text{ OR } a=b)$

6.042 Miniquiz 4

antisymmetric  $aRb \rightarrow \text{NOT}(bRa)$   
 for all  $a \neq b \in A$  (self loops allowed)

WPO = transitive, reflexive, antisymmetric  
 $= \subseteq$  or  $\subseteq$

$\subseteq$  = subset  
 isomorphic if relation preserving bij

total - always 1 arrow  $\forall x \neq y \in A (xRy \text{ OR } yRx)$

product order  $R_1 \times R_2$   
 domain  $(R_1 \times R_2) = \text{domain}(R_1) \times \text{domain}(R_2)$   
 codomain " " " " " " " "

$(a_1, a_2)(R_1 \times R_2)(b_1, b_2)$  iff  $[a_1 R_1 b_1 \text{ AND } a_2 R_2 b_2]$   
 - where both are true

topological sort  $a \prec b \rightarrow a \subseteq b$   
 partial total

antichain - all items incomparable  
 Equivalence = reflexive, symmetric, transitive

$C$  = proper subset  $A \subset B$  means  $B$   
 has everything + more  
 - asymmetric  $\rightarrow \rightarrow$   
 - transitive

SPO - transitive + asymmetric DAG  
 $\prec$  less than, ranked higher

WPO - same as SPO except  $aRa$  always holds  
 $\subseteq$  on sets  $\subseteq$  on  $R$   
 - reflexive  $\forall a \in A$   
 - transitive  $a \rightarrow b \rightarrow c$   
 - antisymmetric  $a \rightarrow b \rightarrow c$

total - like a path/chain  $\rightarrow \rightarrow \rightarrow$   
 Symmetric  $\forall x, y \in A (xRy \rightarrow yRx)$   
 - arrow in both dirs

Simple graphs - undirected (no arrows)  
 $v-w$  = undirected edge  
 no self loops (from  $u$  to  $u$ )  
 two pts adjacent if edge  
 edge is incident to end pts  
 $\text{deg}(v) = \#$  edges incident to vertice

$\sum_{x \in M} \text{deg}(x) = \sum_{y \in F} \text{deg}(y)$  4/5

Handshake sum of deg of vertices =  $2 \times \#$  edges

$K_n$  = complete graph - every arrow  $2|E| = \sum_{v \in V} \text{deg}(v)$   
 $L_n$  = line graph

- if add edge, would have cycle  
 isomorphism is a bij  $f: V(G) \rightarrow V(H)$  s.t.  
 $U-V \in E(G)$  iff  $f(u)-f(v) \in E(H)$   
 for all  $u, v \in V(G)$

biparte - can split into 2 groups

matching cond - every subset men likes at least as large as subset of men  
 matching - set  $M$  of edges  $G$  s.t. no vertex is incident to  $\geq 2$  edge in  $M$ .

covers - if all vertices included = perfect  
 bottleneck  $|S| > |N(S)|$   
 neighbors

Hall's Theorem: Matching in  $G$  (biparte) that covers  $L(G)$  iff no subset of  $L(G)$  is a bottleneck

if degree constrained - is a matching  
 degree constrained  $\text{deg}(l) \geq \text{deg}(r)$  for all  $l, r$

regular - each node has same degree  
 Every reg biparte graph has perfect matching

Stable - no cage couples - pair that likes each other more

if  $w$  is off  $m$ 's list  $w$  has suitor  $s$  prefers over  $m$   
 men = optimal termination # remaining  
 girls = pessimal names strictly  $\downarrow$

coloring - adj vertices diff color  
 $\chi(G)$  = chromatic # = min # colors  
 $\chi(K_n) = n$   $\chi(\text{biparte}) = 2$   
 $\chi(\text{even}) = 2$   $\chi(\text{odd}) = 3$   
 $\chi(\text{Max degree } k) = k+1$

Subgraphs  
 connected - every pair vertices connected  
 Connected components - path exists somewhere

$k$ -edge connected = # edges can remove till - called cut edge & splits

Tree - connected acyclic graph  
 Connected component of trees = forest  
 leaf = node w/  $\text{deg}(1)$

1. Each connected subgraph = tree
2. Unique simple path b/w every pair of vertices
3. Adding edge b/w nonadj nodes creates a cycle
4. Removing any edge - disconnects
  - ↳ All edges = cut edges
5. If  $\geq 2$  vertices  $\geq 2$  leaves
6. # vertices = # edges + 1

Spanning tree - min # of lines  
So all vertices still connected

if branches weighted  $\rightarrow$  Min-weight tree (MST)

Planar - no lines crossing

drawing - one particular set of curves

face - continuous

- but divide up into discrete
- don't forget outside

bridge

Jungle

discrete face = planar embeddings

- either split a face or add a bridge

Euler's Formula  $V - E + F = 2$

- proof w/ the 2 constructors

$E \leq 3V - 6$  limit of planar

minor - delete vertices, edges, merge vertices

every planar graph has degree  $\leq 5$

- so 5-colorable

At most 5 regular polyhedra

Power set - set of all subsets

So  $P(\{1, 2, 3\}) = \{ \emptyset, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{2, 3\}, \{1, 3\}, \{1, 2, 3\} \}$



Week 7 Mon - Week 9 Fri

Topics:

Partial order

Simple ~~dig~~ graph degrees

isomorphism

stable marriage

matching ritual

~~graph~~ graph connectivity

trees

coloring

planar graphs

---

Actually most was post - SB

Write lemmas - they seemed to be most useful

### Mini-Quiz Apr. 6

Your name: Michael Plasmeier

Circle the name of your TA and write your table number:

Ali      Nick      Oscar      Oshani      Table number 12

- This quiz is **closed book**. Total time is 30 minutes.
- Write your solutions in the space provided. If you need more space, write on the back of the sheet containing the problem. Please keep your entire answer to a problem on that problem's page.
- GOOD LUCK!

---

**DO NOT WRITE BELOW THIS LINE**

---

Problem	Points	Grade	Grader
1	6	3	OS
2	3	<del>3</del>	US
3	3	2	OM
4	5	2	AIC
5	3	2	OS
Total	20	9	OS

**Problem 1 (6 points).** (a) A simple graph has 8 vertices and 24 edges. What is the average degree per vertex?

✓ Handshake =  $\sum \text{deg} = 2|E|$   
 $8 \cdot \text{avg} = 2 \cdot 24$   
 $\text{avg} = \frac{48}{8} = 6$  ✓

(b) A connected planar simple graph has 5 more edges than it has vertices. How many faces does it have?

✓ Euler's  $v - e + f = 2$   
 $1 - 6 + f = 2$   
 $-5 + f = 2$   
 $f = 7$  ✓

(c) A connected simple graph has one more vertex than it has edges. Is it necessarily planar?

Because of the condition that  $v \geq 2$  this does not always hold - only when  $v \geq 2$  - proved w/ base + constructor

~~$2 - 1 + f = 2$~~

Base

$e \leq 3v - 6$

say  $v = 3$   
 $e = 2$

$2 \leq 3(3) - 6$

$2 \leq 12$

✓ can work

Iterative

$v = +1$

$e = +1$

will work - right hand side ↑ at factor of 3 compared to left

For  $v = 2$   $e = 1$

$1 \leq 3(2) - 6$

$1 \leq 0$

⊗ won't work.

must  $v \geq 3$

?



(d) If your answer to the previous part was *yes*, then how many faces can such a graph have? If your answer was *no*, then give an example of a nonplanar connected simple graph whose vertices outnumber its edges by one.

$$V - e + f = 2$$

$$\text{So } f = 2 - v + e$$

$$\text{when } v=3 \quad e=2$$

$$f = 2 - 3 + 2$$

$$f = 1$$

$$v=4 \quad e=3$$

$$f = 2 - 4 + 3$$

$$= 1$$

$$v=5 \quad e=4$$

$$f = 2 - 5 + 4$$

$$= 1$$

How it holds when  $v \geq 2$

(e) Consider the graph shown in Figure 1. How many distinct isomorphisms exist between this graph and itself? (Include the identity isomorphism.)

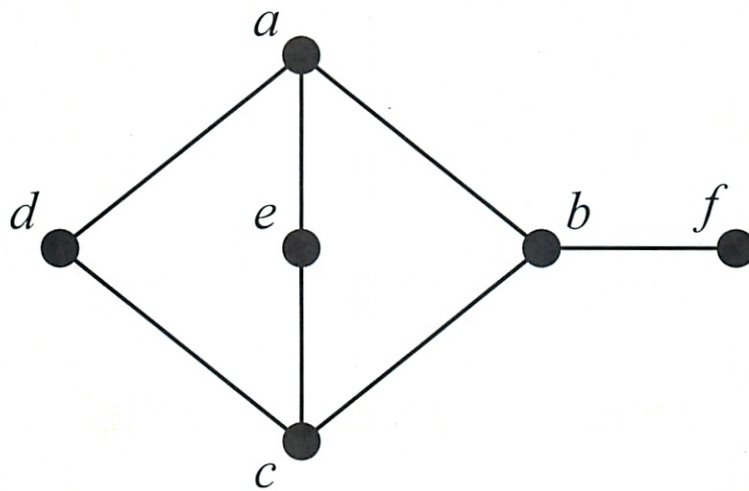


Figure 1

Just 1 by definition ~~f~~

- since can't move or relabel anything

$$a \rightarrow a$$

$$b \rightarrow b$$

$$c \rightarrow c$$

$$d \rightarrow d$$

$$e \rightarrow e$$

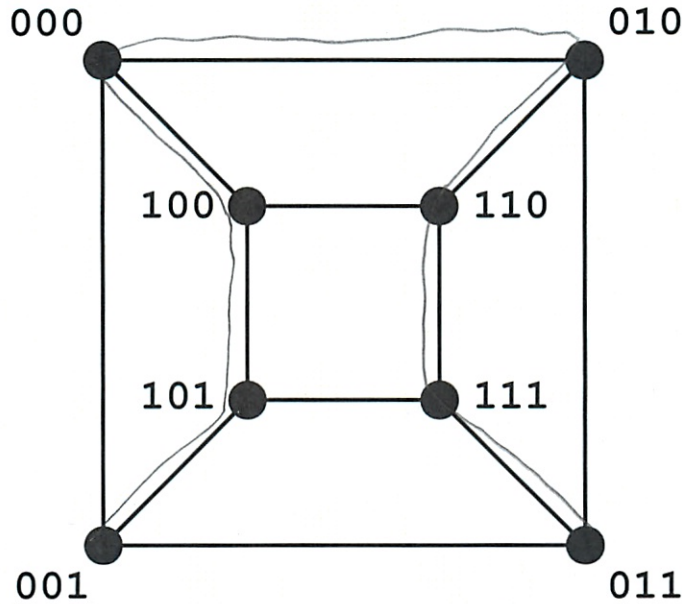
$$f \rightarrow f$$

**Problem 2 (3 points).**

The  $n$ -dimensional hypercube,  $H_n$ , is a simple graph whose vertices are the binary strings of length  $n$ . Two vertices are adjacent if and only if they differ in exactly one bit. Consider for example  $H_3$ , shown in Figure 2. (Here, vertices 111 and 011 are adjacent because they differ only in the first bit, while vertices 101 and 011 are not adjacent because they differ in both the first and second bits.)

*Hamming distance*

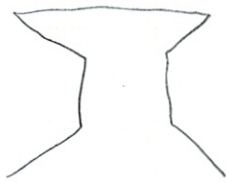
Explain why it is impossible to find two spanning trees of  $H_3$  that have no edges in common.



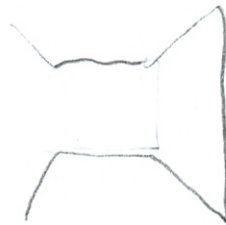
*0/3*

Figure 2  $H_3$ .

Once you start in a certain way, there are very limited choices as to what you can do next in the spanning tree.



or



or etc rotated

It's just the same pattern rotated

Each point can be degree 3, so there are a limited # of cut edges possible to find different spanning trees.

*that's not a general argument*

**Problem 3 (3 points).**

Consider the graph shown in Figure 3. Determine a valid coloring of the graph, using as few colors as possible. (Simply write your proposed color for each vertex next to that vertex. You may use *R* for red, *G* for green, etc.)

Will use #s

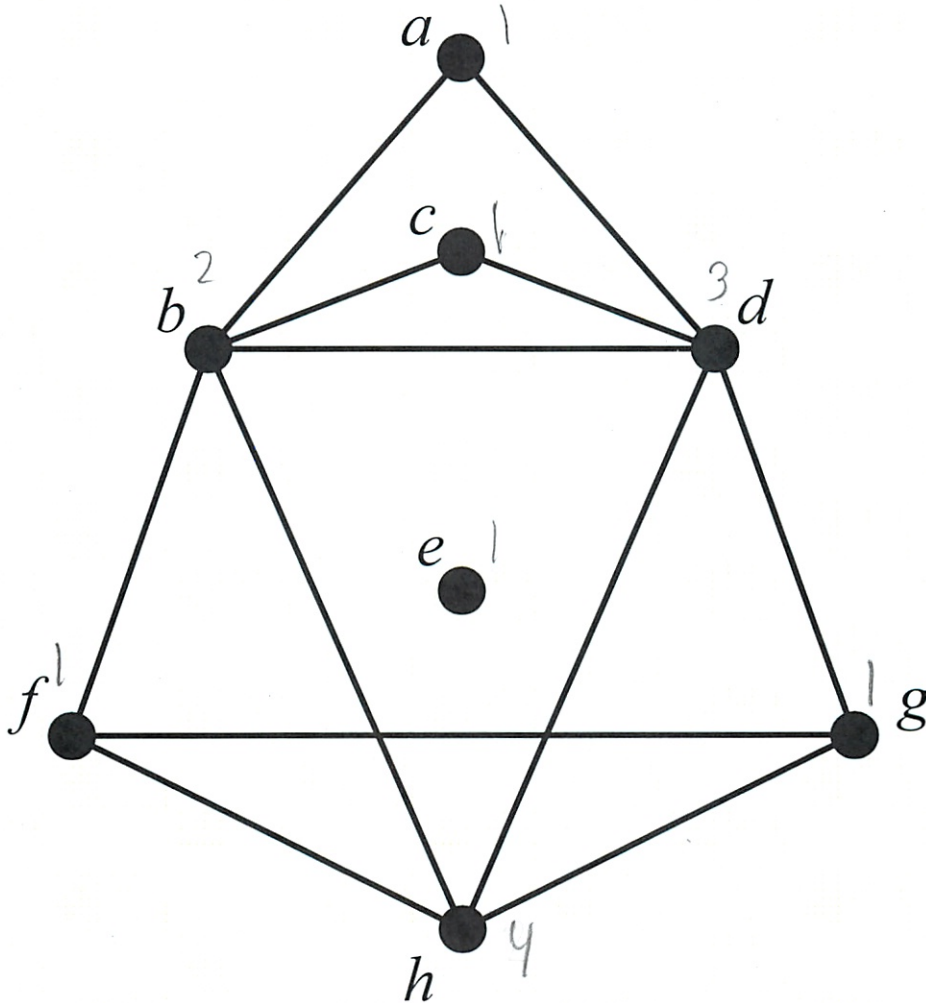


Figure 3

Say

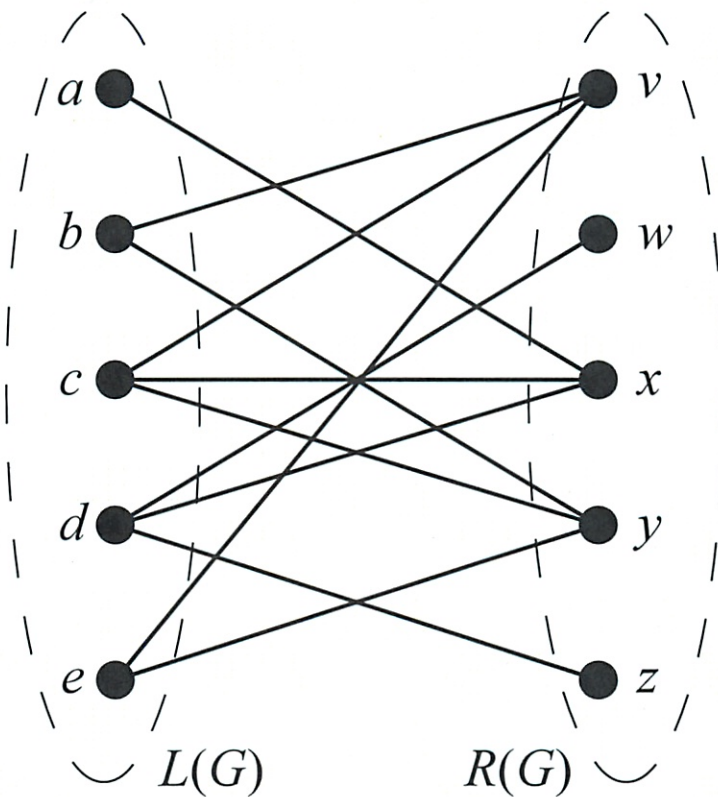
- 1 = Red
- 2 = Green
- 3 = Yellow
- 4 = Orange

Since max degree = 4

— 1 3 is enough



**Problem 4 (5 points).** (a) Consider the bipartite graph  $G$  in Figure 4. Is it possible to find a matching that covers  $L(G)$ ? If yes, explain what property of the graph guarantees the existence of such a matching. (Show that the graph exhibits this property and what this implies. Full credit will not be given for merely identifying a matching.) If no, identify a bottleneck that prevents a matching.

Figure 4  $G$ .

Matching - set of  $M$  edges  $G$  s.t. no vertex is incident to  $\geq 2$  edges in  $M$

Matching Condition - every subset of  $L(G)$  is connected to at least as large a subset of  $R(G)$

bottleneck

$$|S| > |N(S)| \quad \text{neighbors too}$$

Covers - all vertices included (perfect)

Hall's Theorem - Matching in  $G$  (bipartite) that covers  $L(G)$  if no subset of  $L(G)$  is a bottleneck.

There is no bottleneck. For for all subsets of  $L(G)$  there exists a ~~the~~ subset of equal or larger size in  $R(G)$

(b) Consider the bipartite graph  $H$  in Figure 5. Is it possible to find a matching that covers  $L(H)$ ? If yes, explain what property of the graph guarantees the existence of such a matching. (Show that the graph exhibits this property and what this implies. Full credit will not be given for merely identifying a matching.) If no, identify a bottleneck that prevents a matching.

Covers - all vertices included

2

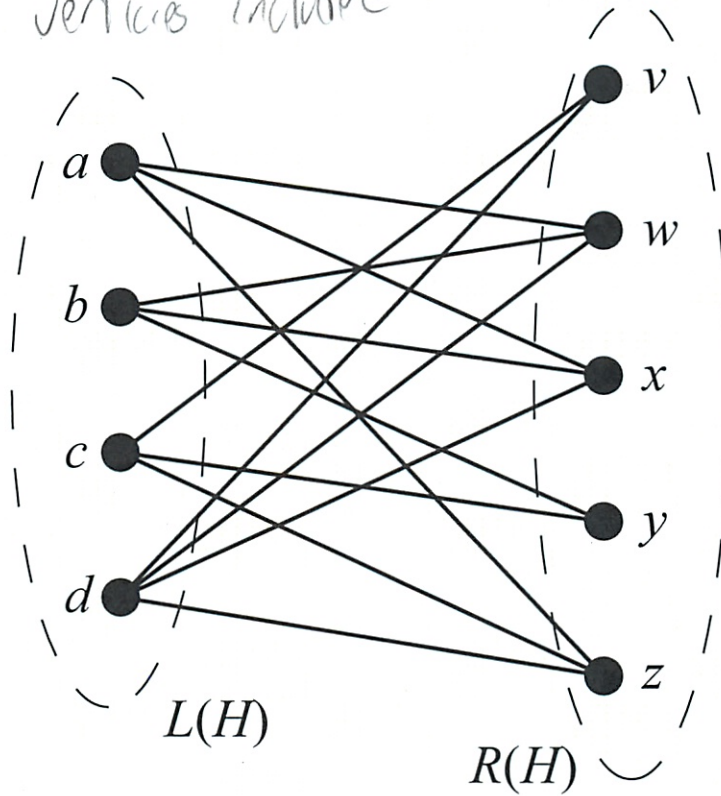


Figure 5  $H$ .

Yes. Since the  $\deg(l) \geq \deg(r)$  for all  $l \in L$  and  $r \in R$  so that it is degree constrained. This means there is a matching that covers ~~the entire graph,~~  $L(H)$ .

See def'n previous page.

**Problem 5 (3 points).**

In the Mating Ritual, suppose Tiger is one of the boys and Elin is one of the girls. Which of the following are preserved invariants in general?

- ✓ 1. Tiger is Elin's only suitor.
- ✓ 2. On Tiger's current list, the girl whom he prefers to all the others is his optimal wife<sup>1</sup>.
- ✓ 3. Elin's name has been crossed off by Tiger and by everyone whom she prefers to him.

2/3

1. We don't know that w/ info we have been given.

2. Yes, true. Of the names remaining on the list (the current names) the name at the top would be the girl he prefers to all others. This is defined as his optimal wife.

He stays with this girl till they get married or she kicks him out and then he is off the list (no longer on current list)

3. False. Everyone who Elin prefers to Tiger has no relation to who Tiger crosses off his list. Elin's name is crossed off by Tiger when she rejects him. There is no relation between Elin's name on Tiger's list and Elin's personal preferences.

<sup>1</sup>His *optimal wife* in the usual sense: Given some particular instance of the Stable Marriage Problem, consider all possible stable perfect matchings, including that which is generated by the Mating Ritual. In each of these, Tiger has a wife. Of these "possible wives," he prefers one to all others. This girl, to whom he is married in one of the matchings but not necessarily all of them, is his optimal wife.



## Solutions to Mini-Quiz Apr. 6

**Problem 1 (6 points).** (a) A simple graph has 8 vertices and 24 edges. What is the average degree per vertex?

**Solution.** By the Handshaking Lemma, the sum of the degrees of the vertices in any graph is equal to twice the number of edges. So in this case, the sum of the degrees of the vertices is  $2 \times 24 = 48$ . With 8 vertices, the average degree per vertex is  $\frac{48}{8} = 6$ . ■

(b) A connected planar simple graph has 5 more edges than it has vertices. How many faces does it have?

**Solution.** Denoting the number of vertices by  $v$ , the number of edges by  $e$ , and the number of faces by  $f$ , Euler's Formula states that  $v - e + f = 2$ . But here,  $e = v + 5$ . Substituting gives  $v - (v + 5) + f = 2$  and hence  $f = 7$ . ■

(c) A connected simple graph has one more vertex than it has edges. Is it necessarily planar?

**Solution.** Let  $G$  denote any such graph. Now, any graph with  $v$  vertices but fewer than  $v - 1$  edges cannot possibly be connected. So every edge in  $G$  is a cut edge, and therefore  $G$  is acyclic. So  $G$  is a tree and must be planar. ■

(d) If your answer to the previous part was *yes*, then how many faces can such a graph have? If your answer was *no*, then give an example of a nonplanar connected simple graph whose vertices outnumber its edges by one.

**Solution.** Since the graph is connected and acyclic, it only has one face. ■

(e) Consider the graph shown in Figure 1. How many distinct isomorphisms exist between this graph and itself? (Include the identity isomorphism.)

**Solution.** Only vertex  $f$  has degree 1, so in any self-isomorphism,  $f$  must map to itself.  $b$  is the only vertex to be adjacent to a degree-1 vertex, so  $b$  must also map to itself.  $a$  and  $c$  are both degree-3 vertices, and  $d$  and  $e$  are both degree-2 vertices. It is clear from examining the graph that  $a$  can be mapped to  $c$  and  $c$  to  $a$ , or each of  $a$  and  $c$  can be mapped to itself. Independently, and similarly,  $d$  can be mapped to  $e$  and  $e$  to  $d$ , or each of  $d$  and  $e$  can be mapped to itself. The only possible isomorphisms, then, are obtained by choosing one of the two possible mappings for  $a$  and  $c$  and, independently, one of the two possible mappings for  $d$  and  $e$ . The result is  $2 \times 2 = 4$  possible isomorphisms. ■

**Problem 2 (3 points).**

The  $n$ -dimensional hypercube,  $H_n$ , is a simple graph whose vertices are the binary strings of length  $n$ . Two vertices are adjacent if and only if they differ in exactly one bit. Consider for example  $H_3$ , shown in Figure 2. (Here, vertices 111 and 011 are adjacent because they differ only in the first bit, while vertices 101 and 011 are not adjacent because they differ in both the first and second bits.)

Explain why it is impossible to find two spanning trees of  $H_3$  that have no edges in common.



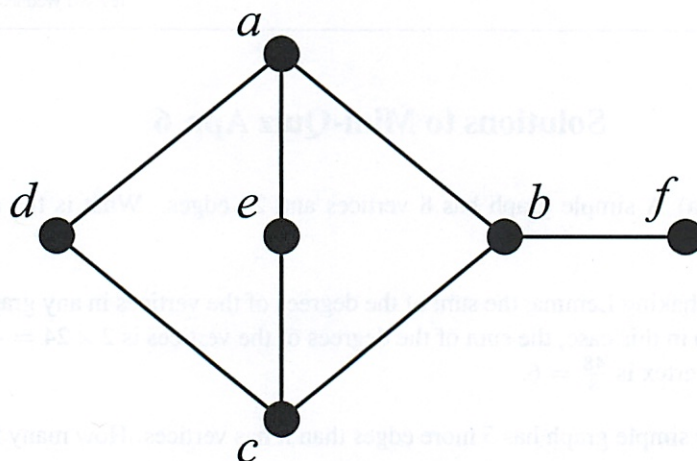


Figure 1

**Solution.**  $H_3$  has 8 vertices, so any spanning tree must have  $8 - 1 = 7$  edges. But  $H_3$  has only 12 edges, so any two sets of 7 edges must overlap. ■

**Problem 3 (3 points).**

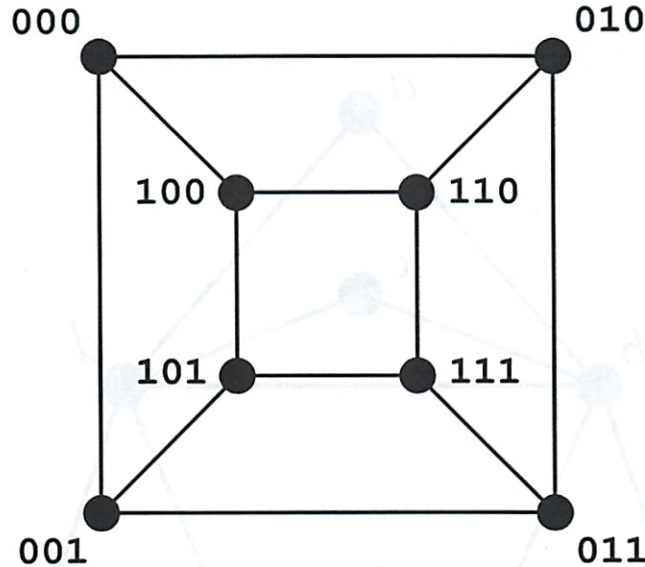
Consider the graph shown in Figure 3. Determine a valid coloring of the graph, using as few colors as possible. (Simply write your proposed color for each vertex next to that vertex. You may use  $R$  for red,  $G$  for green, etc.)

**Solution.** There are odd-length cycles in the graph, so at least three colors will be needed. So assume that three colors are sufficient. (If we encounter a contradiction under this assumption, we will need to use more colors.) Start with the length-3 cycle  $abda$ . All of its vertices must be colored differently, so assign red to  $a$ , blue to  $b$ , and green to  $d$ . The length-3 cycle  $bdhb$  now forces  $h$  to be colored red.  $f$  must now be colored green and  $g$  must be colored blue. The coloring is valid so far.  $c$  is adjacent to a blue vertex and a green vertex, and no others, it must be colored red. Finally,  $e$  is not adjacent to any other vertices, so it can be assigned any of the three colors. Choosing red for  $e$ , the result is shown in Figure 4. There is no pair of like-colored adjacent vertices, so this coloring is valid. ■

**Problem 4 (5 points).** (a) Consider the bipartite graph  $G$  in Figure 5. Is it possible to find a matching that covers  $L(G)$ ? If yes, explain what property of the graph guarantees the existence of such a matching. (Show that the graph exhibits this property and what this implies. Full credit will not be given for merely identifying a matching.) If no, identify a bottleneck that prevents a matching.

**Solution.** It is not possible. One bottleneck is  $S = \{a, b, c, e\}$ , since  $N(S) = \{v, x, y\}$  and hence  $|S| = 4 > 3 = |N(S)|$ . (It is easy to see that there are no bottlenecks of size 1, 2, 3, or 5.) ■

(b) Consider the bipartite graph  $H$  in Figure 6. Is it possible to find a matching that covers  $L(H)$ ? If yes, explain what property of the graph guarantees the existence of such a matching. (Show that the graph exhibits this property and what this implies. Full credit will not be given for merely identifying a matching.) If no, identify a bottleneck that prevents a matching.

Figure 2  $H_3$ .

**Solution.** A matching is guaranteed to exist. Each vertex in  $L(H)$  has degree at least 3, while each vertex in  $R(H)$  has degree at most 3. Consequently, the graph is degree-constrained. There are therefore no bottlenecks and a matching must exist by Hall's Theorem. ■

**Problem 5 (3 points).**

In the Mating Ritual, suppose Tiger is one of the boys and Elin is one of the girls. Which of the following are preserved invariants **in general**?

1. Tiger is Elin's only suitor.
2. On Tiger's current list, the girl whom he prefers to all the others is his optimal wife<sup>1</sup>.
3. Elin's name has been crossed off by Tiger and by everyone whom she prefers to him.

**Solution.** The statements that are preserved invariants in general appear in boldface below:

1. Tiger is Elin's only suitor. (This would certainly make Tiger Elin's favorite that day, but one or more of the boys who got rejected by another girl that day may visit Elin the following day.)
2. **On Tiger's current list, the girl whom he prefers to all the others is his optimal wife.** (The Mating Ritual gives each boy his optimal wife. Tiger must therefore ultimately marry his optimal wife, so once she becomes the most preferred girl on his list – and thus the girl he is serenading – she must remain the top girl on his list.)
3. **Elin's name has been crossed off by Tiger and by everyone whom she prefers to him.** (Note that this is a preserved invariant because it cannot ever be true. Were it true on some day, Tiger would have crossed Elin's name off his list, so he would end up marrying a woman he finds less desirable.)

<sup>1</sup>His *optimal wife* in the usual sense: Given some particular instance of the Stable Marriage Problem, consider all possible stable perfect matchings, including that which is generated by the Mating Ritual. In each of these, Tiger has a wife. Of these "possible wives," he prefers one to all the others. This girl, to whom he is married in one of the matchings but not necessarily all of them, is his *optimal wife*.



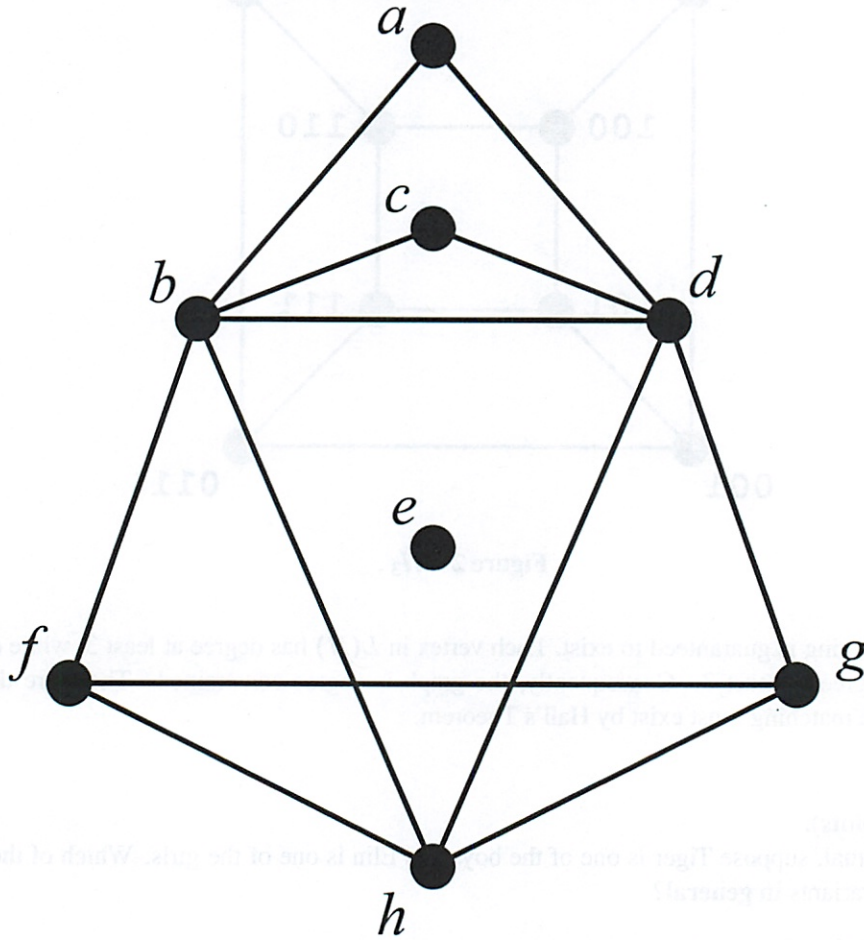


Figure 3

She would also have removed from contention everyone she finds more desirable than Tiger. So she would end up marrying someone she finds less desirable than Tiger. Consequently, Tiger and Elin would constitute a rogue couple. Another way to think about it is this: If Elin's name was crossed off by Tiger and all the boys Elin prefers to him, then she must have a current favorite whom she prefers to all of them. But Tiger and his betters in Elin's eyes are the top boys on her list: there is no one she prefers to them.)

■

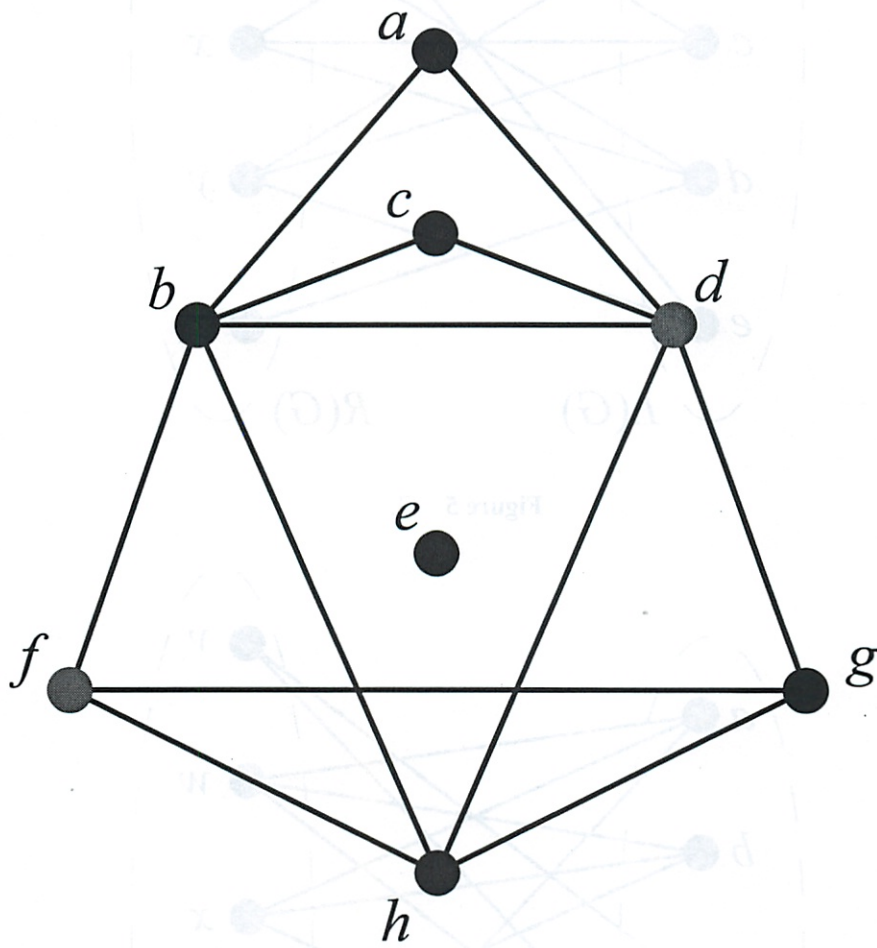
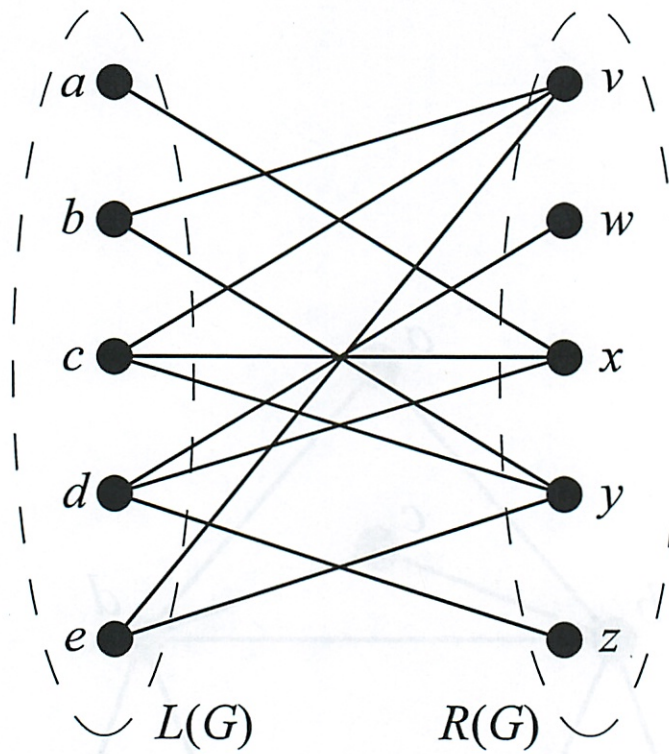
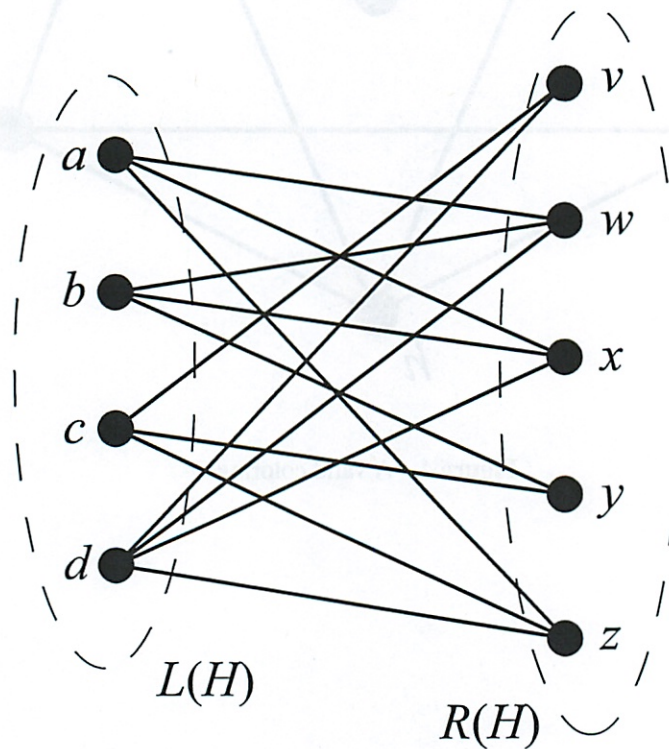
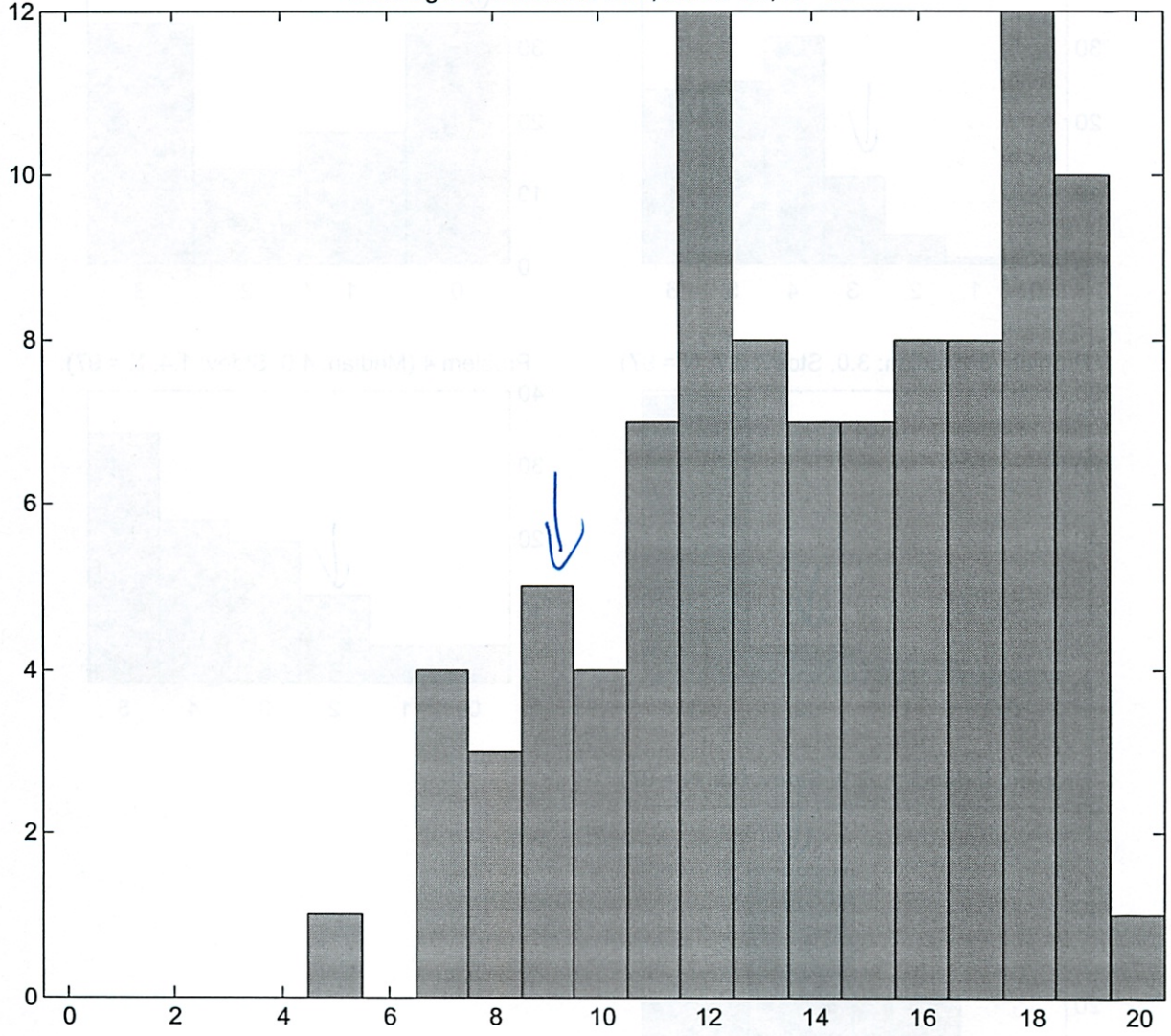


Figure 4 A valid coloring.

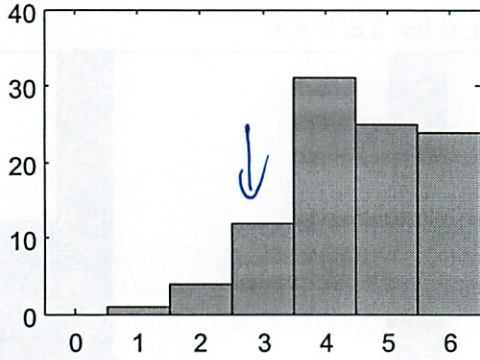
Figure 5  $G$ .Figure 6  $H$ .



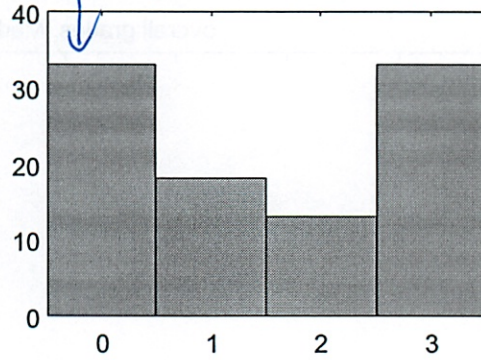
overall grades. Median: 14.0, stdev: 3.6, N = 97



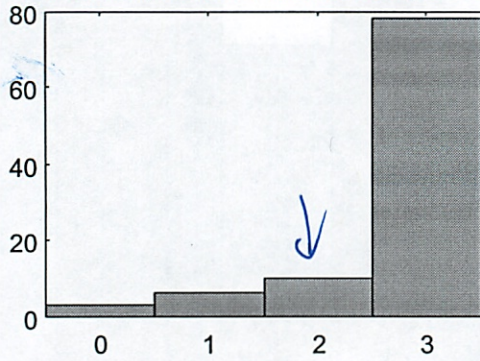
Problem 1 (Median: 5.0, Stdev: 1.1, N = 97)



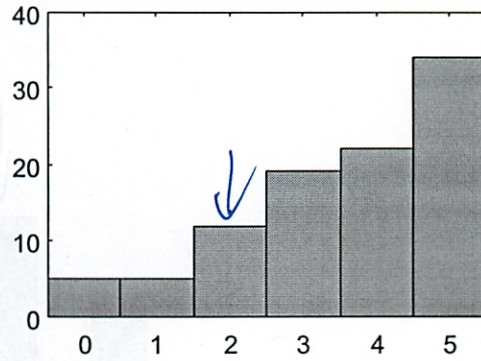
Problem 2 (Median: 1.0, Stdev: 1.3, N = 97)



Problem 3 (Median: 3.0, Stdev: 0.7, N = 97)



Problem 4 (Median: 4.0, Stdev: 1.4, N = 97)



Problem 5 (Median: 2.0, Stdev: 0.9, N = 97)

